Montecito Sanitary District
1042 Monte Cristo Lane
Santa Barbara, CA 93108
A Public Service Agency
Phone: (805) 969-4200
www.montsan.org

AGENDA

For the Special Meeting of the Board on:

November 18, 2026

The special meeting of the Governing Board will begin at **2:00 p.m. on November 18, 2026** in the District's Board Room at 1042 Monte Cristo Lane, Santa Barbara, CA 93108.

The public may attend the meeting in person or participate remotely via Zoom using the following virtual meeting details:

By visiting: **https://us02web.zoom.us/j/86118975917**
Or by calling: 1-669-900-6833
Meeting ID**:** 861 1897 5917

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1. CALL TO ORDER

- **A. ROLL CALL**
- **B. PLEDGE OF ALLEGIANCE**
- **C. PRESIDENT'S REPORT**

2. PUBLIC COMMENT

Public comment on items not on the agenda is **limited to 3 minutes** and is at the discretion of the Board President. For further instructions, please see Instructions for Public Comment on the District's website.

3. CONSENT CALENDAR

- **A.** Meeting Minutes of the November 4, 2026 Regular Meeting (Page 4)
- **B.** Pipeline Crossing Agreement Between MSD and UPRR – Channel Drive Force Main Improvements (Page 8)

4. GENERAL MANAGER'S REPORT (PAGE 23)

The General Manager will provide a written report on the District's non-actionable business and operational matters and will provide explanation where requested. Topics include the following:

- **A.** GM Meetings
- **B.** Beautification Day
- **C.** Prequalified Contractors List
- **D.** District Financial Matters
- **E.** Wastewater Treatment Plant Roadmap
- **F.** Flow/Rain Comparison
- **G.** MSD Capital Improvements Projects – GANTT Chart

5. CLOSED SESSION

A. PUBLIC COMMENT
Public comment on closed session item(s):

B. PUBLIC EMPLOYEE EVALUATION (GOVERNMENT CODE § 54957)
Title: General Manager

6. BUSINESS ITEMS

A. FISCAL YEAR 2026-27 FIRST QUARTER UNAUDITED QUARTERLY FINANCIAL REPORT DISCUSSION (PAGE 39)
It is recommended that the Board discuss the First Quarter Unaudited Financial Report approved at the November 4, 2026 Special Meeting.

B. AWARD OF CONTRACT – FY 2028 SEWER MAIN CIPP LINING PROJECT (PAGE 55)
It is recommended that the Board:

i) Authorize the General Manager to negotiate a contract with Water Systems Consulting, Inc. (WSC) in an amount not to exceed $180,000 to perform design engineering services for the 2028 Sewer Main CIPP Lining Project; and

ii) Authorize the General Manager to approve expenditures of up to ten percent (10%) of the negotiated amount as an amendment allowance for any changes in scope of work.

C. WINTER 2026-27 EMERGENCY PROCEDURES (PAGE 156)
It is recommended that the Board receive a report from Staff, discuss available emergency response options, and provide direction to Staff.

D. WASTEWATER TREATMENT PLANT IMPROVEMENT PROJECT REPORT AND DISCUSSION (PAGE 172)
It is recommended that the Board receive a report from the Wastewater Treatment Plant Improvement Project Ad Hoc Committee and discuss the report content as necessary.

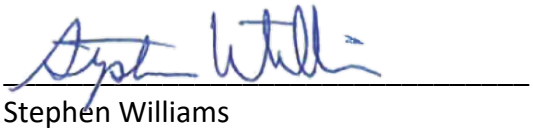
E. ORDINANCE NO. 26 – DIRECTOR COMPENSATION (PAGE 189)
It is recommended that the Board hold a public hearing to consider adoption of Ordinance No.26, establishing Director compensation and repealing Ordinance No. 25.

7. BOARD COMMUNICATIONS
A. Items for future Board meeting
B. Next Board Meeting Date – December 9, 2026

8. ADJOURNMENT

The Montecito Sanitary District conducts its meetings in accordance with the Brown Act. The District also provides alternative methods of remote participation which permit members of the public to observe and address public meetings remotely via telephone or Zoom. These methods of participation can be accessed through the internet link provided at the top of this agenda.

This agenda was posted on the District website, and at the Montecito Sanitary District Bulletin Board in accordance with the requirements of the Brown Act.
Attested by:



Stephen Williams
Business and Administrative Manager/Clerk of the Board

ADA – The Americans with Disabilities Act provides that no qualified individual with a disability shall be excluded from participation in, or denied the benefits of, the District's programs, services or activities because of any disability. If you need special assistance to participate in this meeting, please contact the District Office at 969-4200.

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MINUTES

For the Special Meeting of the Board on:

November 4, 2026

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1. CALL TO ORDER

The Governing Board of the Montecito Sanitary District convened a regular meeting at 2:35 pm on Wednesday, November 4, 2026. The meeting was also broadcast using Zoom teleconferencing.

ATTENDANCE

Board Members Present:
Directors Barrett, Johnson, Murphy, Ohlmann, and Rockenbach

Board Members Absent:
None

Also Present and Participating:
John Weigold, MSD General Manager
Stephen Williams, MSD Business and Administrative Manager/Clerk of the Board
Aleks Giragosian, District Legal Counsel
Bryce Swetek, MSD Engineering Manager
Michael Goymerac, Water Systems Consulting
Floyd Wicks, Member of the Public
Hillary Hauser, Heal the Ocean
Bob Hazard, Member of the Public

2. PUBLIC COMMENT

No members of the public addressed the Board.

3. COMMITTE REPORTS

A. Director Murphy gave a report on the Montecito Sanitary District Finance Committee meeting of November 2, 2026.

4. CONSENT CALENDAR

ON MOTION by Director Barrett, Seconded by Director Murphy, the Board voted to approve the following Consent Calendar item with a correction in the meeting minutes:

- **A.** Meeting Minutes of the October 14, 2026 Regular Meeting
- **B.** Payables from the period September 1, 2026 through September 30, 2026

AYES:	Directors Barrett, Johnson, Murphy, Ohlmann, and Rockenbach
NAYES:	None
ABSTAIN:	None
ABSENT:	None

Motion carries.

ON MOTION by Director Murphy, Seconded by Director Ohlmann, the Board voted to approve the following Consent Calendar item:

- **C.** Fiscal Year 2026-27 Unaudited Reports- September 30, 2026

AYES:	Directors Murphy, Ohlmann, and Rockenbach
NAYES:	Directors Barrett and Johnson
ABSTAIN:	None
ABSENT:	None

Motion carries.

5. GENERAL MANAGER'S REPORT

The General Manager provided a written report on the District's non-actionable business and operational matter and provided explanation as requested. Topics included the following:

- **A.** GM Meetings
- **B.** New Administrative Hire
- **C.** Posilipo Lift Station-Automatic Transfer Switch Replacement
- **D.** District Financial Matters
- **E.** Wastewater Treatment Plant Roadmap
- **F.** Flow/Rain Comparison
- **G.** Capital Improvements Projects and Key Effort Status Update

6. BUSINESS ITEMS

A. AWARD OF CONTRACT – SAN YSIDRO UPSIZING ENGINEERING & DESIGN)

Director Ohlmann recused himself from this Agenda topic as his residence was within 500 feet of the Project and constituted a Conflict of Interest.

ON MOTION by Director Johnson, Seconded by Director Rockenbach, the Board voted to:

i) Authorize the General Manager to negotiate a contract with Water Systems Consulting Inc. (WSC) in an amount not to exceed $450,000 to perform design engineering services for the San Ysidro Road Pipeline Capacity Improvements project; and

ii) Authorize the General Manager to approve expenditures of up to ten percent (10%) of the negotiated amount as an amendment allowance for any changes in scope of work.

AYES:	Directors Barrett, Johnson, Murphy, and Rockenbach
NAYES:	None
ABSTAIN:	None
ABSENT:	Director Ohlmann

Motion carries.

Floyd Wicks, Member of the Public, addressed the Board on this item.

B. AWARD OF CONTRACT – OLIVE MILL UPSIZING ENGINEERING & DESIGN

ON MOTION by Director Ohlmann, Seconded by Director Johnson, the Board voted to:

i) Authorize the General Manager to negotiate a contract with BKF Engineers (BKF) in an amount not to exceed $280,000 to perform design engineering services for the Olive Mill Road Pipeline Capacity Improvements project; and

ii) Authorize the General Manager to approve expenditures of up to ten percent (10%) of the negotiated amount as an amendment allowance for any changes in scope of work.

AYES:	Directors Barrett, Johnson, Murphy, Ohlmann, and Rockenbach
NAYES:	None
ABSTAIN:	None
ABSENT:	None

Motion carries.

Floyd Wicks, Member of the Public, addressed the Board on this item.

C. WASTEWATER TREATMENT PLAN IMPROVEMENT PROJECT REPORT AND DISCUSSION

The Board received a report from the Wastewater Treatment Plant Improvement Project Ad Hoc Committee and discussion ensued. No action was taken.

Floyd Wicks, Member of the Public, addressed the Board on this item.

Bob Hazard, Member of the Public, addressed the Board on this item.

D. REQUESTED BOARD REVIEW OF CAPITAL PROJECT OPTIONS, ALTERNATIVES, AND FUNDING COMPLIANCE

The Board discussed and provided direction to Staff regarding the October 27, 2026 letter from Director Johnson regarding “Requested Board Review of Capital Project Options, Alternatives, and Funding Compliance”. No action was taken.

Floyd Wicks, Member of the Public, addressed the Board on this item.

Hillary Hauser, Heal the Ocean, addressed the Board regarding this topic.

7. BOARD COMMUNICATIONS

A. Next Regular Board Meeting Date –November 18, 2026

B. Items for future Board meeting

- No topics were requested to be placed on the next agenda.

8. ADJOURNMENT

ON MOTION by Director Barrett, Seconded by Director Rockenbach, the meeting ended at 6:35 pm.

These minutes were presented for approval at the Special Board Meeting on November 18, 2026.

_____

Richard "Rock" Rockenbach, President

Minutes taken and prepared by:

_____

Stephen Williams
Business and Administrative Manager/Clerk of the Board

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MONTECITO SANITARY DISTRICT

STAFF REPORT – 3B

DATE: November 18, 2026

TO: Board of Directors

FROM: John Weigold, General Manager

SUBJECT: Pipeline Crossing Agreement Between MSD and UPRR – Channel Drive Force Main Improvements

RECOMMENDATION

It is recommended that the Board:

i. Accept the terms of the Pipeline Crossing Agreement between Montecito Sanitary District (MSD) and Union Pacific Railroad (UPRR);
ii. Direct staff to execute said Agreement; and
iii. Determine that this action is not subject to California Environmental Quality Act (CEQA) pursuant to it not being considered a project per CEQA Guidelines §§15060(c)(2)-(3) and 15378.

BACKGROUND

The District ("Licensee") is seeking to cross the right-of-way from Union Pacific Railroad ("Licensor") to facilitate its Channel Drive Force Main Improvements project. The Licensor is willing to approve the proposed two (2) six-inch (6") HDPE plastic pipes cased in an eighteen-inch (18") steel casing for an underground sewer pipeline crossing the railroad property at Mile Post 370.54 on the Santa Barbara Subdivision at or near Santa Barbara, Santa Barbara County, California.

FISCAL IMPACT: The Pipeline Crossing Agreement (Attachment 1) includes MSD paying a one-time fee of $71,680 to obtain a license for a right to construct and thereafter, maintain and operate the proposed infrastructure. Staff finds the terms and fee as reasonable. The Channel Drive Force Main Improvement project is budgeted at $3.3 Million and funds for this Agreement are available.

ATTACHMENTS:

1. Letter to MSD and Pipeline Crossing Agreement

November 13, 2026
Project: 0811122

MONTECITO SANITARY DISTRICT

Re: Proposed Two (2) Six Inch (6") HDPE Plastic Pipes Cased In An Eighteen Inch (18") Steel Casing For An Underground Sewer Pipeline Crossing Pipeline Crossing of Railroad Property at Mile Post 370.54 on the Santa Barbara Subdivision at or near Santa Barbara, Santa Barbara County, California.

Attached is an original of the agreement covering your use of the Railroad Company's right of way. **Review and return the partially executed agreement via email.**

Payment, **with Project No. 0811122 referenced,** in the amount of **Seventy One Thousand Six Hundred Eighty Dollars ($71,680.00)** is due and payable to Union Pacific Railroad Company upon your execution of the agreement. If you require formal billing, you may consider this letter as a formal bill. In compliance with the Internal Revenue Service's new policy regarding their Form 1099, I certify that 946001323 is this Corporation's correct Federal Taxpayer Identification Number.

Send Electronic Payments to:
Name: Bank of America, Dallas, TX
Union Pacific Account = 3752021457
- ACH Routing Number = 1110-0001-2
- Wire Routing Number = 026009593

Mail Checks to:
Union Pacific Railroad Company
P.O. Box 7412567
Chicago, IL 60674-2568
- **CHECKS ONLY – NO AGREEMENTS**

UP does not currently offer a credit card option for these payments.

Railroad Protective Liability Insurance (RPLI) may be obtained from any insurance company which offers such coverage. Union Pacific has also worked with a national broker, Marsh USA, to make available RPLI to you or your contractor. You can find additional information, premium quotes, and application forms at (uprr.marsh.com).

If we have not received the executed document within six months from the date of this letter, this proposed offer of an agreement is withdrawn and becomes null and void.

After final approval and processing, the fully-executed agreement will be returned to you via email with instructions for coordinating your work. In no event should you begin work until you have received your counterpart of the fully-executed agreement.

If you have any questions, please contact me at tleddy@up.com.

Sincerely,

Thomas Leddy
Mgr I - Contracts

PIPELINE CROSSING AGREEMENT

Mile Post: 370.54, Santa Barbara Subdivision
Location: Santa Barbara, Santa Barbara County, California

THIS AGREEMENT ("Agreement") is made and entered into as of November 13, 2026, ("Effective Date") by and between **UNION PACIFIC RAILROAD COMPANY**, a Delaware corporation, ("Licensor") and **MONTECITO SANITARY DISTRICT**, to be addressed at 1042 Monte Cristo Lane, Montecito, California 93108 ("Licensee").

IT IS MUTUALLY AGREED BY AND BETWEEN THE PARTIES HERETO AS FOLLOWS:

Article 1. LICENSOR GRANTS RIGHT.

A. In consideration of the license fee to be paid by Licensee set forth below and in further consideration of the covenants and agreements to be performed by Licensee, Licensor hereby grants to Licensee the right to construct and thereafter, during the term hereof, maintain and operate two (2) six inch (6") HDPE plastic pipes cased in an eighteen inch (18") steel casing for an underground sewer pipeline crossing only, including any appurtenances required for the operation of said pipeline (collectively, "Licensee's Facilities") across Licensor's real property, trackage, or other facilities located in Santa Barbara, Santa Barbara County, State of California ("Railroad Property"). The specific specifications and limited purpose for Licensee's Facilities on, along, across and under Railroad Property are described in and shown on the Print and Specifications dated September 17, 2026, attached hereto as **Exhibit A** and made a part hereof.

B. Licensee represents and warrants that Licensee's Facilities will (i) only be used for two (2) six inch (6") HDPE plastic pipes cased in an eighteen inch (18") steel casing for an underground sewer pipeline crossing, and (ii) not be used to convey any other substance, any fiber optic cable, or for any other use, whether such use is currently technologically possible, or whether such use may come into existence during the life of this Agreement.

C. Licensee acknowledges that if it or its contractor provides Licensor with digital imagery depicting Licensee's Facilities ("Digital Imagery"), Licensee authorizes Licensor to use the Digital Imagery in preparing **Exhibit A**. Licensee represents and warrants that through a license or otherwise, it has the right to use the Digital Imagery and to permit Licensor to use the Digital Imagery in said manner.

Article 2. LICENSE FEE.

Upon execution of this Agreement, the Licensee shall pay to the Licensor a one-time License Fee of Seventy One Thousand Six Hundred Eighty Dollars **($71,680.00)**.

Article 3. TERM.

This Agreement shall take effect as of the Effective Date first herein written and shall continue in full force and effect until terminated as provided in the "TERMINATION; REMOVAL OF LICENSEE'S FACILITIES" Section of **Exhibit B**.

Article 4. LICENSEE'S COMPLIANCE WITH GENERAL TERMS.

Licensee represents and warrants that all work on Licensee's Facilities performed by Licensee or its contractors will strictly comply with all terms and conditions set forth herein, including the General Terms and Conditions, attached hereto as Exhibit B and made a part hereof.

Article 5. INSURANCE.

A. During the term of this Agreement, Licensee shall fully comply or cause its contractor(s) to fully comply with the insurance requirements described in **Exhibit C**, attached hereto and made a part hereof. Upon request only, Licensee shall send copies of all insurance documentation (e.g., certificates, endorsements, etc.) to Licensor at the address listed in the "NOTICES" Section of this Agreement.

B. If Licensee is subject to statute(s) limiting its insurance liability and/or limiting its ability to obtain insurance in compliance with **Exhibit C** of this Agreement, those statutes shall apply.

Article 6. DEFINITION OF LICENSEE.

For purposes of this Agreement, all references in this Agreement to Licensee will include Licensee's contractors, subcontractors, officers, agents and employees, and others acting under its or their authority (collectively, a "Contractor"). If a Contractor is hired by Licensee to perform any work on Licensee's Facilities (including initial construction and subsequent relocation, maintenance, and/or repair work), then Licensee shall provide a copy of this Agreement to its Contractor(s) and require its Contractor(s) to comply with all terms and conditions of this Agreement, including the indemnification requirements set forth in the "INDEMNITY" Section of **Exhibit B**. Licensee shall require any Contractor to release, defend, and indemnify Licensor to the same extent and under the same terms and conditions as Licensee is required to release, defend, and indemnify Licensor herein.

Article 7. ATTORNEYS' FEES, EXPENSES, AND COSTS.

If litigation or other court action or similar adjudicatory proceeding is undertaken by Licensee or Licensor to enforce its rights under this Agreement, all fees, costs, and expenses, including, without limitation, reasonable attorneys' fees and court costs, of the prevailing Party in such action, suit, or proceeding shall be reimbursed or paid by the Party against whose interest the judgment or decision is rendered. The provisions of this Article shall survive the termination of this Agreement.

Article 8. WAIVER OF BREACH.

The waiver by Licensor of the breach of any condition, covenant or agreement herein contained to be kept, observed and performed by Licensee shall in no way impair the right of Licensor to avail itself of any remedy for any subsequent breach thereof.

Article 9. ASSIGNMENT.

A. Licensee shall not assign this Agreement, in whole or in part, or any rights herein granted, without the written consent of Licensor, which must be requested in writing by Licensee. Any assignment or attempted transfer of this Agreement or any of the rights herein granted, whether voluntary, by operation of law, or otherwise, without Licensor's written consent, will be absolutely void and may result in Licensor's termination of this Agreement pursuant to the "TERMINATION; REMOVAL OF LICENSEE'S FACILITIES" Section of **Exhibit B.**

B. Upon Licensor's written consent to any assignment, this Agreement will be binding upon and inure to the benefit of the parties thereto, successors, heirs, and assigns, executors, and administrators.

Article 10. SEVERABILITY.

Any provision of this Agreement which is determined by a court of competent jurisdiction to be invalid or unenforceable shall be invalid or unenforceable only to the extent of such determination, which shall not invalidate or otherwise render ineffective any other provision of this Agreement.

Article 11. NOTICES.

Except Licensee's commencement of work notice(s) required under **Exhibit B**, all other notices required by this Agreement must be in writing, and (i) personally served upon the business address listed below ("Notice Address"), (ii) sent overnight via express delivery by a nationally recognized overnight delivery service such as Federal Express Corporation or United Parcel Service to the Notice Address, or (iii) by certified mail, return receipt requested to the Notice Address. Overnight express delivery notices will be deemed to be given upon receipt. Certified mail notices will be deemed to be given three (3) days after deposit with the United States Postal Service.

If to Licensor: Union Pacific Railroad Company
Attn: Analyst – Real Estate Utilities (Project No. 0811122)
1400 Douglas Street, MS 1690
Omaha, Nebraska 68179

If to Licensee:MONTECITO SANITARY DISTRICT
1042 Monte Cristo Lane
Montecito, California 93108

Article 12. SPECIAL PROVISION – CONSTRUCTION OBSERVATION.

Licensor requires Licensee to provide monitoring of tracks and construction observation through Licensor approved observer named below during all construction and installation work. Licensee is to directly coordinate services with the named inspector:

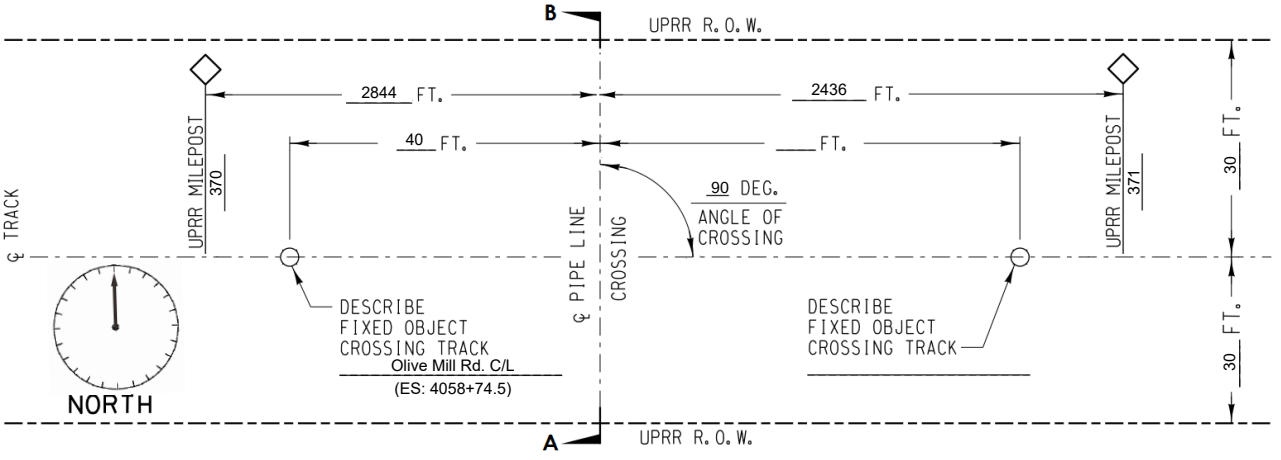
Railpros Field Services
Email: RP.Utility@railpros.com
Phone (682)223-5271

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be executed as of the date first herein written.

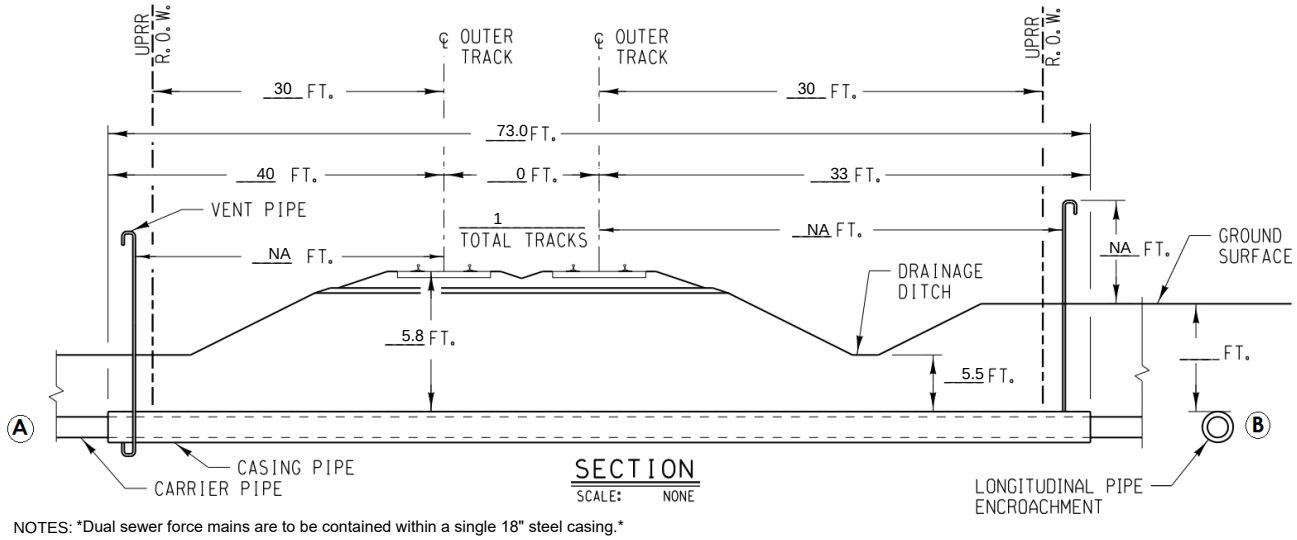
UNION PACIFIC RAILROAD COMPANY	**MONTECITO SANITARY DISTRICT**
By: _____	By: _____
Thomas Leddy *Mgr I - Contracts*	Name Printed: _____
	Title: _____

NON-FLAMMABLE LIQUID PIPELINE

☑ CROSSING
☐ ENCROACHMENT
☐ BOTH



PLAN
SCALE: NONE



SECTION
SCALE: NONE

NOTES: *Dual sewer force mains are to be contained within a single 18" steel casing.*
1) ALL DIMENSIONS MEASURED PERPENDICULAR TO THE CENTERLINE OF TRACK
2) REFER TO AREMA VOLUME 1. CHAPTER 1. PART 5. SECTION 5.1

A) METHOD OF INSTALLATION BORED AND JACKED
B) DIST. FROM CENTERLINE OF TRACK TO PIPE ENCROACHMENT _____.
C) SIGNS PROVIDED? AT MINIMUM SIGNS WILL BE PROVIDED AS STATED ABOVE
D) CARRIER MATERIAL PLASTIC (HDPE). IF RCP, CLASS V? NA.
COMMODITY TO BE CONVEYED SEWER.
OPERATIONAL PRESSURE 18 PSI. MAOP 39 PSI.
WALL THICKNESS (INCH)/ SCHEDULE 0.627 / DR11. DIAMETER (2) 6* IN.
CATHODIC/COATING PROTECTION YES
E) CASING MATERIAL STEEL PIPE. IF RCP, CLASS V? NA.
TOTAL LENGTH CASING PIPE: 73 FT.
WALL THICKNESS 0.312 IN. DIAMETER 18 IN.
CATHODIC/COATING PROTECTION NO
CASING PIPE IS OPEN AT THE ENDS.
F) DISTANCE FROM CENTERLINE OF TRACK TO NEAR FACE OF BORING AND JACKING PITS WHEN MEASURED AT RIGHT ANGLES 33 AND 43.

BUILDING AMERICA®

EXHIBIT "A"

SUBDIVISION: Santa Barbara Sub.	
TRACK TYPE: MAINLINE	
M.P.: 370.54	LAT.: 34.4188417
E.S.M.: 4058+34.5	LONG.: -119.639889
NEAREST CITY: SANTA BARBARA	COUNTY: SANTA BARBARA / STATE: CA
APPLICANT: MONTECITO SANITARY DISTRICT	
FILE NO.: 0811122	DATE: 9/17/2026

EXHIBIT B

GENERAL TERMS AND CONDITIONS

Section 1. LIMITATION AND SUBORDINATION OF RIGHTS GRANTED.

A. The foregoing grant is subject and subordinate to the prior and continuing right and obligation of Licensor to use and maintain its entire property including the right and power of Licensor to construct, maintain, repair, renew, use, operate, change, modify or relocate railroad tracks, signal, communication, fiber optics, or other wirelines, pipelines and other facilities upon, along or across any or all parts of its property, all or any of which may be freely done at any time or times by Licensor without liability to Licensee or to any other party for compensation or damages.

B. The foregoing grant is also subject to all outstanding superior rights (including those in favor of licensees and lessees of Railroad Property) and the right of Licensor to renew and extend the same, and is made without covenant of title or for quiet enjoyment. It shall be Licensee's sole obligation to obtain such additional permission, license and grants necessary on account of any such existing rights.

Section 2. ENGINEERING REQUIREMENTS; PERMITS.

A. Licensee's Facilities will be designed, constructed, operated, maintained, repaired, renewed, modified, reconstructed, removed, or abandoned in place on Railroad Property by Licensee or its contractor to Licensor's satisfaction and in strict conformity with: (i) Licensor's current engineering standards and specifications, including those for shoring and cribbing to protect Licensor's railroad operations and facilities ("UP Specifications"), except for variances approved in advance in writing by Licensor's Assistant Vice President Engineering – Design or its authorized representative ("UP Engineering Representative"); (ii) such other additional safety standards as Licensor, in its sole discretion, elects to require, including, without limitation, American Railway Engineering and Maintenance-of-Way Association ("AREMA") standards and guidelines (collectively, "UP Additional Requirements"); and (iii) all applicable laws, rules, and regulations, including any applicable Federal Railroad Administration and Federal Energy Regulatory Commission regulations and enactments (collectively, "Laws"). If there is any conflict between UP Specifications, UP Additional Requirements, and Laws, the most restrictive will apply.

B. Licensee shall keep the soil over Licensee's Facilities thoroughly compacted, and maintain the grade over and around Licensee's Facilities even with the surface of the adjacent ground.

C. If needed, Licensee shall secure, at Licensee's sole cost and expense, any and all necessary permits required to perform any work on Licensee's Facilities.

Section 3. NOTICE OF COMMENCEMENT OF WORK; EMERGENCIES.

A. Licensee and its contractors are strictly prohibited from commencing any work associated with Licensee's Facilities without Licensor's written approval that the work will be in strict compliance with the "ENGINEERING REQUIREMENTS; PERMITS" Section of this Exhibit B. Upon Licensor's approval, Licensee shall contact both of Licensor's field representatives ("Licensor's Field Representatives") at least ten (10) days before commencement of any work on Licensee's Facilities.

B. Licensee shall not commence any work until: (1) Licensor has determined whether flagging or other special protective or safety measures ("Safety Measures") are required for performance of the work pursuant to the "FLAGGING" Section of this **Exhibit B** and provided Licensee written

authorization to commence work; and (2) Licensee has complied with the "PROTECTION OF FIBER OPTIC CABLE SYSTEMS" Section of this **Exhibit B**.

C. If, at any time, an emergency arises involving Licensee's Facilities, Licensee or its contractor shall immediately contact Licensor's Response Management Communications Center at (888) 877-7267.

Section 4. FLAGGING.

A. Following Licensee's notice to Licensor's Field Representatives required under the "NOTICE OF COMMENCEMENT OF WORK; EMERGENCIES" Section of this **Exhibit B**, Licensor shall inform Licensee if Safety Measures are required for performance of the work by Licensee or its contractor on Railroad Property. If Safety Measures are required, no work of any kind may be performed by Licensee or its contractor(s) until arrangements for the Safety Measures have been made and scheduled. If no Safety Measures are required, Licensor will give Licensee written authorization to commence work.

B. If any Safety Measures are performed or provided by Licensor, including but not limited to flagging, Licensor shall bill Licensee for such expenses incurred by Licensor, unless Licensor and a federal, state, or local governmental entity have agreed that Licensor is to bill such expenses to the federal, state, or local governmental entity. Additional information regarding the submission of such expenses by Licensor and payment thereof by Licensee can be found in the "LICENSEE'S PAYMENT OF EXPENSES" Section of this **Exhibit B**. If Licensor performs any Safety Measures, Licensee agrees that Licensee is not relieved of any of responsibilities or liabilities set forth in this Agreement.

C. For flagging, the rate of pay per hour for each flagger will be the prevailing hourly rate in effect for an eight-hour day for the class of flagmen used during regularly assigned hours and overtime in accordance with Labor Agreements and Schedules in effect at the time the work is performed. In addition to the cost of such labor, a composite charge for vacation, holiday, health and welfare, supplemental sickness, Railroad Retirement and unemployment compensation, supplemental pension, Employees Liability and Property Damage, and Administration will be included, computed on actual payroll. The composite charge will be the prevailing composite charge in effect at the time the work is performed. One and one-half times the current hourly rate is paid for overtime, Saturdays and Sundays, and two and one-half times current hourly rate for holidays. Wage rates are subject to change, at any time, by law or by agreement between Licensor and its employees, and may be retroactive as a result of negotiations or a ruling of an authorized governmental agency. Additional charges on labor are also subject to change. If the wage rate or additional charges are changed, Licensee (or the governmental entity, as applicable) shall pay on the basis of the new rates and charges.

D. Reimbursement to Licensor will be required covering the full eight-hour day during which any flagger is furnished, unless the flagger can be assigned to other railroad work during a portion of such day, in which event reimbursement will not be required for the portion of the day during which the flagger is engaged in other railroad work. Reimbursement will also be required for any day not actually worked by the flaggers following the flaggers' assignment to work on the project for which Licensor is required to pay the flaggers and which could not reasonably be avoided by Licensor by assignment of such flaggers to other work, even though Licensee may not be working during such time. When it becomes necessary for Licensor to bulletin and assign an employee to a flagging position in compliance with union collective bargaining agreements, Licensee must provide Licensor a minimum of five (5) days notice prior to the cessation of the need for a flagger. If five (5) days notice of cessation is not given, Licensee will still be required to pay flagging charges for the days the flagger was scheduled, even though flagging is no longer required for that period. An additional ten (10) days notice must then be given to Licensor if flagging services are needed again after such five day cessation notice has been given to Licensor.

Section 5. SAFETY.

A. Safety of personnel, property, rail operations and the public is of paramount importance in the prosecution of any work on Railroad Property performed by Licensee or its contractor, and takes precedence over any work on Licensee's Facilities to be performed Licensee or its contractors. Licensee shall be responsible for initiating, maintaining and supervising all safety operations and programs in connection with any work on Licensee's Facilities. Licensee and its contractor shall, at a minimum comply, with Licensor's then current safety standards located at the below web address ("Licensor's Safety Standards") to ensure uniformity with the safety standards followed by Licensor's own forces. As a part of Licensee's safety responsibilities, Licensee shall notify Licensor if it determines that any of Licensor's Safety Standards are contrary to good safety practices. Licensee and its contractor shall furnish copies of Licensor's Safety Standards to each of its employees before they enter Railroad Property.

Union Pacific Current Safety Requirements

B. Licensee shall keep the job site on Railroad Property free from safety and health hazards and ensure that their employees are competent and adequately trained in all safety and health aspects of the work.

C. Licensee represents and warrants that all parts of Licensee's Facilities within and outside of the limits of Railroad Property will not interfere whatsoever with the constant, continuous, and uninterrupted use of the tracks, property, and facilities of Licensor, and nothing shall be done or suffered to be done by Licensee at any time that would in any manner impair the safety thereof.

D. Licensor's operations and work performed by Licensor's personnel may cause delays in Licensee's or its contractor's work on Licensee's Facilities. Licensee accepts this risk and agrees that Licensor shall have no liability to Licensee or any other person or entity for any such delays. Licensee must coordinate any work on Railroad Property by Licensee or any third party with Licensor's Field Representatives in strict compliance with the "NOTICE OF COMMENCEMENT OF WORK; EMERGENCIES" Section of this **Exhibit B**.

E. Licensor shall have the right, if it so elects, to provide any support it deems necessary for the safety of Licensor's operations and trackage during Licensee's or its contractor's construction, maintenance, repair, renewal, modification, relocation, reconstruction, or removal of Licensee's Facilities. In the event Licensor provides such support, Licensor shall invoice Licensee, and Licensee shall pay Licensor as set forth in the "LICENSEE'S PAYMENT OF EXPENSES" Section of this **Exhibit B**.

F. Licensee may use unmanned aircraft systems ("UAS") to inspect Licensee's Facilities only upon the prior authorization from and under the direction of Licensor's Field Representatives. Licensee represents and warrants that its use of UAS on Railroad Property will comply with Licensor's then-current Unmanned Aerial Systems Policy and all applicable laws, rules and regulations, including any applicable Federal Aviation Administration regulations and enactments pertaining to UAS.

Section 6. PROTECTION OF FIBER OPTIC CABLE SYSTEMS.

Fiber optic cable systems may be buried on Railroad Property. Protection of the fiber optic cable systems is of extreme importance since any break could disrupt service to users resulting in business interruption and loss of revenue and profits. In addition to the notifications required under the "NOTICE OF COMMENCEMENT OF WORK; EMERGENCIES" Section of this **Exhibit B**, Licensee shall visit up.com/CBUD to complete and submit the required form to determine if fiber optic cable is buried anywhere on Railroad Property to be used by Licensee. If it is, Licensee shall telephone the telecommunications company(ies) involved, and arrange for a cable locator, make arrangements for relocation or other protection of the fiber optic cable, all at Licensee's expense, and will not commence any work on Railroad Property until all such protection or relocation has been completed.

Section 7. LICENSEE'S PAYMENT OF EXPENSES.

A. Licensee shall bear the entire cost and expense of the design, construction, maintenance, modification, reconstruction, repair, renewal, revision, relocation, or removal of Licensee's Facilities.

B. Licensee shall fully pay for all materials joined, affixed to and labor performed on Railroad Property in connection with the construction, maintenance, modification, reconstruction, repair, renewal, revision, relocation, or removal of Licensee's Facilities, and shall not permit or suffer any mechanic's or materialman's lien of any kind or nature to be enforced against the property for any work done or materials furnished thereon at the instance or request or on behalf of Licensee. Licensee shall promptly pay or discharge all taxes, charges, and assessments levied upon, in respect to, or on account of Licensee's Facilities, to prevent the same from becoming a charge or lien upon any property of Licensor, and so that the taxes, charges, and assessments levied upon or in respect to such property shall not be increased because of the location, construction, or maintenance of Licensee's Facilities or any improvement, appliance, or fixture connected therewith placed upon such property, or on account of Licensee's interest therein. Where such tax, charge, or assessment may not be separately made or assessed to Licensee but shall be included in the assessment of the property of Licensor, then Licensee shall pay to Licensor an equitable proportion of such taxes determined by the value of Licensee's property upon property of Licensor as compared with the entire value of such property.

C. As set forth in the "FLAGGING" Section of this **Exhibit B**, Licensor shall have the right, if it so elects, to provide any Safety Measures Licensor deems necessary for the safety of Licensor's operations and trackage during Licensee's or its contractor's construction, maintenance, modification, reconstruction, repair, renewal, revision, relocation, or removal of Licensee's Facilities, including, but not limited to supervision, inspection, and flagging services. In the event Licensor provides such Safety Measures, Licensor shall submit an itemized invoice to Licensee's notice recipient listed in the "NOTICES" Article of this Agreement. Licensee shall pay to Licensor the total amount listed on such invoice within thirty (30) days of Licensee's receipt of such invoice.

Section 8. MODIFICATIONS TO LICENSEE'S FACILITIES.

A. This grant is subject to Licensor's safe and efficient operation of its railroad, and continued use and improvement of Railroad Property (collectively, "Railroad's Use"). Accordingly, Licensee shall, at its sole cost and expense, modify, reconstruct, repair, renew, revise, relocate, or remove (individually, "Modification", or collectively, "Modifications") all or any portion of Licensee's Facilities as Licensor may designate or identify, in its sole discretion, in the furtherance of Railroad's Use.

B. Upon any Modification of all or any portion of Licensee's Facilities to another location on Railroad Property, Licensor and Licensee shall execute a Supplemental Agreement to this Pipeline Agreement to document the Modification(s) to Licensee's Facilities on Railroad Property. If the Modifications result in Licensee's Facilities moving off of Railroad Property, this Agreement will terminate upon Licensee's completion of such Modification(s) and all requirements contained within the "TERMINATION; REMOVAL OF LICENSEE'S FACILITIES" Section of this **Exhibit B**. Any such Modification(s) off of Railroad Property will not release Licensee from any liability or other obligation of Licensee arising prior to and upon completion of any such Modifications to the Licensee's Facilities.

Section 9. RESTORATION OF RAILROAD PROPERTY.

In the event Licensee, in any manner moves or disturbs any property of Licensor in connection with the construction, maintenance, modification, reconstruction, repair, renewal, revision, relocation, or removal of Licensee's Facilities, then, Licensee shall, as soon as possible and at Licensee's sole cost

and expense, restore Licensor's property to the same condition as the same were before such property was moved or disturbed.

Section 10. INDEMNITY.

A. Definitions. As used in this Section:

1. "Licensor" includes Licensor, its affiliates, its and their officers, directors, agents and employees, and other railroad companies using Railroad Property at or near the location of Licensee's installation and their officers, directors, agents, and employees.

2. "Licensee" includes Licensee and its agents, contractors, subcontractors, sub-subcontractors, employees, officers, and directors, or any other person or entity acting on its behalf or under its control.

3. "Loss" includes claims, suits, taxes, loss, damages (including punitive damages, statutory damages, and exemplary damages), costs, charges, assessments, judgments, settlements, liens, demands, actions, causes of action, fines, penalties, interest, and expenses of any nature, including court costs, reasonable attorneys' fees and expenses, investigation costs, and appeal expenses.

B. Licensee shall release, defend, indemnify, and hold harmless Licensor from and against any and all Loss, even if groundless, fraudulent, or false, that directly or indirectly arises out of or is related to Licensee's construction, maintenance, modification, reconstruction, repair, renewal, revision, relocation, removal, presence, use, or operation of Licensee's Facilities, including, but not limited to, any actual or alleged:

1. Bodily harm or personal injury (including any emotional injury or disease) to, or the death of, any person(s), including, but not limited to, Licensee, Licensor, any telecommunications company, or the agents, contractors, subcontractors, sub-subcontractors, or employees of the foregoing;

2. Damage to or the disturbance, loss, movement, or destruction of Railroad Property, including loss of use and diminution in value, including, but not limited to, any telecommunications system(s) or fiber optic cable(s) on or near Railroad Property, any property of Licensee or Licensor, or any property in the care, custody, or control of Licensee or Licensor;

3. Removal of person(s) from Railroad Property;

4. Any delays or interference with track or Railroad's Use caused by Licensee's activity(ies) on Railroad Property, including without limitation the construction, maintenance, modification, reconstruction, repair, renewal, revision, relocation, or removal of Licensee's Facilities or any part thereof, any activities, labor, materials, equipment, or machinery in conjunction therewith;

5. Right(s) or interest(s) granted pursuant to this Agreement;

6. Contents escaping from Licensee's Facilities, including without limitation any actual or alleged pollution, contamination, breach, or environmental Loss;

7. Licensee's breach of this Agreement or failure to comply with its provisions, including, but not limited to, any violation or breach by Licensee of any representations and warranties Licensee has made in this Agreement; and

8. Violation by Licensee of any law, statute, ordinance, governmental administrative order, rule, or regulation, including without limitation all applicable Federal Railroad Administration regulations.

C. THE FOREGOING OBLIGATIONS SHALL APPLY TO THE FULLEST EXTENT PERMITTED BY LAW FOR THE BENEFIT OF LICENSOR TO LOSSES CAUSED BY, ARISING FROM, RELATING TO, OR RESULTING FROM, IN WHOLE OR IN PART, THE NEGLIGENCE OF LICENSOR, AND SUCH NEGLIGENCE OF LICENSOR SHALL NOT LIMIT, DIMINISH, OR PRECLUDE LICENSEE'S OBLIGATIONS TO LICENSOR IN ANY RESPECT. NOTWITHSTANDING THE FOREGOING, SUCH OBLIGATION TO INDEMNIFY LICENSOR SHALL NOT APPLY TO THE EXTENT THE LOSS IS CAUSED BY THE SOLE, ACTIVE AND DIRECT NEGLIGENCE, GROSS NEGLIGENCE, OR WILLFUL MISCONDUCT OF LICENSOR AS DETERMINED IN A FINAL JUDGMENT BY A COURT OF COMPETENT JURISDICTION.

Section 11. TERMINATION; REMOVAL OF LICENSEE'S FACILITIES.

A. If Licensee does not use the right herein granted on Licensee's Facilities for one (1) year, or if Licensee continues in default in the performance of any provision of this Agreement for a period of thirty (30) days after written notice from Licensor to Licensee specifying such default, Licensor may, at its sole discretion, terminate this Agreement by written notice to Licensee at the address listed in the "NOTICES" Article of this Agreement. This Agreement will not terminate until Licensee complies with Paragraphs "C" and "D" of this Section found below.

B. In addition to the provisions of Paragraph "A" above, this Agreement may be terminated by written notice given by either party, without cause, upon thirty (30) days written notice to the non-terminating party at the address listed in the "NOTICES" Article of this Agreement. This Agreement will not terminate until Licensee complies with Paragraphs "C" and "D" of this Section found below.

C. Prior to the effective date of any termination described in this Section, Licensee shall submit an application to Licensor's online Utility Contracts System at this link for Licensee's removal, or if applicable, abandonment in place of Licensee's Facilities located on Railroad Property ("Removal/Abandonment Work"). Upon the UP Engineering Representative's approval of Licensee's application for the Removal/Abandonment Work, Licensor and Licensee shall execute a separate consent document that will govern Licensee's performance of the Removal/Abandonment Work from those portions of Railroad Property not occupied by roadbed and/or trackage ("Consent Document"). Licensee shall then restore the impacted Railroad Property to the same or reasonably similar condition as it was prior to Licensee's installation of Licensee's Facilities. For purposes of this Section, Licensee's (i) performance of the Removal/Abandonment Work, and (ii) restoration work will hereinafter be collectively referred to as the "Restoration Work".

D. Following Licensee's completion of the Restoration Work, Licensee shall provide a written certification letter to Licensor at the address listed in the "NOTICES" Article of this Agreement which certifies that the Restoration Work has been completed in accordance with the Consent Document. Licensee shall report to governmental authorities, as required by law, and notify Licensor immediately if any environmental contamination is discovered during Licensee's performance of the Restoration Work. Upon discovery, the Licensee shall initiate any and all removal, remedial and restoration actions that are necessary to restore the property to its original, uncontaminated condition. Licensee shall provide written certification to Licensor at the address listed in the "NOTICES" Article of this Agreement that environmental contamination has been remediated and the property has been

restored in accordance with Licensor's requirements. Upon Licensor's receipt of Licensee's restoration completion certifications, this Agreement will terminate.

E. In the event that Licensee fails to complete any of the Restoration Work, Licensor may, but is not obligated, to perform the Restoration Work. Any such work actually performed by Licensor will be at the cost and expense of Licensee. In the event that Licensor performs any of the Restoration Work, Licensee shall release Licensor from any and all Loss (defined in the "INDEMNITY" Section of this **Exhibit B**) arising out of or related to Licensor's performance of the Restoration Work.

F. Termination of this Agreement for any reason will not affect any of rights or obligations of the parties which may have accrued, or liabilities or Loss (defined in the "INDEMNITY" Section of this **Exhibit B)**, accrued or otherwise, which may have arisen prior to such termination.

EXHIBIT C

INSURANCE REQUIREMENTS

In accordance with Article 5 of this Agreement, Licensee shall (1) procure and maintain at its sole cost and expense, or (2) require its Contractor(s) to procure and maintain, at their sole cost and expense, the following insurance coverage:

A. **Commercial General Liability Insurance**. Commercial general liability (CGL) with a limit of not less than $2,000,000 each occurrence and an aggregate limit of not less than $4,000,000. CGL insurance must be written on ISO occurrence form CG 00 01 12 04 (or a substitute form providing equivalent coverage).

The policy must also contain the following endorsement, WHICH MUST BE STATED ON THE CERTIFICATE OF INSURANCE:

- Contractual Liability Railroads ISO form CG 24 17 10 01 (or a substitute form providing equivalent coverage) showing "Union Pacific Railroad Company Property" as the Designated Job Site.

B. **Business Automobile Coverage Insurance**. Business auto coverage written on ISO form CA 00 01 10 01 (or a substitute form providing equivalent liability coverage) with a limit of not less $2,000,000 for each accident, and coverage must include liability arising out of any auto (including owned, hired, and non-owned autos).

The policy must contain the following endorsements, WHICH MUST BE STATED ON THE CERTIFICATE OF INSURANCE:

- "Coverage For Certain Operations In Connection With Railroads" ISO form CA 20 70 10 01 (or a substitute form providing equivalent coverage) showing "Union Pacific Railroad Company Property" as the Designated Job Site.

C. **Workers' Compensation and Employers' Liability Insurance**. Coverage must include but not be limited to:

- Licensee's statutory liability under the workers' compensation laws of the state(s) affected by this Agreement.

- Employers' Liability (Part B) with limits of at least $500,000 each accident, $500,000 disease policy limit $500,000 each employee.

If Licensee is self-insured, evidence of state approval and excess workers' compensation coverage must be provided. Coverage must include liability arising out of the U. S. Longshoremen's and Harbor Workers' Act, the Jones Act, and the Outer Continental Shelf Land Act, if applicable.

D. **Environmental Liability Insurance**. Environmental Legal Liability Insurance (ELL) applicable to bodily injury, property damage, including loss of use of damaged property or of property that has not been physically injured or destroyed, cleanup costs, and defense, including costs and expenses incurred in the investigation, defense, or settlement of claims, or compliance with statute, all in connection with any loss arising from the insured's performance under this Agreement. Except with respect to the limits of insurance, and any rights or duties specifically assigned to the first named insured, this insurance must apply as if each named insured were the only named insured; and separately to the additional insured against which claim is made or suit is brought. Coverage shall be maintained in an amount of at least $2,000,000 per loss, with an annual aggregate of at least $4,000,000.

Licensee warrants that any retroactive date applicable to ELL insurance coverage under the policy is the same as or precedes the Effective Date of this Agreement, and that continuous coverage

will be maintained for a period of five (5) years beginning from the time the work under this Agreement is completed or if coverage is cancelled for any reason the policies extended discovery period, if any, will be exercised for the maximum time allowed.

E. **Railroad Protective Liability Insurance**. Licensee must maintain for the duration of work "Railroad Protective Liability" insurance written on ISO occurrence form CG 00 35 12 04 (or a substitute form providing equivalent coverage) on behalf of Licensor only as named insured, with a limit of not less than $2,000,000 per occurrence and an aggregate of $6,000,000. The definition of "JOB LOCATION" and "WORK" on the declaration page of the policy shall refer to this Agreement and shall describe all WORK or OPERATIONS performed under this Agreement. Notwithstanding the foregoing, Licensee does not need Railroad Protective Liability Insurance after its initial construction work is complete and all excess materials have been removed from Licensor's property; PROVIDED, however, that Licensee shall procure such coverage for any subsequent maintenance, repair, renewal, modification, reconstruction, or removal work on Licensee's Facilities.

F. **Umbrella or Excess Insurance**. If Licensee utilizes umbrella or excess policies, and these policies must "follow form" and afford no less coverage than the primary policy.

Other Requirements

G. All policy(ies) required above (except business automobile, workers' compensation and employers' liability) must include Licensor as "Additional Insured" using ISO Additional Insured Endorsement CG 20 26 (or substitute form(s) providing equivalent coverage). The coverage provided to Licensor as additional insured shall not be limited by Licensee's liability under the indemnity provisions of this Agreement. BOTH LICENSOR AND LICENSEE EXPECT THAT LICENSOR WILL BE PROVIDED WITH THE BROADEST POSSIBLE COVERAGE AVAILABLE BY OPERATION OF LAW UNDER ISO ADDITIONAL INSURED FORM CG 20 26.

H. Punitive damages exclusion, if any, must be deleted (and the deletion indicated on the certificate of insurance), unless (a) insurance coverage may not lawfully be obtained for any punitive damages that may arise under this Agreement, or (b) all punitive damages are prohibited by all states in which this Agreement will be performed.

I. Licensee waives all rights of recovery, and its insurers also waive all rights of subrogation of damages against Licensor and its agents, officers, directors and employees for damages covered by the workers' compensation and employers' liability or commercial umbrella or excess liability obtained by Licensee required in this Agreement, where permitted by law. This waiver must be stated on the certificate of insurance.

J. All insurance policies must be written by a reputable insurance company acceptable to Licensor or with a current Best's Insurance Guide Rating of A- and Class VII or better, and authorized to do business in the state(s) in which the work is to be performed.

K. The fact that insurance is obtained by Licensee will not be deemed to release or diminish the liability of Licensee, including, without limitation, liability under the indemnity provisions of this Agreement. Damages recoverable by Licensor from Licensee or any third party will not be limited by the amount of the required insurance coverage.

Montecito Sanitary District
1042 Monte Cristo Lane
Santa Barbara, CA 93108
A Public Service Agency
Phone: (805) 969-4200
www.montsan.org

MONTECITO SANITARY DISTRICT

STAFF REPORT – 4

DATE: November 18, 2026

TO: Board of Directors

FROM: John Weigold, General Manager

SUBJECT: General Manager Report

The District continues its mission of providing wastewater collection and treatment services. In addition to the daily operations of the District, the following is an update on some of our current ongoing projects and activities.

A. GM Meetings

Since my last report, on November 4, 2026, I attended:

- The monthly **Montecito Association meeting** on November 10, 2026 to provide an operations update and to inform the Association regarding the installation of sewer sensors for the upcoming Inflow and Infiltration (I&I) study.
- On Wednesday, November 18, 2026, I will participate in a U.S. EPA tabletop exercise design webinar. A District tabletop exercise may be in order in preparation for the upcoming winter storm season.

B. Beautification Day

The District participated in the Montecito Beautification Day on Saturday, November 7, 2026 with a table at the upper village with displays of our sewer system, a cross section of a sewer pipe to demonstrate lining of a pipe, as well as handouts for proper sewer lateral connections and Fats, Oils and Grease (FOG) restrictions for home drains. Thanks to District Staff in attendance, who included Carole Rollins, Ricardo Larroude and Noee Ortiz. Board President Rockenbach also stopped by the District table.


MONTECITO SANITARY DISTRICT
GapVax


MONTECITO SANITARY DISTRICT

C. Prequalified Contractors List

The District sent a Request for Proposal (RFP) seeking to establish a Prequalified List of Contractors ("PLC") to provide as-needed repair services and emergency services for the District's wastewater infrastructure, as well as be considered eligible to bid on upcoming projects under the District's informal bidding procedures, pursuant to the Uniform Public Construction Cost Accounting Act (UPCCAA, Public Contract Code §22034). The PLC has been developed and staff will utilize it as needed, with any repairs or projects exceeding $50k being brought to the Board for approval.

D. District Financial Matters

1. **District Investments.** Below is a summary of the districts' investment activities during the month of October. Investment statements are attached to the General Manager's report for reference:

Investment Account	Account Balance at 10/31/2026	Earning Yield
Charles Schwab	$6,140,793.37	3.87%
California CLASS	$4,243,079.03	3.93%

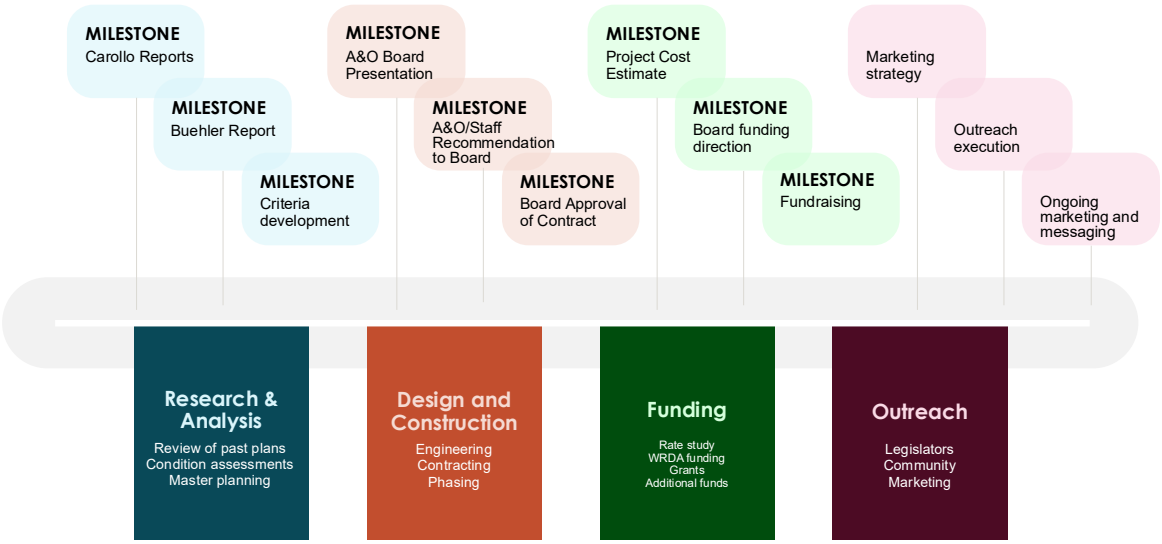
During the past month the District's investment earnings were as follows:

- $20,115.60 in cash dividends earned by the Charles Schwab account.
- $14,118.54 in earned income by the California CLASS account.

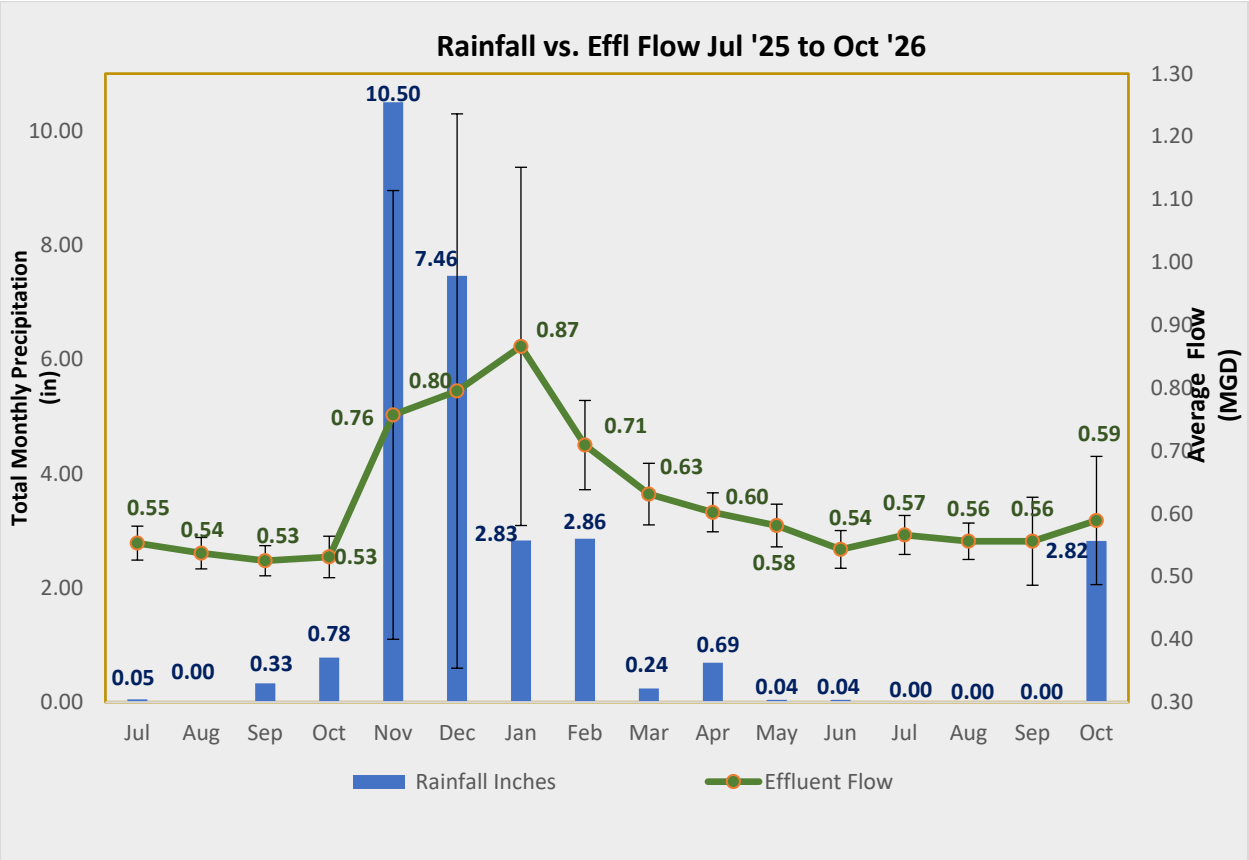
E. Wastewater Treatment Plant Roadmap

1. The following diagram outlines the significant District efforts currently ongoing in support of the wastewater treatment plant upgrade project. The following significant event occurred during this report period:
   a. Design and Construction: Staff received responses from both Southland Industries and Carollo Engineering in response to the Distict's RFP on October 22, 2026 for consulting services for wastewater connection to the City of Santa Barbara alternatives analysis. Staff is reviewing the proposals with the Wastewater Treatment Plant Improvement Project Ad Hoc committee, who will make a recommendation to the Board at a future Board meeting.

Montecito Sanitary District
Wastewater Treatment Plant Upgrade Project
Roadmap – Four Lines of Effort



F. Flow/Rain Comparison



G. MSD Capital Improvements Projects – Gantt Chart

MSD Capital Improvement Projects

CURRENT PLANNING STATUS — This view reflects anticipated sequencing and timing based on information presently available. Phases and timing are expected to change as design, permitting, funding, procurement, Board decisions, field conditions, staff capacity, and other dependencies develop. It is not a baseline schedule or a measure of early/late performance.

ID	Initiative / Project	FY25/26 Q1 July - Sept.	FY25/26 Q2 October - Dec.	FY25/26 Q3 January - March	FY25/26 Q4 April - June	FY26/27 Q1 July - Sept.	FY26/27 Q2 October - Dec.	FY26/27 Q3 January - March	FY26/27 Q4 April - June	FY27/28 Q1 July - Sept.	FY27/28 Q2 October - Dec.	FY27/28 Q3 January - March	FY27/28 Q4 April - June
COLLECTION SYSTEM													
C-001	Collection System Master Plan	Planning											
C-003	2025 Sewer Main CIPP Lining	Design/Permitting	Bidding/Award	Construction		Closeout							
C-004	FY 2028 Sewer Main CIPP Lining					RFP	Proposal/Award	Design			Bidding/Award	Construction	
C-005	Manhole Lining Project	Construction		Closeout									
C-007	District Vehicle (Truck)					Vehicle Vetting & Purchase		Procurement and Delivery					
C-007	S. Jameson Relocation (On Hold)												
C-008	San Ysidro Road Upsizing					RFP	Proposal/Award	Design				Bidding/Award	Construction
C-009	Olive Mill Road Upsizing					RFP	Proposal/Award	Design			Bidding/Award	Construction	
S001	Septic to Sewer Strategic Plan	Planning											
CINI	Collection System I&I Reduction Program					RFP/Proposal/Award	Data Gathering Monitoring		Decision Point	RFP for Addressing I&I		Proposal/Award	Implement
LIFT STATIONS / FORCE MAINS													
L-001	Channel Drive Lift Station / Force Main	Design/Permitting						Bidding/Award	Construction				
L-002	Eucalyptus Lift Station Relocation					RFP	RFP/Permitting	Proposal/Award	Preliminary Design			Proposal/Award	Design
L-005	Channel Lift Station Generator	Design/Permitting			Procurement	Construction/Closeout							
TREATMENT & LABORATORY													
T001	WWTP Improvement Project			IGA					Decision Point	Detailed Design/Permitting			
T001*	City of Santa Barbara Interconnect Assessment			Preliminary	Scope	Review	RFP/Award	Assessment	Decision Point	Detailed Design/Permitting			
T002	Outfall Inspection					RFP/Award	Inspection						
FACILTIES													
F010	Monte Cristo Lane Improvements		RFP	RFP/Proposal/Award		Residential Support	and	Design		Bidding/Award	Construction /Closeout		
DISTRICT INFRASTRUCTURE EMERGENCY													
CEME	Collection System Emergencies					RFP	RFP/Proposal/Award						
CMAIN	Collection System Maintenance					RFP	RFP/Proposal/Award						
LEME	Lift Station Emergencies					RFP	RFP/Proposal/Award						
TEME	Treatment Plant Emergencies					RFP	RFP/Proposal/Award						

G. MSD Capital Improvements Projects – Gantt Chart

MSD Capital Improvement Projects

CURRENT PLANNING STATUS — This view reflects anticipated sequencing and timing based on information presently available. Phases and timing are expected to change as design, permitting, funding, procurement, Board decisions, field conditions, staff capacity, and other dependencies develop. It is not a baseline schedule or a measure of early/late performance.

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Schwab One® Account of

MONTECITO SANITARY DISTRICT

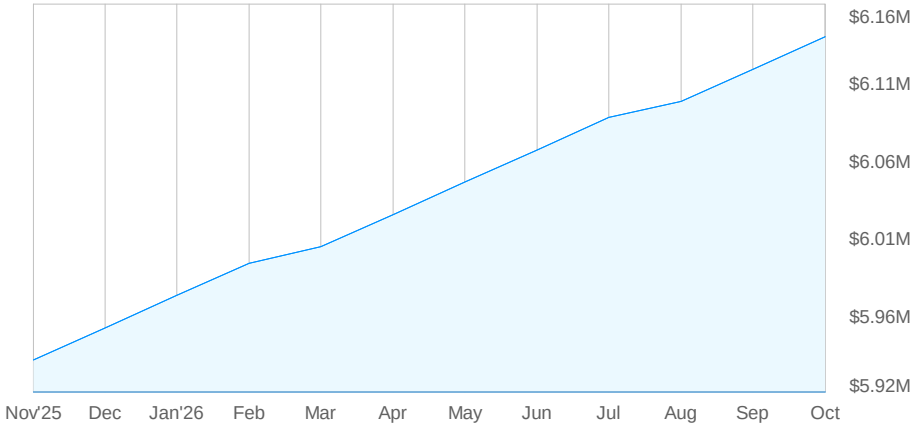
Account Number

Statement Period

October 1-31, 2026

Account Summary

Ending Account Value as of 10/31	Beginning Account Value as of 10/01
$6,140,793.37	$6,120,677.77



	This Statement	YTD
Beginning Account Value	**$6,120,677.77**	$5,961,379.22
Deposits	**0.00**	0.00
Withdrawals	**0.00**	0.00
Dividends and Interest	**20,115.60**	179,414.15
Market Appreciation/(Depreciation)	**0.00**	0.00
Expenses	**0.00**	0.00
Ending Account Value	**$6,140,793.37**	**$6,140,793.37**

Account Ending Value reflects the market value of your cash and investments. It does not include pending transactions, unpriced securities or assets held outside Schwab's custody.

Your Consultant

Marc Myers
VP - Sr Financial Consultant
1 310-546-7063
Marc.Myers@schwab.com

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Call your Schwab Representative
1-800-435-4000
24/7 Customer Service

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Client Relationship Summaries and Best Interest disclosures are at schwab.com/transparency.
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MONTECITO SANITARY DISTRICT
1042 MONTE CRISTO LN
SANTA BARBARA CA 93108-2809

Asset Allocation

Investment Objective: Capital Preservation	This Period	Current Allocation
Cash and Cash Investments	6,140,793.37	100%
Total	**$6,140,793.37**	100%

Top Account Holdings This Period

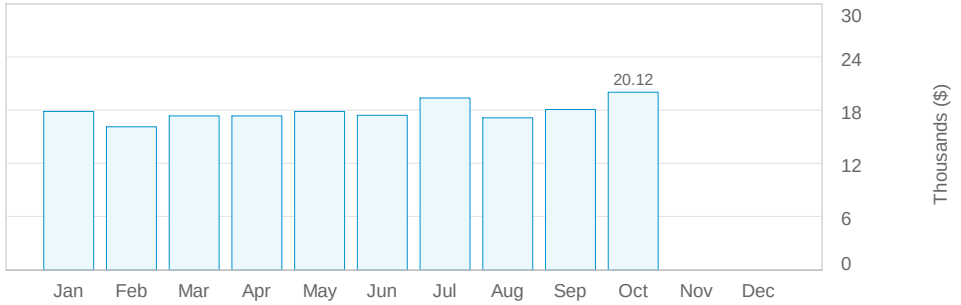
SYMBOL CUSIP	Description	Market Value	% of Accounts
SUTXX	SCHWAB US TREASURY MONEY	6,140,787.86	100%
	CHARLES SCHWAB BANK	5.51	<1%

Gain or (Loss) Summary

	Short-Term (ST)			Long-Term (LT)		
	Gain	(Loss)	Net	Gain	(Loss)	Net
This Period	0.00	0.00	0.00	0.00	0.00	0.00
YTD			0.00			0.00
Unrealized						**$0.00**

Values may not reflect all of your gains/losses and may be rounded up to the nearest dollar; Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis may be incomplete or unavailable for some of your holdings and may change or be adjusted in certain cases. Please login to your account at Schwab.com for real-time gain/loss information. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional information refer to Terms and Conditions.

Income Summary



	This Period		YTD	
Federal Tax Status	Tax-Exempt	Taxable	Tax-Exempt	Taxable
Cash Dividends	0.00	20,115.60	0.00	179,414.15
Total Income	**$0.00**	**$20,115.60**	**$0.00**	**$179,414.15**

Positions - Summary

Beginning Value as of 10/01	+	Transfer of Securities(In/Out)	+	Dividends Reinvested	+	Cash Activity	+	Change in Market Value	=	**Ending Value as of 10/31**
$6,120,677.77		$0.00		($20,115.60)		$20,115.60		$20,115.60		**$6,140,793.37**

Cost Basis	Unrealized Gain/(Loss)
$0.00	$0.00

Values may not reflect all of your gains/losses; Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis may be incomplete or unavailable for some of your holdings and may change or be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional information refer to Terms and Conditions.

Schwab One® Account of

MONTECITO SANITARY DISTRICT

Account Number ****-*526

Statement Period October 1-31, 2026

Cash and Cash Investments

Type	Symbol	Description	Quantity	Price($)	Beginning Balance($)	Ending Balance($)	Change in Period Balance($)	Pending/Unsettled Cash($)	Interest/ Yield Rate	% of Acct
Bank Sweep		CHARLES SCHWAB BANK [X,Z]			5.51	5.51	0.00		0.01%	<1%
Money Fund (Non-Sweep)	SUTXX	SCHWAB US TREASURY MONEY [+]	6,140,787.8600	1.0000	6,120,672.26	6,140,787.86	20,115.60			100%
Total Cash and Cash Investments					**$6,120,677.77**	**$6,140,793.37**	**$20,115.60**			**100%**

Rates for Bank Sweep and Schwab One® Interest are the annual percentage yields (APY) determined as of the last business day of the statement period or the last day a balance was held.

Transactions - Summary

Beginning Cash* as of 10/01	+ Deposits	+ Withdrawals	+ Purchases	+ Sales/Redemptions	+ Dividends/Interest	+ Expenses	= Ending Cash* as of 10/31
$5.51	$0.00	$0.00	($20,115.60)	$0.00	$20,115.60	$0.00	$5.51

Other Activity **$0.00** Other activity includes transactions which don't affect the cash balance such as stock transfers, splits, etc.

*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.

Transaction Details

Date	Category	Action	Symbol/ CUSIP	Description	Quantity	Price/Rate per Share($)	Charges/ Interest($)	Amount($)	Realized Gain/(Loss)($)
10/30	Purchase	Reinvested Shares	SUTXX	SCHWAB US TREASURY MONEY ULTRA	20,115.6000	1.0000		(20,115.60)	
	Dividend	Div For Reinvest	SUTXX	SCHWAB US TREASURY MONEY ULTRA				20,115.60	
Total Transactions								**$0.00**	

Date column represents the Settlement/Process date for each transaction.

Bank Sweep Activity

Date	Description	Amount
10/01	**Beginning Balance** [X,Z]	**$5.51**
10/31	**Ending Balance** [X,Z]	**$5.51**
10/30	**Interest Rate** [*,Z]	**0.01%**

* Your interest p[illegible] 10/1/26 - 10/31/26. [Z]

Endnotes For Your Account

+ Dividends paid on this security will be automatically reinvested.

X Bank Sweep deposits are held at one or more FDIC-insured Program Banks. Charles Schwab & Co., Inc. is not an FDIC-insured bank and deposit insurance covers the failure of an insured bank. Certain conditions must be satisfied for FDIC insurance coverage to apply. Please review the Cash Features Program Disclosure Statement for a list of the Program Banks at schwab.com/cashfeaturesdisclosure.

Z For the Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its Program Banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.

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California CLASS

Summary Statement

October 31, 2026

Investor ID:

0000189-0000877 PDFT 255542

Montecito Sanitary District
1042 Monte Cristo Lane
Santa Barbara, CA 93108

California CLASS

California CLASS

Average Monthly Yield: 3.9309%

	Beginning Balance	Contributions	Withdrawals	Income Earned	Income Earned YTD	Average Daily Balance	Month End Balance
Investment Fund	4,228,960.49	0.00	0.00	14,118.54	130,395.48	4,229,871.36	4,243,079.03
TOTAL	**4,228,960.49**	**0.00**	**0.00**	**14,118.54**	**130,395.48**	**4,229,871.36**	**4,243,079.03**

Tel: (877) 930-5213 www.californiaclass.com

Account Number: (

Investment Fund

Account Summary

Average Monthly Yield: 3.9309%

	Beginning Balance	Contributions	Withdrawals	Income Earned	Income Earned YTD	Average Daily Balance	Month End Balance
California CLASS	4,228,960.49	0.00	0.00	14,118.54	130,395.48	4,229,871.36	4,243,079.03

Transaction Activity

Transaction Date	Transaction Description	Contributions	Withdrawals	Balance	Transaction Number
10/01/2026	Beginning Balance			4,228,960.49	
10/31/2026	Income Dividend Reinvestment	14,118.54			
10/31/2026	Ending Balance			4,243,079.03	

California CLASS

	California CLASS	
Date	Dividend Rate	Daily Yield
10/01/2026	0.000107108	3.9096%
10/02/2026	0.000320289	3.8968%
10/03/2026	0.000000000	3.8968%
10/04/2026	0.000000000	3.8968%
10/05/2026	0.000106918	3.9025%
10/06/2026	0.000107116	3.9097%
10/07/2026	0.000107216	3.9134%
10/08/2026	0.000107216	3.9134%
10/09/2026	0.000429192	3.9164%
10/10/2026	0.000000000	3.9164%
10/11/2026	0.000000000	3.9164%
10/12/2026	0.000000000	3.9164%
10/13/2026	0.000107424	3.9210%
10/14/2026	0.000107457	3.9222%
10/15/2026	0.000107515	3.9243%
10/16/2026	0.000322197	3.9201%
10/17/2026	0.000000000	3.9201%
10/18/2026	0.000000000	3.9201%
10/19/2026	0.000107349	3.9183%
10/20/2026	0.000107639	3.9288%
10/21/2026	0.000107710	3.9314%
10/22/2026	0.000107846	3.9364%
10/23/2026	0.000324489	3.9480%
10/24/2026	0.000000000	3.9480%
10/25/2026	0.000000000	3.9480%
10/26/2026	0.000108479	3.9595%
10/27/2026	0.000108954	3.9768%
10/28/2026	0.000108778	3.9704%
10/29/2026	0.000109389	3.9927%
10/30/2026	0.000218256	3.9832%
10/31/2026	0.000000000	3.9832%

Performance results are shown net of all fees and expenses and reflect the reinvestment of dividends and other earnings. Many factors affect performance including changes in market conditions and interest rates and in response to other economic, political, or financial developments. Investment involves risk including the possible loss of principal. No assurance can be given that the performance objectives of a given strategy will be achieved. **Past performance is no guarantee of future results. Any financial and/or investment decision may incur losses.**

Montecito Sanitary District
1042 Monte Cristo Lane
Santa Barbara, CA 93108
A Public Service Agency
Phone: (805) 969-4200
www.montsan.org

MONTECITO SANITARY DISTRICT

STAFF REPORT – 6A

DATE: November 18, 2026

TO: Board of Directors

FROM: John Weigold, General Manager

SUBJECT: Fiscal Year 2026-27 First Quarter Unaudited Quarterly Financial Report Discussion

RECOMMENDATION

It is recommended that the Board discuss the Fiscal Year 2026-27 First Quarter Unaudited Financial Report approved at the November 4, 2026 Special Meeting.

ANALYSIS

The Board approved the Fiscal Year 2026-27 First Quarter Unaudited Financial Reports at the November 4, 2026 Special Meeting. This agenda item was requested to be put on for Board discussion.

FISCAL IMPACT

None

ATTACHMENTS:

1. Fiscal Year 2026-27 First Quarter Unaudited Financial Reports

MONTECITO SANITARY DISTRICT

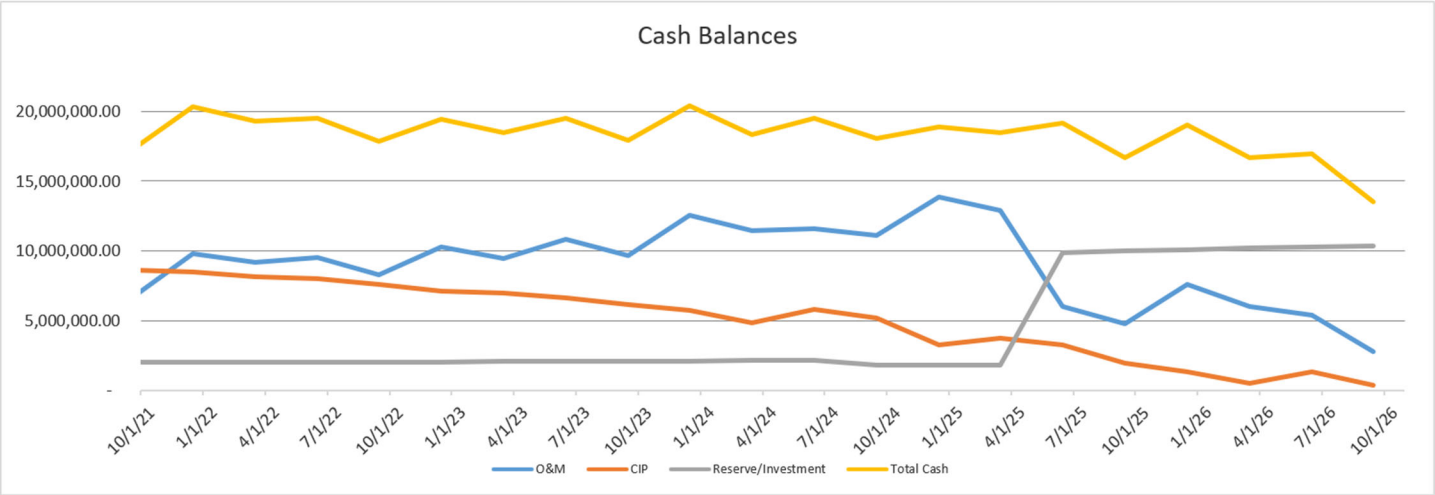
Fiscal Year 2026-27 Unaudited Quarterly Financial Summary Memo

For the Quarter Ended 9/30/2026

FINANCIAL HEALTH AND CASH POSITIONS

As of **September 30, 2026** the District continues to maintain a healthy financial position. Its cash balances are sufficient to cover both its short and long-term obligations as well as keep the District above its adopted **$3.25M** adopted reserve fund policy target. Total cash position of the District ended the quarter at **$13,510,766**, a decrease of **$3,452,927** when compared to the prior quarter. This decrease was anticipated due to the timing of the District's revenue receipts from the County Tax Roll coupled with the expected large capital improvement projects outlay during the first quarter.

Below is a line graph detailing the District's cash position at each quarter for the past five years:



Below is a chart detailing the current cash balances the District maintains compared to last quarter. A detailed breakdown of each account is provided in **Attachment A - Quarterly Cash and Investments Holdings as of 9/30/2026**:

	Balance at 6/30/2026	Balance at 9/30/2026	Difference
Operations & Maintenance	$ 5,363,973	$ 2,781,473	$ (2,582,500)
Capital Improvement Projects	1,327,150	361,835	(965,315)
Investments/Reserves	10,272,570	10,367,458	94,888
Total Available Cash	$ 16,715,053	$ 13,510,766	$ (3,452,927)

Table 1. Fiscal Year 2026-27 Cash Comparison – Current Quarter vs. Prior Quarter

REVENUES

For **Fiscal Year 2026-27**, the District budgeted approximately **$10.5M** in revenues between its two main revenue types: Operating and Non-operating revenue. The District receives approximately 83% of its revenues through two allocations from the County of Santa Barbara's Property Tax apportionment, which occurs in December and April of each year. Non-operating revenues budgeted remained the same as the prior fiscal year due to anticipated reimbursements from FEMA/CalOES not being received by the District yet. Those funds are anticipated to be received in the current fiscal year.

Table 2 below shows the breakdown of revenue received through the period ending **September 30, 2026**.

OPERATIONS & MAINTENANCE BUDGET TO ACTUAL SUMMARY

OPERATING AND NONOPERATING REVENUES

	2026-27 Budget	2026-27 YTD Actuals	Difference
Operating Revenues			
Service Charges	$ 7,371,774	$ (10,558)	$ (7,382,332)
Connection Fees	225,000	119,190	(105,810)
Other Services	65,000	42,278	(22,722)
Total Operating Revenues	$ 7,661,774	$ 150,910	$ (7,510,864)
Nonoperating Revenues			
Property Taxes	$ 865,000	$ -	$ (865,000)
Reimbursements	$ 1,614,297	$ -	
Interest Income	385,000	100,472	(284,528)
Total Nonoperating Revenues	$ 2,864,297	$ 100,472	$ (2,763,825)
Total Revenues	$ 10,526,071	$ 251,382	$ (10,274,689)

Table 2. Fiscal Year 2026-27 Budget to Actuals - Revenue

Operating and Non-operating Revenue Noteworthy Items:

Sewer Service Charges - The District's primary source of revenues comes from Sewer Service Charges. The District submitted all Sewer Service Charges to the County for inclusion on connected properties Property Tax bills in early July. This method saves a substantial amount of administrative time having to bill, collect, and reconcile over 3,000 sewer service bills to customers. Allocations are received in November and April of each Fiscal Year, with true-ups done in June. Total amount reported to the Auditor-Controller's Office was **$7,620,245.36**. This amount reflects the rate increase in Sewer Service Charges adopted by the Board on June 10, 2026.

- **Connection Fees –** The District continues to see a high number of connection fees. In the current quarter there were 16 connection permits initiated for new properties or property improvements, which is 10 more than at this time last fiscal year. It is probable that the District will receive more than the $225,000 budgeted for the fiscal year.

- **Other Services –** The District collects various fees as it relates to inspection services, sewer availability inquiries, engineering review services, agreements, and administrative certifications. There were several agreements recorded in the current quarter that is pushing this revenue source higher than anticipated.

- **Property Tax –** The District's ½ of 1% Property Tax Revenue is collected in the same manner as the Sewer Service Charges. The County released an estimated property tax revenue figures in September and the District is expected to receive **$926,521** for the current fiscal year. Per discussion with the Auditor-Controller Property Tax Divisions Chief this increase is due to several very large property sales in District boundaries that pushed the assessed valuation amount significantly higher.

- **Interest Income –**The **Fiscal Year 2026-27** Interest Income budget was developed with the District's current investment holdings in mind coupled with dwindling cash balances as a result of CIP projects being worked on. During the prior fiscal years cash reserves were moved into higher interest/dividend yielding investment accounts with Charles Schwab and California CLASS resulting in higher earnings when compared to LAIF and the County Treasury. With the declining interest rate environment County interest earnings will continue to perform close to the Districts' holdings in California CLASS and Charles Schwab. Total interest/dividend earnings were **$100,472** in the first quarter.

- **Reimbursements –** In June of 2025 the District received notification from FEMA/CalOES that its 2023 Winter Storms Emergency Projects were approved and obligated. As a result the District is expected to receive approximately **$1.6M.** These are one-time monies and will be put back in the CIP account once received.

EXPENDITURES

The District's Operations and Maintenance expenditures came in at approximately **25%** of what was budgeted for the fiscal year. Table 3 below summarizes **Attachment B – Quarterly Operations & Maintenance Expenditure Status Report as of 9/30/2026** attached to this memo. Noteworthy line items from that attachment are highlighted below to detail the positive or negative differences between the budget and actuals.

	2026-27 Budget	2026-27 YTD Actuals	Difference	% of Budget
Operating Expenditures				
Salaries and Benefits	$ 4,066,042	$ 1,199,177	$ 2,866,865	29%
Insurance	178,000	11,817	166,183	7%
Maintenance & Repairs	180,500	28,861	151,639	16%
Goods & Supplies	155,400	24,091	131,309	16%
Professional Services	227,000	40,915	186,086	18%
Administrative Costs	533,000	73,995	459,005	14%
Plant & Lab Operating Costs	643,750	92,344	551,406	14%
Safety, Training, & Travel	76,000	23,132	52,868	30%
Utilities	319,500	73,157	246,343	23%
Total Operating Expenditures	**$ 6,379,192**	**$ 1,567,488**	**$ 4,811,704**	**25%**

Table 3. Fiscal Year 2026-27 Budget to Actuals - Expenditures

Operating Expenditures Noteworthy Items:

- **6400 – CalPERS Contribution –** Every year the District elects to prepay its CalPERS Unfunded Actuarial Liability (UAL) in July rather than paying in monthly installments. This method saves the District approximately **3.3%** of its total UAL costs. This large expenditure early in the year is causing the percentage of budget utilized for Salaries and Benefits to be high at this point in the fiscal year. The UAL payment totaled **$309,379**, which is more than Staff anticipated. This line item will be monitored to see if a budget adjustment is recommended before fiscal year end.

- **7094 – Insurance-Mobile Equipment –** This line item appears to be trending higher than expected at this point in the fiscal year but is expected. The premium payment for this insurance comes every year during the first quarter with a minor true up in June of each fiscal year. As such, staff expects to be under budget for the current year.

- **7138 – Jetter Truck Equipment/Repairs –** This line item is trending higher than expected as a result of unanticipated repair/replacement needs to the jetters' relief valve, hydraulic motor, and water pump. Staff does not anticipate needing a budget adjustment as any future repairs will be immaterial.

- **7461 – Professional Services – Legal –** The District incurred **$31,020** in legal expenses during the first quarter. If this trend continues a budget adjustment will be necessary later in the fiscal year.

- **7460 – Professional Services – Accounting –** The District incurred **$20,381** in accounting fees related to its FY 2024-25 audit. Staff is currently in fieldwork for the Fiscal Year 2025-26 and expects those charges to be incurred during the current fiscal year as well. Staff does not anticipate needing a budget adjustment at this time for the fiscal year.

- **7508 – Collection/Treatment Fines –** The District incurred a **$9,000** fine from the Central Coast Regional Water Quality Control Board which is causing this line item to trend higher than anticipated. Staff does not anticipate needing a budget revision this is the only fine assessed since 2015.

- **7510 – Contracted Services/Labor –** This line item is trending higher but was expected to do so by staff. In the first quarter every year the District pays its annual Smartcover System Service Warranty in September (**$35,910**). Additionally, the annual service fees for DocuSign (**$5,054**) and the remote monitoring for the lift stations and Wastewater Treatment Plant (**$4,385**) were paid. These one-time annual costs are pushing the percent utilization at this time of the fiscal year higher than 25% but is in alignment with staff expectations.

- **7653 – Chemicals –** The District is currently at 16% of its chemical budget, which is expected as this quarter is in the dry period. Chemical costs are anticipated to increase during the rainy months as more chemicals will be required due to rainfall and staff expects to end the fiscal year close to the budget amount. Updates on chemical usage will continue to be given every quarter.

- **7763 – Electricity –** The District is currently at 22% of its electrical costs and is in alignment with expectations. Updates on electricity usage will continue to be given every quarter.

- **7724 – Staff Training/Conference Registration –** The first quarter of the fiscal year saw an increased number of conference registrations by staff, including CWEA trainings and flagger certification trainings. Staff will continue to monitor this line item to see if any budget adjustments are necessary during the fiscal year.

CAPITAL IMPROVEMENTS PROJECTS

The District maintains separate Capital Improvement Project (CIP) accounts from its Operations and Maintenance funds. The past two fiscal years have seen District CIP activity ramp up to address several maintenance needs, and as such those funds have been drawn upon. At the last quarterly financial report staff anticipated that the District's Operations and Maintenance fund would have to begin funding the capital improvement program, and that funding began near the end of the . This trend will continue during the fiscal year with implementation of the approved capital improvement program.

The CIP Budget passed for **Fiscal Year 2026-27** utilized estimates for the anticipated expenditures for CIP work including permitting, design, construction management, and all other costs associated with projects. The following table details the approved budget for the fiscal year and amount expended year-to-date by program.

Program	2026-27 Approved Budget	Project Expenditures Through 9/30/2026
Collections	$ 1,585,000	$ 40,592
Lift Stations	$ 3,975,000	$ 149,074
Treatment & Lab	$ 1,350,000	$ 260,683
Facilities	$ 750,000	$ -
Total	**$ 7,660,000**	**$ 450,349**

Table 4. Fiscal Year 2026-27 Budget to Actuals – Capital Improvement Program

During the current quarter the District spent **$450,349** on Capital Improvement Projects. It is important to note, however, that there were several large CIP activities at the end of the prior fiscal year where checks were cut for those activities as of **6/30/2026** but cashed in the current fiscal year. Those amounts totaled **$1,371,022.21**, which is a major driver in the District's decrease in cash balances.

Detailed below are some of the noteworthy capital expenditures for the current quarter. A full detail of all CIP expenditures can be found at **Attachment C - Quarterly Capital Improvement Projects Expenditure Status Report as of 9/30/2026.**

- **L001 – Channel Lift Station Improvement - Design –** The District continued design of its Channel Lift Station Improvements project with **$72,456** being expended in the first quarter.

- **L008 – Channel Lift Station Generator –** The District purchased a replacement generator for its Channel Drive Lift Station that totaled **$76,618**.

- **T001 – Wastewater Treatment Plant Project – Design & Engineering –** The District paid invoices totaling **$260,683** in the first quarter on its $1,675,000 contract with Southland Industries. With payment of that invoice there is **$1,146,048** remaining on the contract.

2017 SEWER REFUNDING REVENUE BONDS – CALIFORNIA SPECIAL DISTRICTS ASSOCIATION (CSDA) FINANCE CORPORATION

In May 2017 the District refunded its 2007 Certificates of Participation (COP). The District will make two payments on its bond during Fiscal Year 2026-27 totaling **$940,200**. Of this total **$820,000** will be applied to principal and **$120,200** to interest. After all payments are made in **Fiscal Year 2026-27** the remaining bond debt is **$2,640,000** and is expected to be paid off by July 1, 2030. The current interest rate paid is **5%**.

ANNUAL DEPRECIATION FUNDING

Annually, the District's Operations & Maintenance account contributes to the Capital Replacement Fund and is based on the prior fiscal years' annual depreciation expense. The current year contribution will be **$1,252,985** and staff will make the transfer in June. The District will also continue to fund CIP from District unrestricted funds as needed based on approved projects.

Attachments:

Attachment A – Quarterly Cash and Investments Holdings as of 9/30/2026
Attachment B – Quarterly Operations & Maintenance Expenditure Status Report as of 9/30/2026
Attachment C – Quarterly Capital Improvement Projects Expenditure Status Report as of 9/30/2026
Attachment D – Quarterly Investment Certification

Fiscal Year 2026-27 Capital Improvement Program (CIP)

Adopted by the Board at the June 10, 2026 Regular Meeting

Collections:

Project No.	Description	Estimated Project Cost	2026-27 Approved Budget	Project Expenditures Through 9/30/2026
C001	Collection System Master Plan	**$ 250,000**	$ 60,000	$ 17,995
C004	2026 Sewer Main CIPP Lining - Design	**$ 300,000**	$ 300,000	-
C007	Toyota Tacoma	**$ 50,000**	$ 50,000	-
C008	San Ysidro Upsizing - Design	**$ 500,000**	$ 500,000	-
C009	Olive Mill Road Upsizing - Design	**$ 150,000**	$ 150,000	-
S001	Septic to Sewer Strategic Plan - **CARRYFORWARD**	**$ 120,000**	$ 25,000	22,597
CINI	Collection System Inflow and Infiltration	**$ 500,000**	$ 500,000	-
		Collections Subtotal	**$ 1,585,000**	**$ 40,592**

Lift Stations:

Project No.	Description	Estimated Project Cost	2026-27 Approved Budget	Project Expenditures Through 9/30/2026
L001	Channel Lift Station Improvement - Design - **CARRYFORWARD**	**$ 350,000**	$ 250,000	$ 72,456
L001	Channel Lift Station Improvement - Construction - **CARRYFORWARD**	**$ 3,300,000**	$ 3,300,000	-
L002	Eucalyptus Lift Station Relocation - Design	**$ 350,000**	$ 350,000	-
L008	Channel Lift Station Generator	**$ 75,000**	$ 75,000	76,618
		Lift Stations Subtotal	**$ 3,975,000**	**$ 149,074**

Treatment & Laboratory:

Project No.	Description	Estimated Project Cost	2026-27 Approved Budget	Project Expenditures Through 9/30/2026
T001	Wastewater Treatment Plant Project - Design and Eng.	**$ 1,675,000**	$ 1,150,000	$ 260,683
T002	Outfall Inspection	**$ 200,000**	$ 200,000	-
		Treatment & Laboratory Subtotal	**$ 1,350,000**	**$ 260,683**

Facilities:

Project No.	Description	Estimated Project Cost	2026-27 Approved Budget	Project Expenditures Through 9/30/2026
F010	Monte Cristo Improvements - Design	**$ 100,000**	$ 100,000	$ -
F010	Monte Cristo Improvements - Construction	**$ 500,000**	$ 500,000	-
F011	Administration Building Improvements	**$ 150,000**	$ 150,000	-
		Facilities Subtotal	**$ 750,000**	**$ -**

District Infrastructure Emergency - Not Project Based

Project No.	Description	Estimated Project Cost	2025-26 Approved Budget	Project Expenditures Through 9/30/2026
CEME	Collection System Emergencies	**$ 50,000**	$ 50,000	$ -
CMAIN	Collection System Emergency Maintenance	**$ 150,000**	$ 150,000	-
LEME	Lift Station Emergency Repairs	**$ 50,000**	$ 50,000	-
TEME	Treatment Plan Emergency Repairs	**$ 500,000**	$ 500,000	-
		Sewer Main Extensions Subtotal	**$ 750,000**	**$ -**

Program	2026-27 Approved Budget	Project Expenditures Through 9/30/2026
Collections	$ 1,585,000	$ 40,592
Lift Stations	$ 3,975,000	$ 149,074
Treatment & Lab	$ 1,350,000	$ 260,683
Facilities	$ 750,000	$ -
Total	**$ 7,660,000**	**$ 450,349**

MONTECITO SANITARY DISTRICT OPERATIONS AND MAINTENANCE EXPENDITURE STATUS

FOR THE PERIOD ENDED 9/30/2026

		2026-27 BUDGET	2026-27 YTD ACTUALS	VARIANCE	% OF BUDGET
OPERATING EXPENDITURES					
SALARIES AND BENEFITS					
6100	STAFF SALARIES	$ 2,641,342	$ 622,688	$ 2,018,654	24%
6105	BOARD SALARIES	$ 50,000	$ 11,430	$ 38,570	23%
6108	AUTO ALLOWANCE - GM	$ 3,600	$ 1,200	$ 2,400	33%
6270	STANDBY PAY	$ 52,000	$ 13,275	$ 38,725	26%
6300	OVERTIME	$ 26,000	$ 5,278	$ 20,722	20%
6400	PERS CONTRIBUTION	$ 475,000	$ 363,193	$ 111,807	**76%**
6410	EMPLOYEE BENEFITS	$ 61,000	$ 13,967	$ 47,033	23%
6500	FICA CONTRIBUTION	$ 163,000	$ 40,496	$ 122,504	25%
6510	MEDICARE	$ 38,500	$ 9,471	$ 29,029	25%
6520	UNEMPLOYMENT TAX - STATE	$ 3,500	$ 57	$ 3,443	2%
6600	GROUP MEDICAL - ACWA	$ 372,000	$ 93,625	$ 278,375	25%
6605	RETIREE MEDICAL BENEFITS	$ 51,100	$ 12,768	$ 38,332	25%
6610	LIFE INSURANCE - ACWA	$ 6,500	$ 1,275	$ 5,225	20%
6615	DISABILITY INS - STANDARD	$ 26,000	$ 3,945	$ 22,055	15%
6620	WORKER'S COMPENSATION	$ 58,000	$ -	$ 58,000	0%
6640	DENTAL INSURANCE - ACWA	$ 21,000	$ 4,469	$ 16,531	21%
6645	VISION INSURANCE - ACWA	$ 6,000	$ 1,205	$ 4,795	20%
6650	UNIFORM SERVICE - MISSION	$ 11,500	$ 837	$ 10,663	7%
	TOTAL SALARIES AND BENEFITS	$ 4,066,042	$ 1,199,177	$ 2,866,865	29%
SERVICES AND SUPPLIES					
7090	INS (GEN LIAB/AUTO/E&O) - CSRMA	$ 115,000	$ -	$ 115,000	0%
7091	PROPERTY INSURANCE	$ 48,000	$ -	$ 48,000	0%
7093	INS (EMP DISHONESTY BOND) - CSRMA	$ 1,500	$ -	$ 1,500	0%
7094	INS (MOBILE EQUIP) - CSRMA	$ 13,500	$ 11,817	$ 1,683	**88%**
7110	EMPLOYEE PHYSICALS	$ 1,500	$ -	$ 1,500	0%
7121	PROPERTY MAINTENACE	$ 73,000	$ 14,324	$ 58,676	20%
7122	VEHICLE MAINTENANCE	$ 15,000	$ 2,653	$ 12,347	18%
7126	COLL - EQUIPMENT RENTAL	$ 1,000	$ -	$ 1,000	0%
7127	COLL - SAFETY EQUIPMENT/SUPPLIES	$ 5,000	$ -	$ 5,000	0%
7129	LIFT STATION PARTS	$ 28,000	$ 4,529	$ 23,471	16%
7133	VACCON EQUIPMENT & REPAIRS	$ 15,000	$ -	$ 15,000	0%
7134	CCTV EQUIPMENT/REPAIRS	$ 15,000	$ -	$ 15,000	0%
7136	COLL - MISC COLLECTION TOOLS	$ 10,000	$ 1,270	$ 8,730	13%
7138	JETTER TRUCK EQUIP / REPAIRS	$ 7,000	$ 6,085	$ 915	**87%**
7150	MECHANICAL MAINTENANCE	$ 1,500	$ -	$ 1,500	0%
7200	GENERAL OPERATING SUPPLIES	$ 10,000	$ 3,378	$ 6,622	34%
7201	DRINKING WATER	$ 2,500	$ 421	$ 2,079	17%
7202	GLOVES	$ 8,000	$ 1,434	$ 6,566	18%
7205	COMMUNITY & EMPLOYEE GOODWILL	$ 6,000	$ 585	$ 5,415	10%
7220	MAILING/SHIPPING EXPENSES	$ 5,000	$ 300	$ 4,700	6%
7430	MEMBERSHIPS	$ 47,000	$ 1,897	$ 45,103	4%
7440	MISCELLANEOUS EXPENSES	$ 2,500	$ -	$ 2,500	0%
7450	OFFICE EXPENSES	$ 15,000	$ 2,119	$ 12,881	14%
7452	SCANNING & SHREDDING	$ 10,000	$ -	$ 10,000	0%
7454	BOOKS/SUBSCRIPTIONS/STUDY GUIDES	$ 2,000	$ -	$ 2,000	0%
7456	COMPUTER HARDWARE/SOFTWARE/LICENSING	$ 57,400	$ 13,957	$ 43,443	24%
7461	PROFESSIONAL SERVICES/FEES - LEGAL	$ 100,000	$ 31,020	$ 68,980	**31%**
7462	PROFESSIONAL FEES - ACCOUNTING	$ 40,000	$ 20,381	$ 19,620	**51%**
7463	PROF SERVICES - ENGINEERING	$ 20,000	$ 1,428	$ 18,572	7%
7464	PROFESSIONAL FEES - COMPUTER/GIS	$ 30,000	$ 2,673	$ 27,328	9%
7466	PROF SERVICES - HUMAN RESOURCES	$ 12,000	$ 1,684	$ 10,316	14%
7500	PUBLIC OUTREACH	$ 25,000	$ -	$ 25,000	0%
7506	ADMINISTRATIVE FEES	$ 30,000	$ 3,659	$ 26,341	12%
7508	COLLECTION/TREATMENT FINES	$ 10,000	$ 9,000	$ 1,000	**90%**
7510	CONTRACTED SERVICES/LABOR	$ 103,500	$ 47,360	$ 56,140	**46%**
7530	ADS/NOTICES FOR PUBLICATION	$ 1,500	$ 356	$ 1,144	24%
7610	FURNITURE/FIXTURES	$ 50,000	$ -	$ 50,000	0%
7641	NPDES PERMIT EXPENSES-LAB	$ 16,000	$ 6,155	$ 9,845	38%
7645	NPDES PERMIT REQUIREMENTS - OPERATIONS	$ 180,000	$ -	$ 180,000	0%
7650	ELECTION EXPENSES	$ 500	$ -	$ 500	0%
7651	ANALYZER CHEMICALS	$ -	$ 241	$ (241)	0%
7652	BIOSOLIDS DISPOSAL	$ 70,000	$ 13,950	$ 56,050	20%
7653	CHEMICALS	$ 300,000	$ 48,192	$ 251,808	16%
7654	GENERATOR SERVICE	$ 10,000	$ -	$ 10,000	0%
7655	HAZARDOUS MATERIALS DISPOSAL	$ 2,000	$ -	$ 2,000	0%

7656	PLANT EQUIPMENT RENTAL	$	5,000	$	-	$	5,000	0%
7657	PLANT MAINTENANCE MATERIALS	$	55,000	$	11,758	$	43,242	21%
7658	PLANT MAINTENANCE PROJECTS	$	5,000	$	-	$	5,000	0%
7659	PLANT SAFETY EXPENSES	$	5,000	$	-	$	5,000	0%
7662	SMALL TOOLS/EQUIP	$	4,000	$	-	$	4,000	0%
7670	SPECIAL PROJECTS	$	75,000	$	4,717	$	70,283	6%
7671	ASSET MANAGEMENT	$	131,750	$	5,660	$	126,090	4%
7675	COVID-19 EXPENSES	$	-	$	-	$	-	0%
7681	2023 WINTER STORM	$	52,500	$	2,747	$	49,753	0%
7700	LAB CONSUMABLES-SMALL EQUIPMENT	$	40,000	$	11,293	$	28,707	28%
7702	LAB EQUIPMENT MAINTENANCE	$	10,000	$	1,250	$	8,750	13%
7703	CONTRACT LAB ANALYSES	$	10,000	$	-	$	10,000	0%
7704	Laboratory HVAC Maintenance	$	6,000	$	-	$	6,000	0%
7722	BOARD TRAINING/CONF REGISTRATION	$	12,000	$	2,085	$	9,915	17%
7723	BOARD MEETINGS/TRAVEL EXPENSES	$	12,000	$	3,395	$	8,605	28%
7724	STAFF TRAINING/CONF REGISTRN	$	18,000	$	9,741	$	8,259	**54%**
7725	STAFF TRAVEL EXPENSES	$	15,000	$	5,020	$	9,980	33%
7726	STAFF CERTIFICATIONS/LICENSES	$	8,000	$	1,083	$	6,917	14%
7727	OSHA REQUIRED TRAINING	$	5,000	$	-	$	5,000	0%
7728	SAFETY BOOT ALLOWANCE	$	3,500	$	1,324	$	2,176	38%
7729	APPAREL AND UNIFORMS	$	2,500	$	-	$	2,500	0%
7731	LOCAL MEETING EXPENSES	$	2,500	$	484	$	2,016	19%
7740	FUEL AND OIL	$	20,000	$	5,434	$	14,566	27%
7761	WATER	$	17,000	$	2,944	$	14,056	17%
7762	NATURAL GAS	$	5,500	$	160	$	5,340	3%
7763	ELECTRICITY	$	230,000	$	50,606	$	179,394	22%
7766	TRASH / RECYCLING	$	15,000	$	1,817	$	13,183	12%
7767	TELEPHONE - LOCAL/LD	$	20,000	$	5,092	$	14,908	25%
7768	TELEPHONE CELLULAR	$	12,000	$	7,105	$	4,895	59%
	TOTAL SERVICES AND SUPPLIES	$	2,313,150	$	384,581	$	1,928,569	17%
	TOTAL OPERATING EXPENDITURES	**$**	**6,379,192**	**$**	**1,583,758**	**$**	**4,795,434**	**25%**

Fiscal Year 2026-27 Capital Improvement Program (CIP)

Adopted by the Board at the June 10, 2026 Regular Meeting

Collections:

Project No.	Description	Estimated Project Cost	2026-27 Approved Budget	Project Expenditures Through 9/30/2026
C001	Collection System Master Plan	**$ 250,000**	$ 60,000	$ 17,995
C004	2026 Sewer Main CIPP Lining - Design	**$ 300,000**	$ 300,000	-
C007	Toyota Tacoma	**$ 50,000**	$ 50,000	-
C008	San Ysidro Upsizing - Design	**$ 500,000**	$ 500,000	-
C009	Olive Mill Road Upsizing - Design	**$ 150,000**	$ 150,000	-
S001	Septic to Sewer Strategic Plan - **CARRYFORWARD**	**$ 120,000**	$ 25,000	22,597
CINI	Collection System Inflow and Infiltration	**$ 500,000**	$ 500,000	-
		Collections Subtotal	**$ 1,585,000**	**$ 40,592**

Lift Stations:

Project No.	Description	Estimated Project Cost	2026-27 Approved Budget	Project Expenditures Through 9/30/2026
L001	Channel Lift Station Improvement - Design - **CARRYFORWARD**	**$ 350,000**	$ 250,000	$ 72,456
L001	Channel Lift Station Improvement - Construction - **CARRYFORWARD**	**$ 3,300,000**	$ 3,300,000	-
L002	Eucalyptus Lift Station Relocation - Design	**$ 350,000**	$ 350,000	-
L008	Channel Lift Station Generator	**$ 75,000**	$ 75,000	76,618
		Lift Stations Subtotal	**$ 3,975,000**	**$ 149,074**

Treatment & Laboratory:

Project No.	Description	Estimated Project Cost	2026-27 Approved Budget	Project Expenditures Through 9/30/2026
T001	Wastewater Treatment Plant Project - Design and Eng.	**$ 1,675,000**	$ 1,150,000	$ 260,683
T002	Outfall Inspection	**$ 200,000**	$ 200,000	-
		Treatment & Laboratory Subtotal	**$ 1,350,000**	**$ 260,683**

Facilities:

Project No.	Description	Estimated Project Cost	2026-27 Approved Budget	Project Expenditures Through 9/30/2026
F010	Monte Cristo Improvements - Design	**$ 100,000**	$ 100,000	$ -
F010	Monte Cristo Improvements - Construction	**$ 500,000**	$ 500,000	-
F011	Administration Building Improvements	**$ 150,000**	$ 150,000	-
		Facilities Subtotal	**$ 750,000**	**$ -**

District Infrastructure Emergency - Not Project Based

Project No.	Description	Estimated Project Cost	2025-26 Approved Budget	Project Expenditures Through 9/30/2026
CEME	Collection System Emergencies	**$ 50,000**	$ 50,000	$ -
CMAIN	Collection System Emergency Maintenance	**$ 150,000**	$ 150,000	-
LEME	Lift Station Emergency Repairs	**$ 50,000**	$ 50,000	-
TEME	Treatment Plan Emergency Repairs	**$ 500,000**	$ 500,000	-
		Sewer Main Extensions Subtotal	**$ 750,000**	**$ -**

Program	2026-27 Approved Budget	Project Expenditures Through 9/30/2026
Collections	$ 1,585,000	$ 40,592
Lift Stations	$ 3,975,000	$ 149,074
Treatment & Lab	$ 1,350,000	$ 260,683
Facilities	$ 750,000	$ -
Total	**$ 7,660,000**	**$ 450,349**

Montecito Sanitary District
1042 Monte Cristo Lane
Santa Barbara, CA 93108
A Public Service Agency
Phone: (805) 969-4200
www.montsan.org

QUARTERLY CERTIFICATION STATEMENTS FOR THE MONTECITO SANITARY DISTRICT

in accordance with
RESOLUTION NO. 2013-883, MSD INVESTMENT POLICY

For the Quarter Ended

September 30, 2026

As Treasurer of the Montecito Sanitary District, I, **Dorinne Lee Johnson**, certify that:

(1) All investment actions executed since the last report have been made in full compliance with the Montecito Sanitary District Investment Policy;

(2) A complete and timely record of all investment transactions is maintained in the District office from reports supplied by LAIF, the Santa Barbara County Treasurer's office, Charles Schwab, and California CLASS; and

(3) The Montecito Sanitary District is able to meet its pool's expenditure requirements for the next six months.

Approved at the **11/4/2026** Regular Board Meeting

_____
Board Treasurer
MONTECITO SANITARY DISTRICT

Montecito Sanitary District
1042 Monte Cristo Lane
Santa Barbara, CA 93108
A Public Service Agency
Phone: (805) 969-4200
www.montsan.org

MONTECITO SANITARY DISTRICT

STAFF REPORT – 6B

DATE: November 18, 2026

TO: Board of Directors

FROM: John Weigold, General Manager
Bryce Swetek, Engineering Manager

SUBJECT: Award of Contract – FY 2028 Sewer Main CIPP Lining Project

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RECOMMENDATION:

It is recommended that the Board:

i) Authorize the General Manager to negotiate a contract with Water Systems Consulting, Inc. (WSC) in an amount not to exceed $180,000 to perform design engineering services for the FY 2028 Sewer Main CIPP Lining project; and

ii) Authorize the General Manager to approve expenditures of up to ten percent (10%) of the negotiated amount as an amendment allowance for any changes in scope of work.

DISCUSSION:

Background – The FY 2028 Sewer Main CIPP Lining Project is identified as CIP #5 (Gravity Main Lining - Critical Rehabilitations, Attachment 1) in the District's Sewer Collection System Master Plan and supports Strategic Work Plan Priority #1, Operational Sustainability (Item 1.4). Staff issued a Request for Proposals (RFP) (Attachment 2) seeking professional engineering consultants to prepare construction-ready plans, specifications, and a cost estimate for the project.

This project targets approximately three (3) miles of the District's oldest and lower remaining useful life vitrified clay pipe (VCP) gravity sewer mains, ranging from 6 to 18 inches. As VCP ages, it is susceptible to joint deterioration, cracking, and root intrusion, which create pathways for infiltration. Lining these pipes will restore their structural integrity and reduce infiltration. The project does not anticipate including the lining of adjacent manholes.

Request for Proposal Process and Results – The RFP was issued to four consultants on October 7, 2026, and required the consultants to propose on the Basis Scope of Work (Basis), as well provide any Additional/Optional Scope of Work (Optional) as recommended by the consultant. An addendum to the RFP was issued (Addendum No. 1) consisting of responses to consultant questions was provided to the consulting firms on October 28, 2026. The District received one proposal from Water Systems Consulting, Inc. (WSC).

District Staff reviewed the proposal and filled out an Evaluation Form. The purpose of the evaluation is to review the firm's qualifications, approach to the project, schedule, references, and level of effort. Each criterion was scored from 1-10 with 1 showing poorly and 10 showing excellence. The criteria were averaged amongst the review panel and then a weighted value was applied based on the RFP to tally a final weighted score. The table below represents a summary of the evaluation as well as the anticipated fee and responsiveness from each firm:

Consulting Firm	Qualifications (40%)	Approach (20%)	Schedule (10%)	References (15%)	Effort (15%)	Approx. Proposal Amount "Basis" and "Optional"	Weighted Score / Proposal Status
WSC	9	9	8	9	8.5	$180,000	**88.25** Responsive

Analysis – Justifications – The anticipated base proposal amount of approximately $180,000, submitted by WSC, is responsive to and meets the requirements of the RFP. WSC identified optional work as needed, including additional pipelines for lining and public outreach support. While staff did not have another proposal to compare for this project, staff did compare it to WSC's last awarded project for a similar effort, which was the District's 2025 Sewer Main CIPP Project. The team and level of effort is consistent with that proposal, with an increase in overall value from a linear footage perspective.

Staff recommends the Board authorize the General Manager to negotiate a contract with WSC. Staff notes that the optional scope of work provided by WSC is not anticipated to be exercised. WSC's proposal (Attachment 2) demonstrates relevant project experience, a strong multi-disciplinary team of engineering professionals, a clear understanding and approach to execute the work, and an acceptable schedule.

Fiscal Impact – The FY 2028 Sewer Main CIPP Lining project is included in the Fiscal Year 2026-27 CIP Budget with $300,000. Staff plans to negotiate with WSC to look for efficiencies and confirm scope of this effort. Staff notes the anticipated proposal is under budget. A budget adjustment is not anticipated at this time.

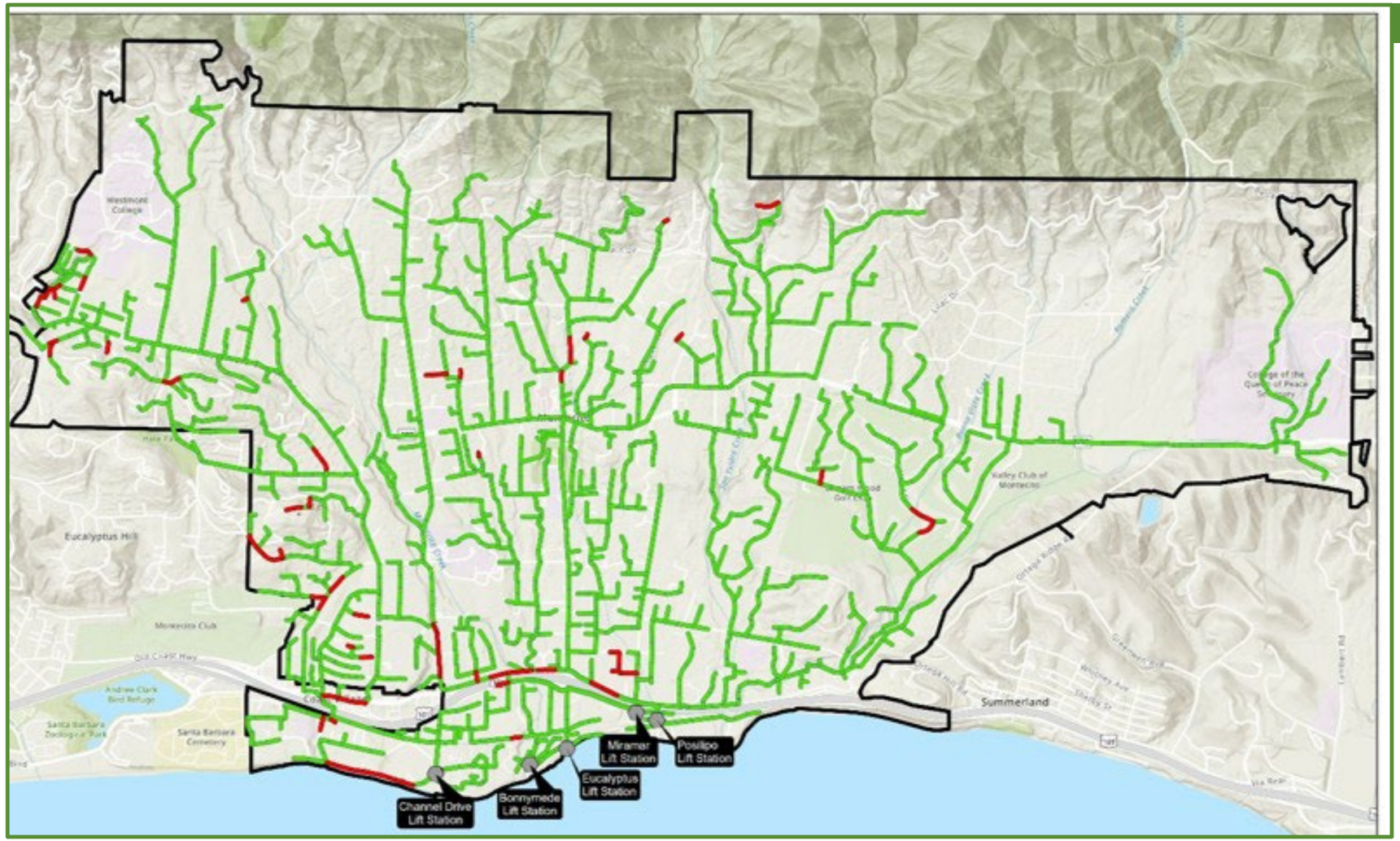
Project Timeline – The project timeline showing the RFP through anticipated construction is provided as Attachment 4

DEPARTMENTS INVOLVED: Engineering and Administration

ATTACHMENTS:

1. Master Plan CIP #5
2. Montecito Sanitary District RFP
3. WSC Proposal
4. Project Timeline

CIP #5: Gravity Main Lining – Critical Rehabilitations



AGING INFRASTRUCTURE

LOCATION

District-wide

PURPOSE

Project targets the District's oldest vitrified clay pipe (VCP) gravity sewer mains. As VCP ages, it is susceptible to joint deterioration, cracking, and root intrusion, which create pathways for infiltration. Lining these pipes will restore their structural integrity.

PROJECT DESCRIPTION

Use cured-in-place pipe (CIPP) lining to rehabilitate 88 sewer main segments (3.04 miles):

- 59 segments (1.96 miles) with remaining useful life (RUL) ≤5 years age and/or condition
- 29 segments (1.08 miles) with RULs of 6-10 years that are directly adjacent

PHASE	ESTIMATED COST	DURATION
Design/Planning	$250,000	9 months
Construction	$2,300,000	6 months

Montecito Sanitary District

1042 Monte Cristo Lane
Santa Barbara, CA 93108
General Manager: John Weigold

A Public Service Agency

PHONE: (805) 969-4200
FAX: (805) 969-9049
E-MAIL: jweigold@montsan.org

Request for Proposal (RFP)

Consultant Services
For
FY 2028 Sewer Main CIPP Lining
Project No. C004

October 7, 2026

Submittals due November 4, 2026, by 4:00 PM

I. INTRODUCTION

Montecito Sanitary District is located in Santa Barbara County approximately 3 miles south of the City of Santa Barbara. It provides wastewater collection, pumping and treatment to the 10,000 residents of the unincorporated community. The wastewater collected is primarily residential. There are some commercial customers and no industrial customers in the District's wastewater system. The District boundaries are located along the coastline and extending to the foothills. As part of the District's wastewater collection system master plan, several pipelines were identified for rehabilitation.

The Montecito Sanitary District (MSD/District) is seeking proposals from professional engineering consultants to prepare construction ready plans, specifications, and cost estimate for the FY 2028 Sewer Main CIPP Lining project. The project is anticipated to include CIPP lining of approximately 3 miles of pipeline ranging from 8- to 18-inch vitrified clay pipe (VCP). Atlas maps of the proposed lining work are provided as Attachment A. The project plans will detail the limits of the work, access structures, right of way and easement limits, access constraints, and permit requirements. This RFP will focus on design services only. Bid and construction phase services will be considered under a separate contract.

II. SCOPE OF WORK

Consultant is expected to perform the following services/tasks described below. The District will consider any additions or refinements to the proposed scope of work that the Consultant may recommend, provided the changes meet the intent of the scope.

TASK 1: PROJECT MANAGEMENT, QUALITY ASSURANCE/QUALITY CONTROL, MEETINGS, AND SITE VISITS.

This task includes project management and coordination activities as well as quality control/assurance, and meetings with the project team.

Task 1.1 Project Management. Monitoring and coordination of budget, schedule, subconsultants, jurisdictional/public agencies, and internal project team. Prepare monthly invoices and updated schedule.

The District's Project Manager will coordinate access to assets within the study site as needed by the Consultant.

Task 1.2 Quality Control/Quality Assurance. Review and quality control all deliverables prior to submittal to the District.

Task 1.3 Meetings. Anticipated Meetings include:

- Project kick-off meeting and site visit (if requested) with District staff.
- 50% Deliverables review meeting (video)
- 90% Deliverables review meeting (video)
- Final Contract Documents

Prepare agenda and conduct design kick-off meeting with District staff to review project scope and District's and Consultant's expectations. Prepare a schedule for completion of the design of the Contract Documents to be discussed at the kick-off meeting. Include milestone dates for submittals and review meetings. Consultant shall prepare and distribute the meeting summaries following meetings.

TASK 2: CONTRACT DOCUMENT PREPARATION

Consultant shall prepare construction ready plans, technical specifications, and cost estimate for the lining project. The District has standardized on cured in place pipe (CIPP) for their lining projects and desires to continue with that product/technology. Plans will incorporate the pipeline section to be lined, the technical parameters related to the lining, access structures, etc. The District will supply the record drawings and GIS data of its existing infrastructure that can be used as a base map. The District will also supply CCTV video, as needed, and will identify sewer laterals for potential for sewer lateral connection (SLC) inserts. Technical specifications will be in the District format (CSI format 6 digit).

Task 2.1 Draft Deliverable Preparation. Consultant will prepare plans, technical specifications, and cost estimate deliverables for District review at the 50% and 90% design stages. The draft deliverables shall be provided in Word (.doc) format so District staff may provide comments and suggested edits via "track changes" as well as PDF. Consultant should anticipate a remote review meeting with the District to clarify comments per Task 1.3. The Consultant shall anticipate two to three weeks for District review of each submittal.

Task 2.2 Final Deliverable Preparation. Consultant will prepare plans, technical specifications, and cost estimate deliverables in PDF format, which each shall be signed and stamped by a Professional Civil Engineer registered in the State of California. These will be considered the Issued for Bid electronic set for the District.

III. RESPONSES

The response shall include at a minimum the information listed herein; incomplete or unclear information may be grounds for rejection. The response shall be organized as follows:

1. **Letter Proposal**

   The Proposal may be submitted as a letter proposal addressed to:

   Bryce Swetek, P.E.
   Engineering Manager
   Montecito Sanitary District
   1042 Monte Cristo Lane
   Santa Barbara, CA 93108

   The letter proposal shall be submitted electronically to Bryce Swetek, P.E. at bswetek@montsan.org. The email subject line shall say, "RFP: FY 2028 Sewer Main CIPP Lining". The proposal must be received by **4:00 PM Pacific Time, November 4, 2026.**

Late proposals will not be accepted. Any proposal received prior to the time and date specified above may be withdrawn or modified by written request of the proposer provided the modified proposal is received prior to the time and date specified above.

2. Experience and Qualifications

a. Provide a brief history of the firm, including name of the firm, the year the firm was established under the current name, and the closest office location to the project. Indicate any other previous names for the firm during the last five years and the year any name change was effective.
b. Provide general information concerning the Consultant's qualifications and descriptions of at least 3 CIPP wastewater pipeline lining projects. Indicate who in the firm performed specific functions on the projects. For any incomplete projects, clearly indicate the status of the project. Inclusion of general information for any subconsultant qualifications and listing where Consultant and subconsultant have previously worked together is encouraged.
c. Identify firm personnel, including any subconsultants, that will be assigned to the key positions and show the relationships on an Organizational Chart and provide which office they are located.
d. Provide three clients as a reference, including at least two for whom services were rendered during the past two years, preferably for services similar to those outlined in this solicitation; include contact information for each reference.

3. Project Understanding and Approach

Provide a statement demonstrating the Consultant's understanding of the Project and proposed approach to the Project scope of work, broken down by tasks and subtasks. Proposals shall identify specific milestones and deliverables for each task. Consultant may revise the scope of services in this RFP and should call out the proposed revisions/considerations in their proposal with any supporting context.

4. Estimated Schedule

The proposal must include an estimated schedule to complete the Project scope of work. Describe the Consultant's ability to meet the proposed schedule and timing of each task in the scope of work.

5. Estimated Level of Effort

The proposal shall include a table listing the personnel involved in the project and the anticipated hours associated with each individual's level of effort identified by task based on a Professional Rate Sheet listing the different classifications of labor. The Professional Rate Sheet shall be included in the proposal. The table is to be based on the Consultant's estimation for performing the services based on the Consultant's Project Understanding and Approach.

6. Conflicts

Identify any conflicts which could affect the ability to perform the work in a timely fashion over the duration of the contract.

7. Quality Assurance/Quality Control

Provide a brief description of in-place and proposed Quality Assurance & Quality Control practices applicable for this project.

8. Assumptions and Additional Comments

The proposal shall include any assumptions made, comments, suggestions, or additions for the District to consider in selecting the firm. Identify the potential benefit, value, or impact these may have to the District.

9. Contract Requirements

The District will be utilizing a Professional Services Agreement (PSA) included as Attachment B for review. The terms of Agreement are not subject to change. The consultant must comply with applicable local, State, and Federal laws including prevailing wage rates and their payment in accordance with California Labor Code, Section 1775. Provide and affirmative statement indicating acceptance of the terms of the Agreement.

IV. SELECTION CRITERIA

From the proposals received, the District will select the most qualified firm for the project. Selection will be based on information provided in the proposal and will be based on the following criteria:

1. Qualifications: The Consultant's (including subconsultants, if any) firm as well as relevant experience and ability to perform the Scope of Services as outlined above, based on information provided by the Consultant and client references – **30%.**
2. Approach: The Consultant's understanding of the Project as demonstrated by their approach to completing the Services requested above – **20%**.
3. Schedule: The Consultant's availability and ability to perform the Scope of Services in a timely manner – **20%**.
4. References: The Consultant's client references, the Consultant's performance on similar studies, and their knowledge of, and familiarity with, the District's geographic region – **15%**.
5. Level of Effort: The District is seeking a reasonable level of effort for the Scope of Services as outlined in the RFP and will consider the significance of any proposed changes/additions to the Scope of Services – **15%**.

V. RESERVATION OF RIGHTS

The following is a list of rights of the District:

1. The Montecito Sanitary District reserves the right to:
    a. Reject any and all responses received.
    b. Issue a subsequent RFP.
    c. Cancel the entire RFP.
    d. Remedy technical errors in the RFP process.
    e. Negotiate with any, all, or none of the Respondents to the RFP.

f. Waive informalities and irregularities.
g. Make multiple recommendation(s) to the MSD Board.
h. Request additional information or clarification.
i. All responses and their contents will become the property of MSD.

2. The District will not reimburse Consultants or sub-consultants for any costs associated with any travel and/or per diem incurred in any presentations or for any costs in preparing and submitting the responses.
3. The District reserves the right to end, in its sole discretion, negotiations at any time with any or all Consultants. This RFP does not commit the District to enter into a contract, nor does it obligate it to pay any costs incurred in the preparation and submission of responses or in anticipation of a contract.
4. Failure to respond to the requirements outlined in this RFP may result in the Consultant's disqualification as non-responsive to the RFP.

VI. INQUIRIES

All inquiries related to this RFP shall be sent to Bryce Swetek, P.E. at bswetek@montsan.org and Michael Pardo at mpardo@montsan.org no later than **4:00 PM Pacific Time, October 23, 2026.** Any clarifications or modifications to this RFP resulting from proposer inquiries shall be issued by the District through written addenda and distributed to all proposers no later than **4:00 PM Pacific Time, October 27, 2026.** If any addenda are issued, Consultant shall provide an affirmative statement indicating acknowledgement of the addenda.

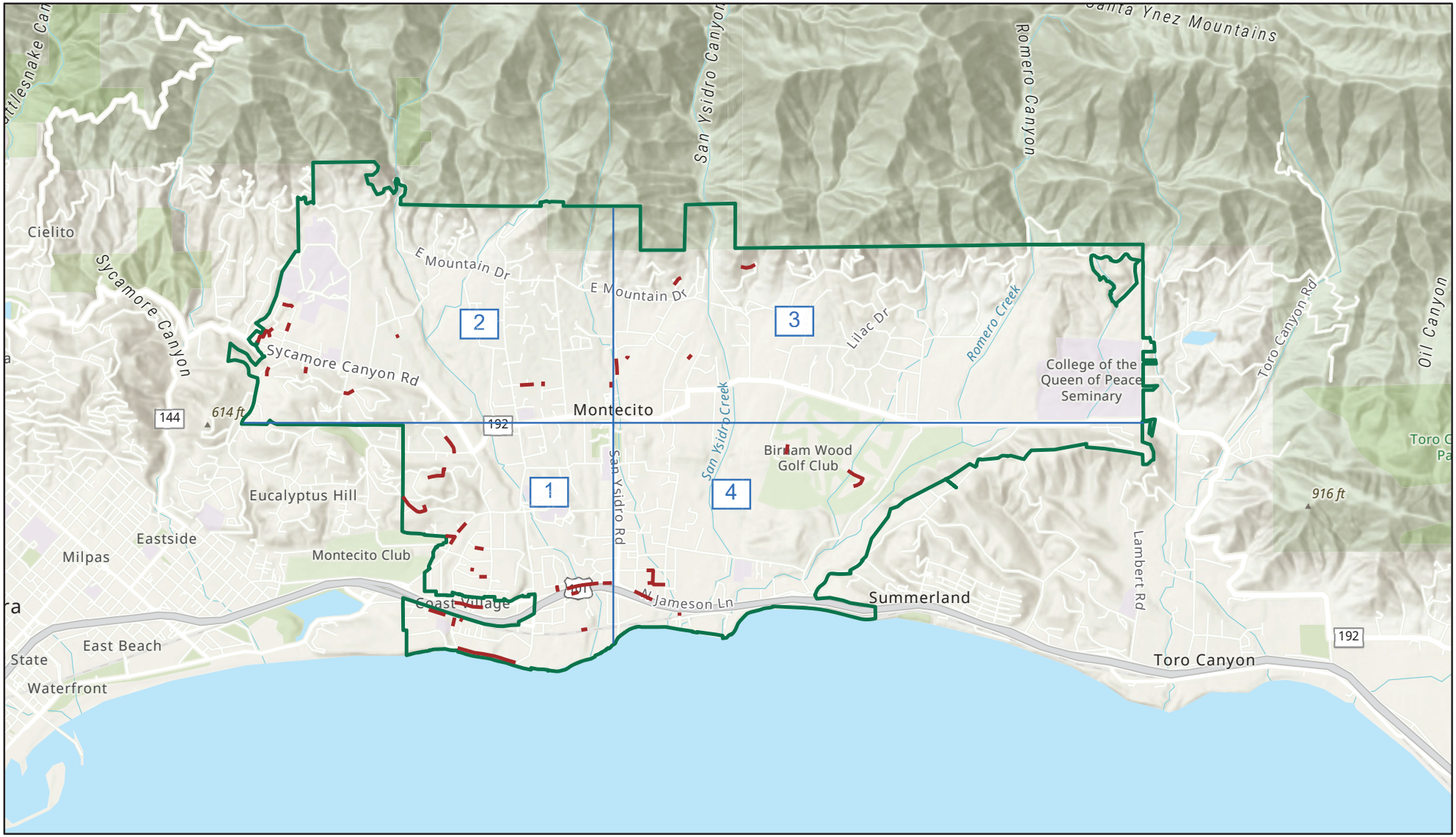
VII. ATTACHMENTS

A. District GIS Atas Maps
B. Sample Professional Services Agreement

Attachment A

District GIS Atlas Maps

FY 2028 Sewer Main CIPP Lining Maps



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Montecito Sanitary District Boundary

SBC Assessor Parcels – Mailing Labels

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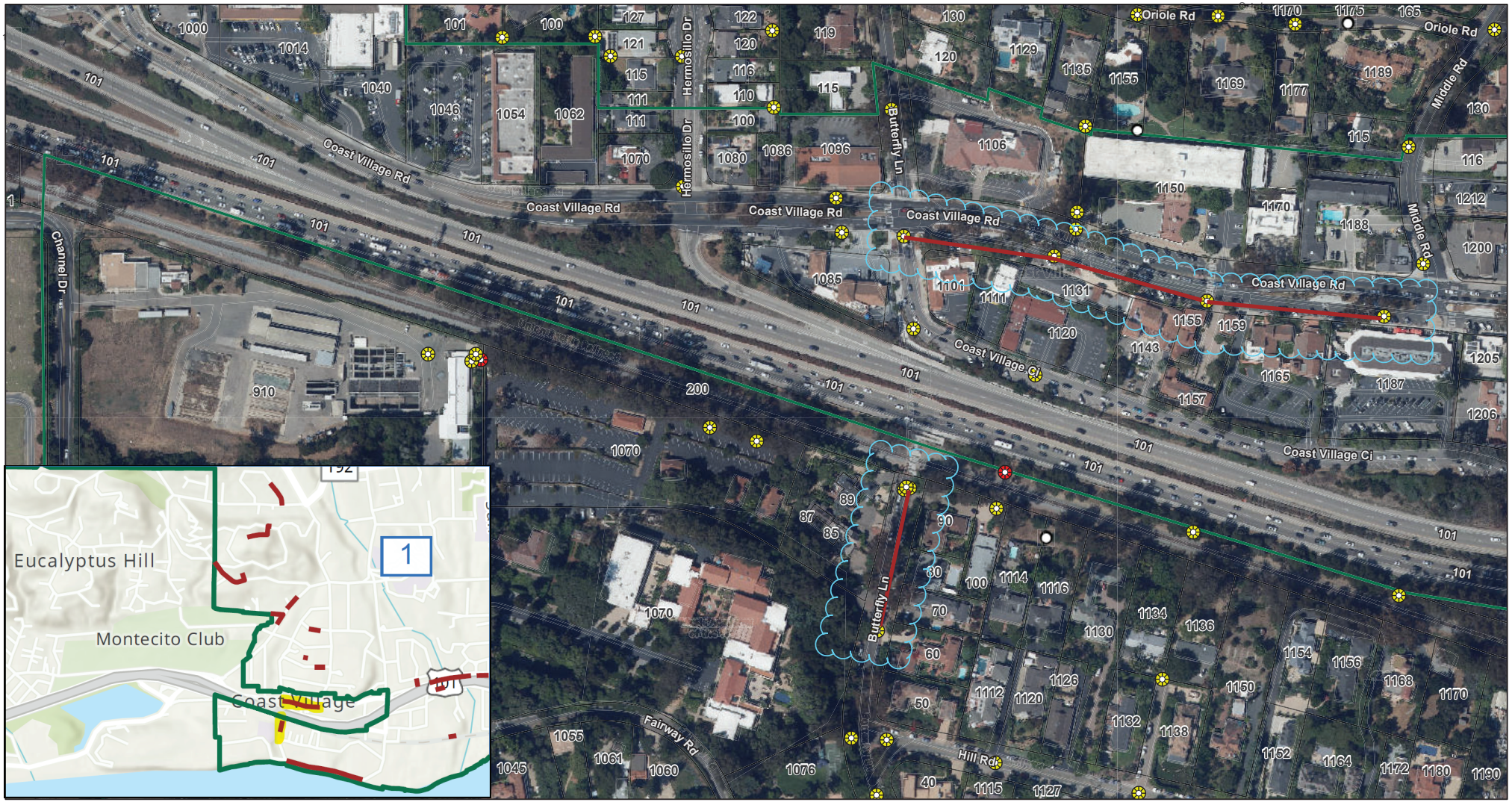
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Sources: Esri, TomTom, Garmin, FAO, NOAA, USGS, © OpenStreetMap contributors, and the GIS User Community, Esri, NASA, NGA, USGS

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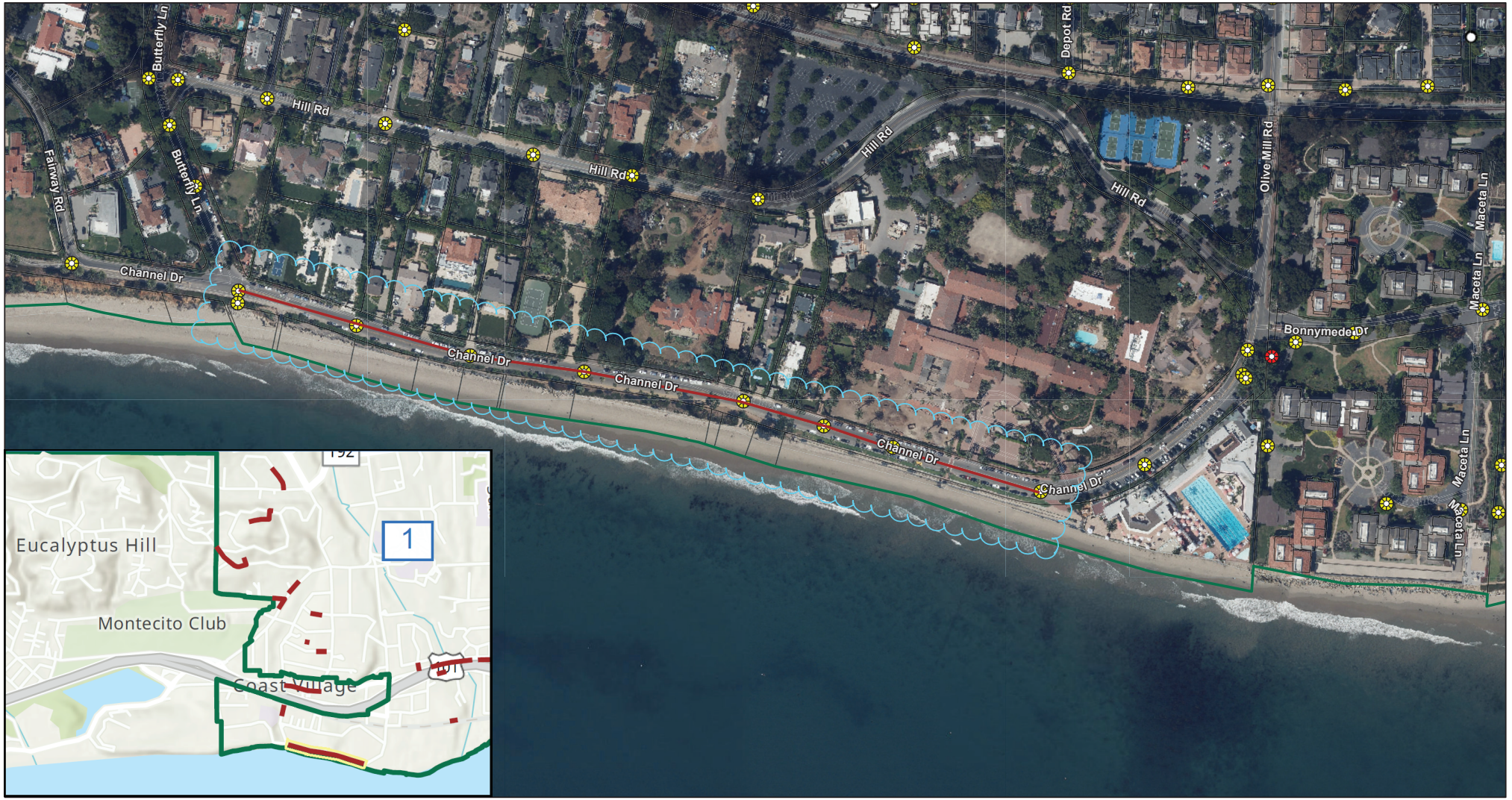
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Sources: Esri, TomTom, Garmin, FAO, NOAA, USGS, © OpenStreetMap contributors, and the GIS User Community, Sources: Esri, Vantor, Airbus DS, USGS, NGA, NASA, CGIAR, N Robinson, NCEAS, NLS, OS, NMA, Geodatastyrelsen, Rijkswaterstaat, GSA, Geoland,

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Cleanouts

Maintenance Holes

Standard

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Montecito Sanitary District Boundary

SBC Assessor Parcels – Mailing Labels

Street Labels

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World_Hillshade

Sources: Esri, TomTom, Garmin, FAO, NOAA, USGS, © OpenStreetMap contributors, and the GIS User Community, Esri, NASA, NGA, USGS, FEMA

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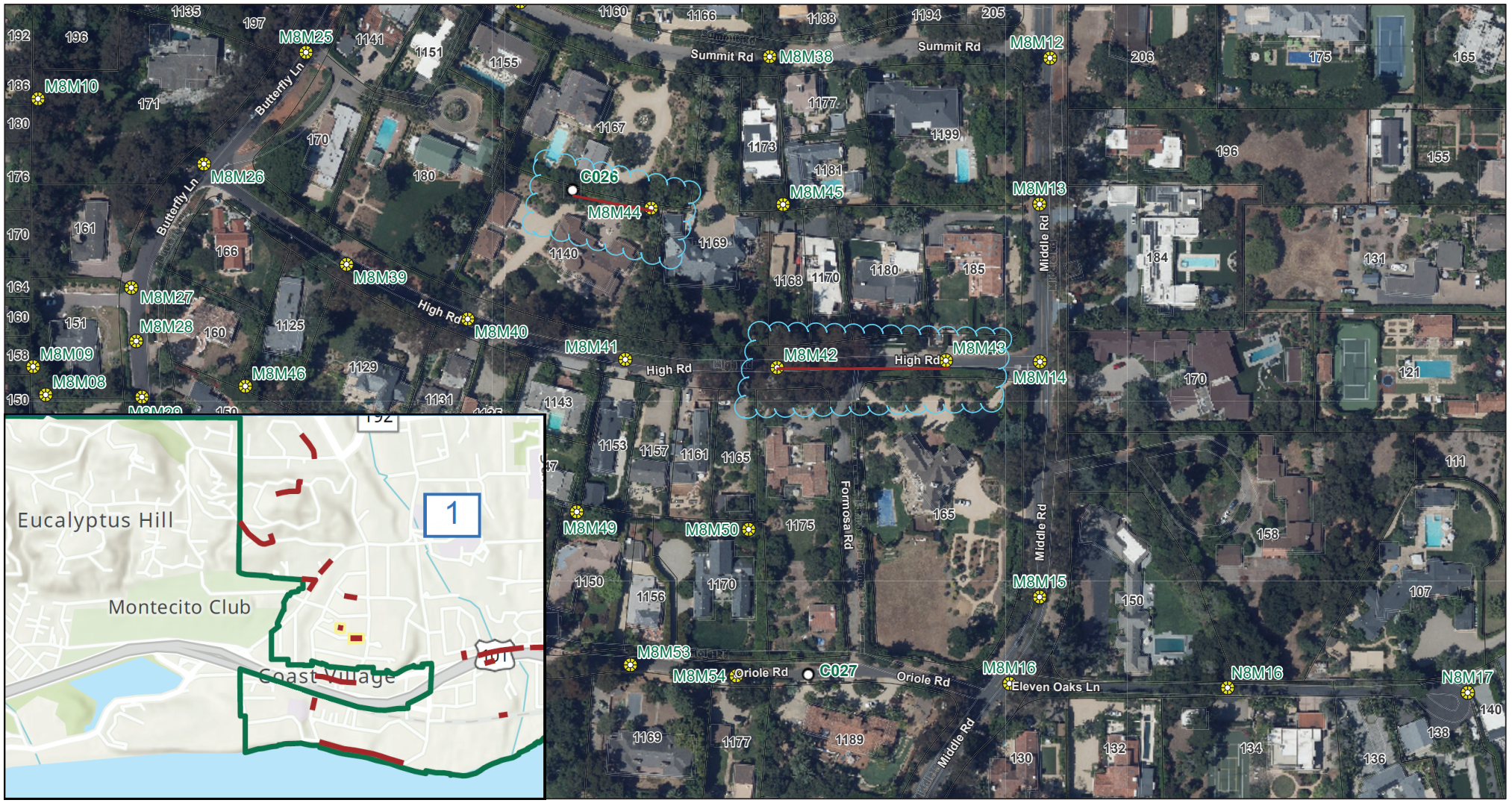
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Sources: Esri, TomTom, Garmin, FAO, NOAA, USGS, © OpenStreetMap contributors, and the GIS User Community, Esri, NASA, NGA, USGS, FEMA

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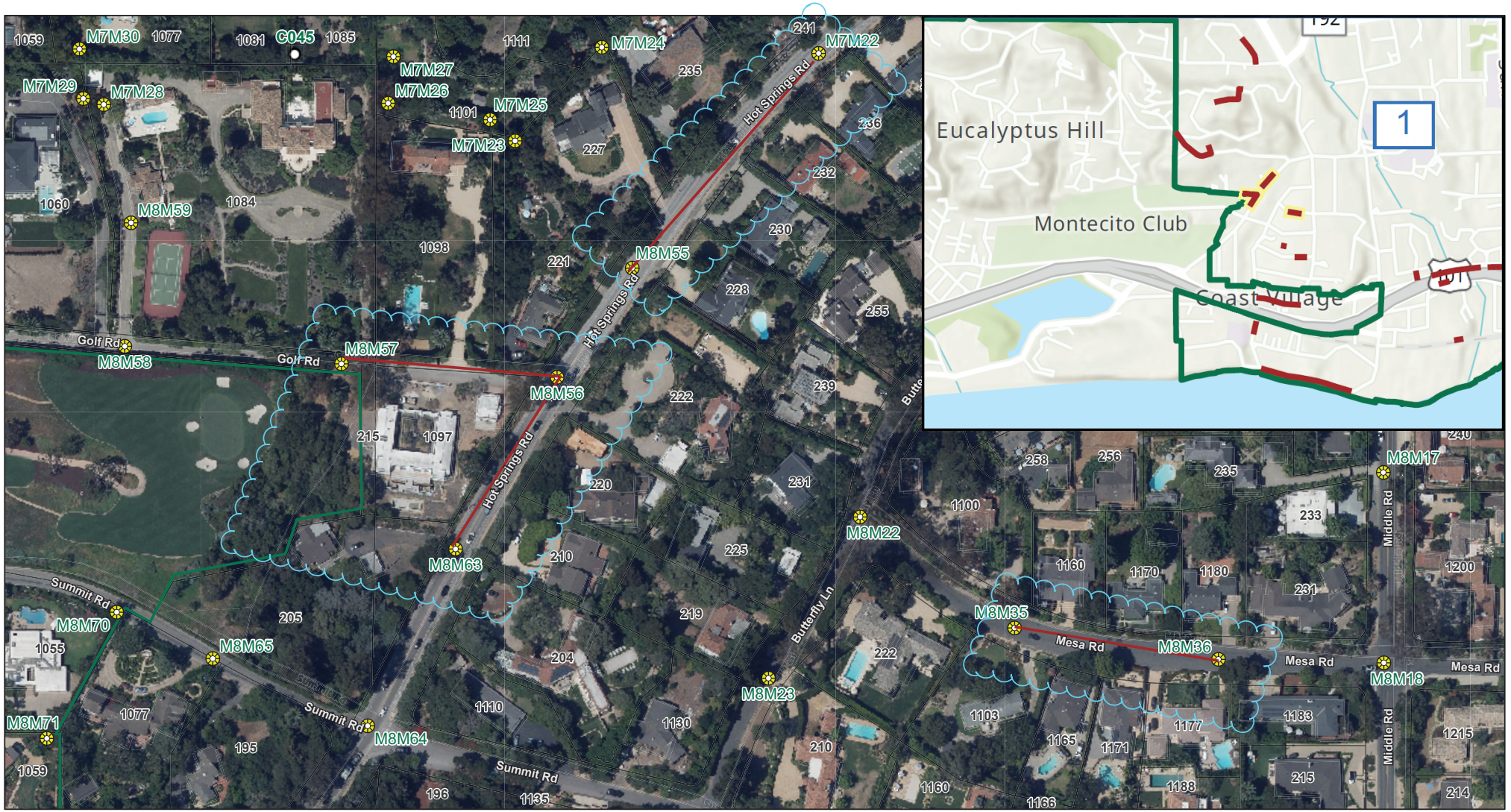
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Sources: Esri, TomTom, Garmin, FAO, NOAA, USGS, © OpenStreetMap contributors, and the GIS User Community, Sources: Esri, Vantor, Airbus DS, USGS, NGA, NASA, CGIAR, N Robinson, NCEAS, NLS, OS, NMA, Geodatastyrelsen, Rijkswaterstaat, GSA, Geoland,

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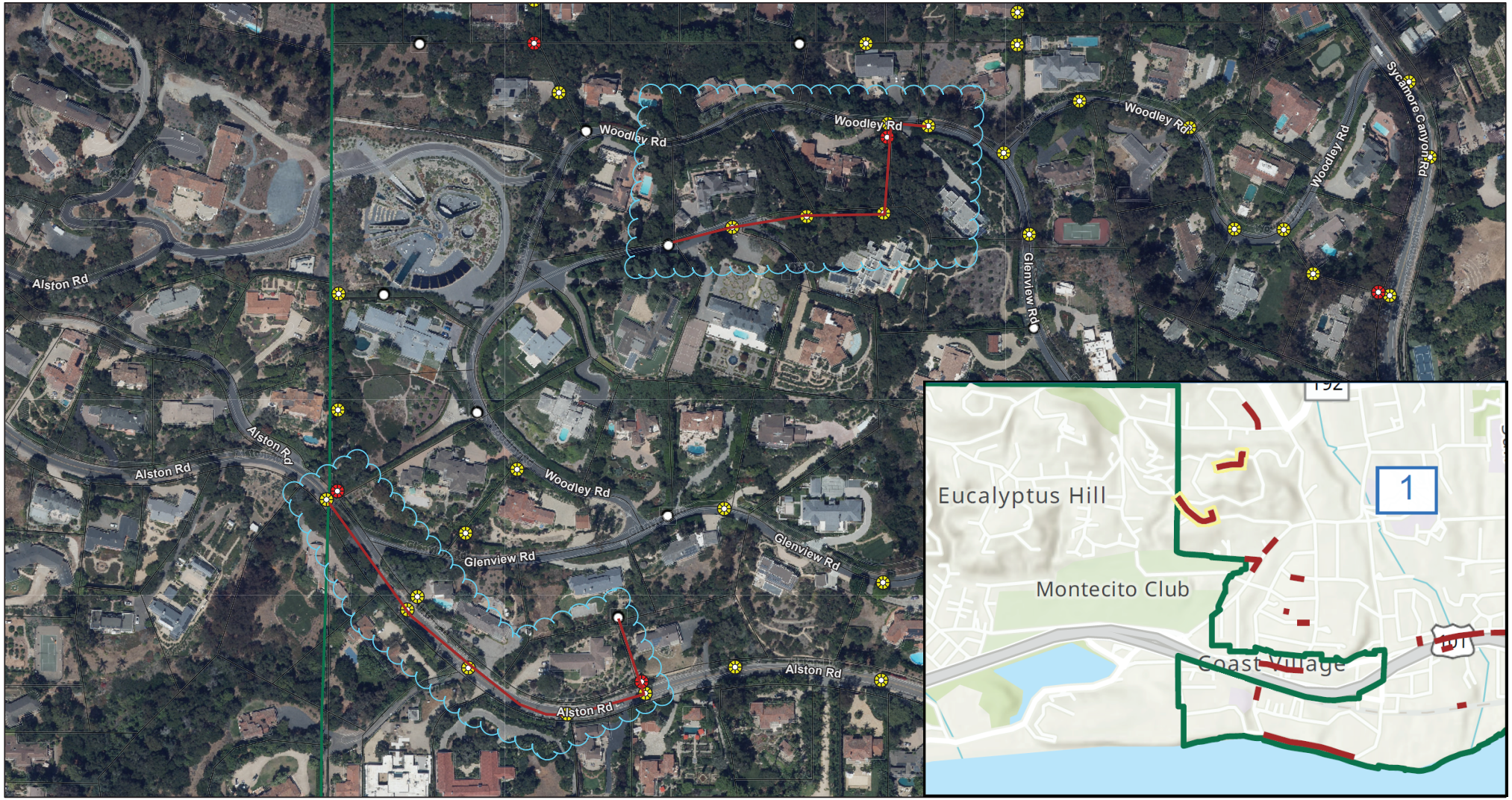
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Sources: Esri, TomTom, Garmin, FAO, NOAA, USGS, © OpenStreetMap contributors, and the GIS User Community, Esri, NASA, NGA, USGS, FEMA

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Sources: Esri, TomTom, Garmin, FAO, NOAA, USGS, © OpenStreetMap contributors, and the GIS User Community, Sources: Esri, Vantor, Airbus DS, USGS, NGA, NASA, CGIAR, N Robinson, NCEAS, NLS, OS, NMA, Geodatastyrelsen, Rijkswaterstaat, GSA, Geoland,

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Sources: Esri, TomTom, Garmin, FAO, NOAA, USGS, © OpenStreetMap contributors, and the GIS User Community, Sources: Esri, Vantor, Airbus DS, USGS, NGA, NASA, CGIAR, N Robinson, NCEAS, NLS, OS, NMA, Geodatastyrelsen, Rijkswaterstaat, GSA, Geoland,

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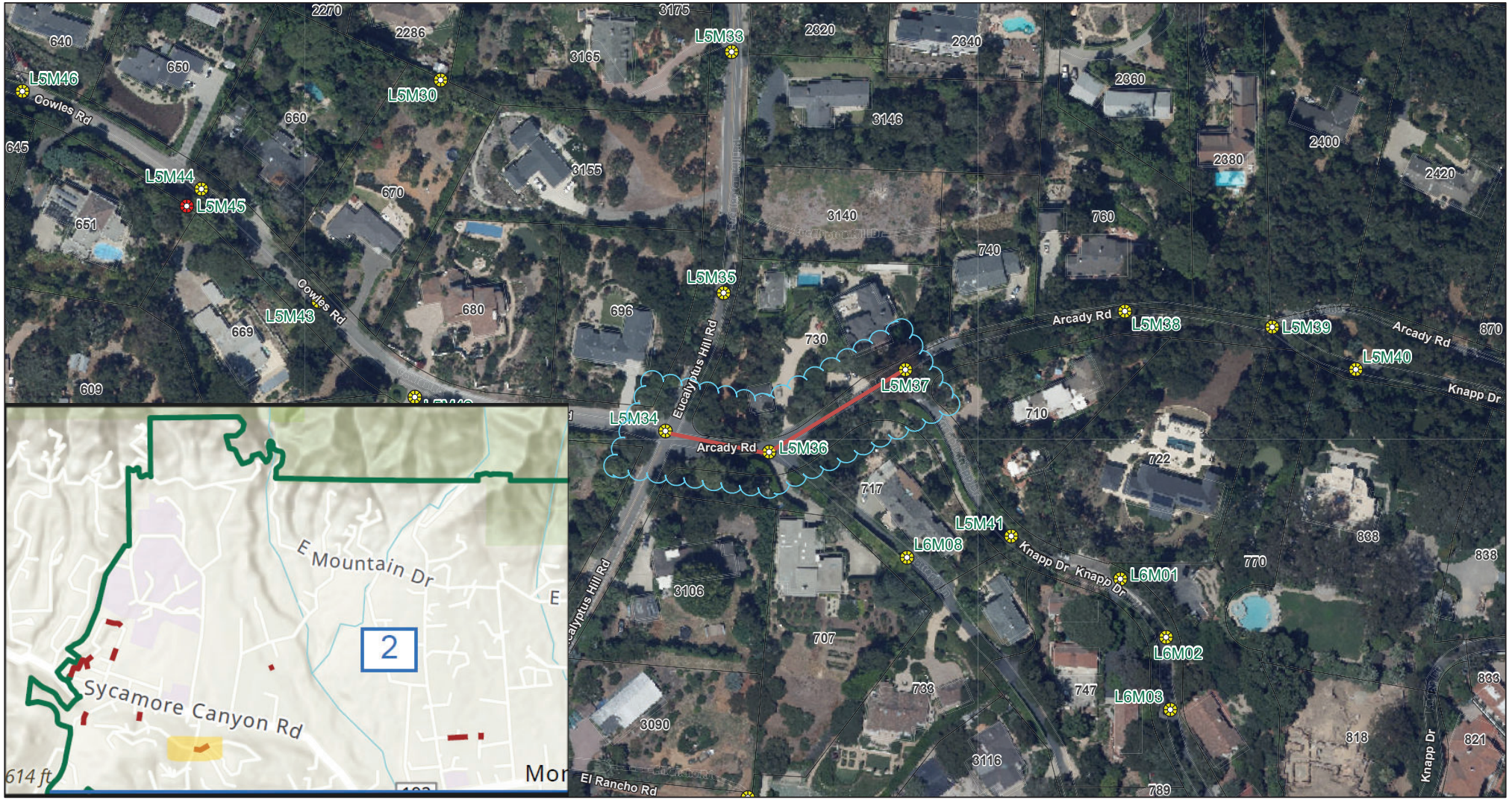
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Montecito Sanitary District Boundary

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SBC Assessor Parcels – Mailing Labels

Street Labels

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Sources: Esri, TomTom, Garmin, FAO, NOAA, USGS, © OpenStreetMap contributors, and the GIS User Community, Sources: Esri, Vantor, Airbus DS, USGS, NGA, NASA, CGIAR, N Robinson, NCEAS, NLS, OS, NMA, Geodatastyrelsen, Rijkswaterstaat, GSA, Geoland,

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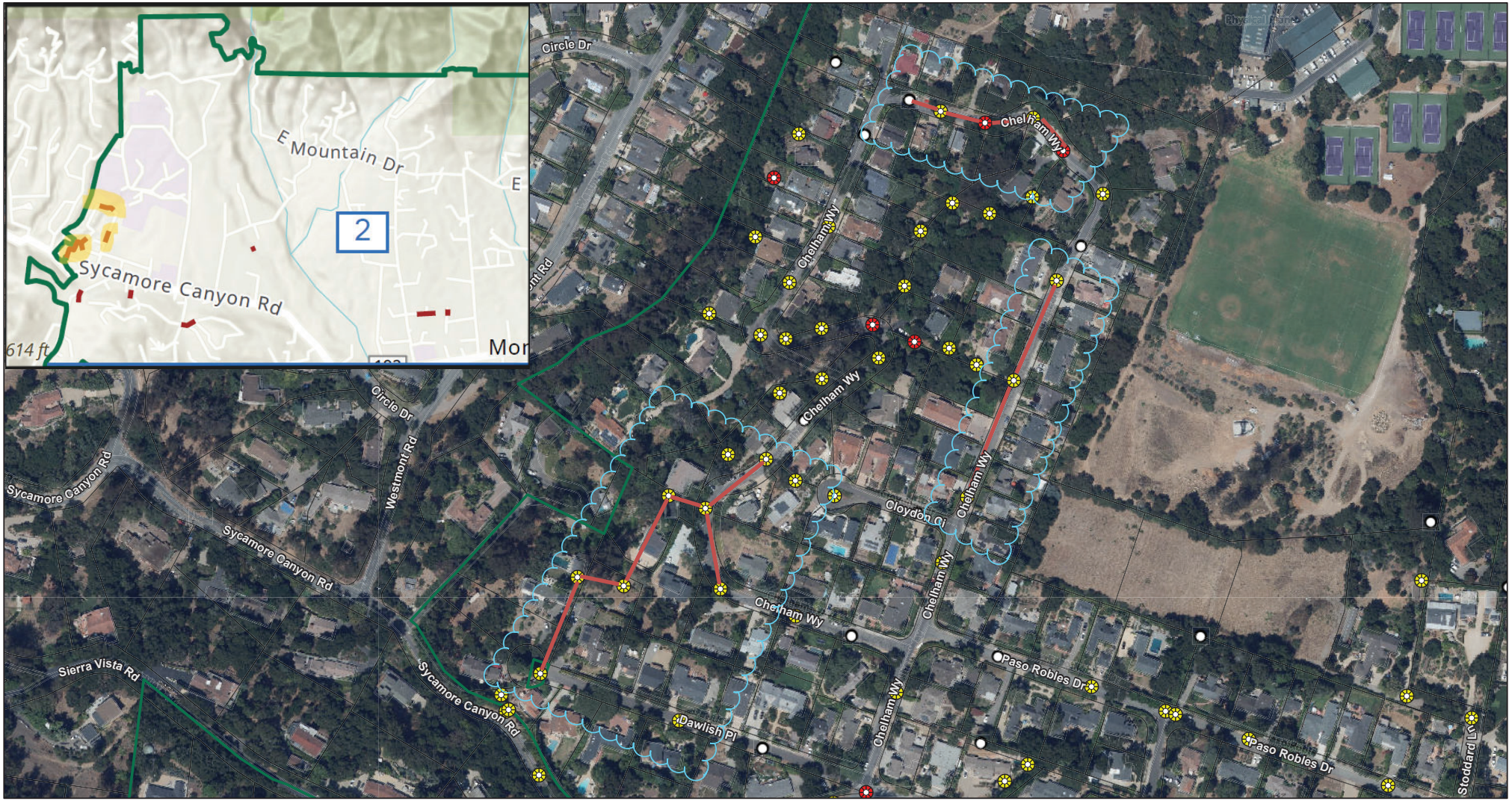
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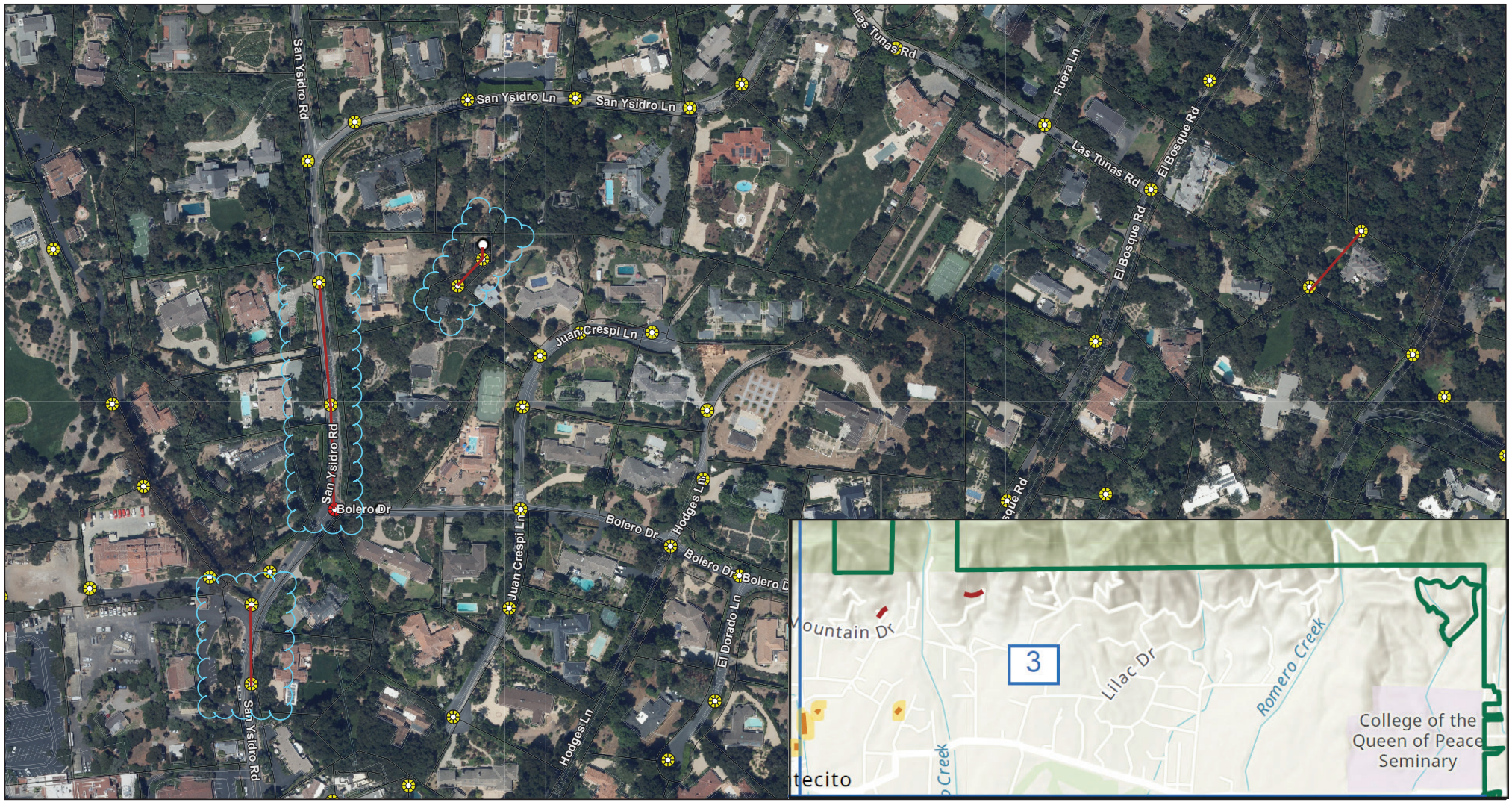
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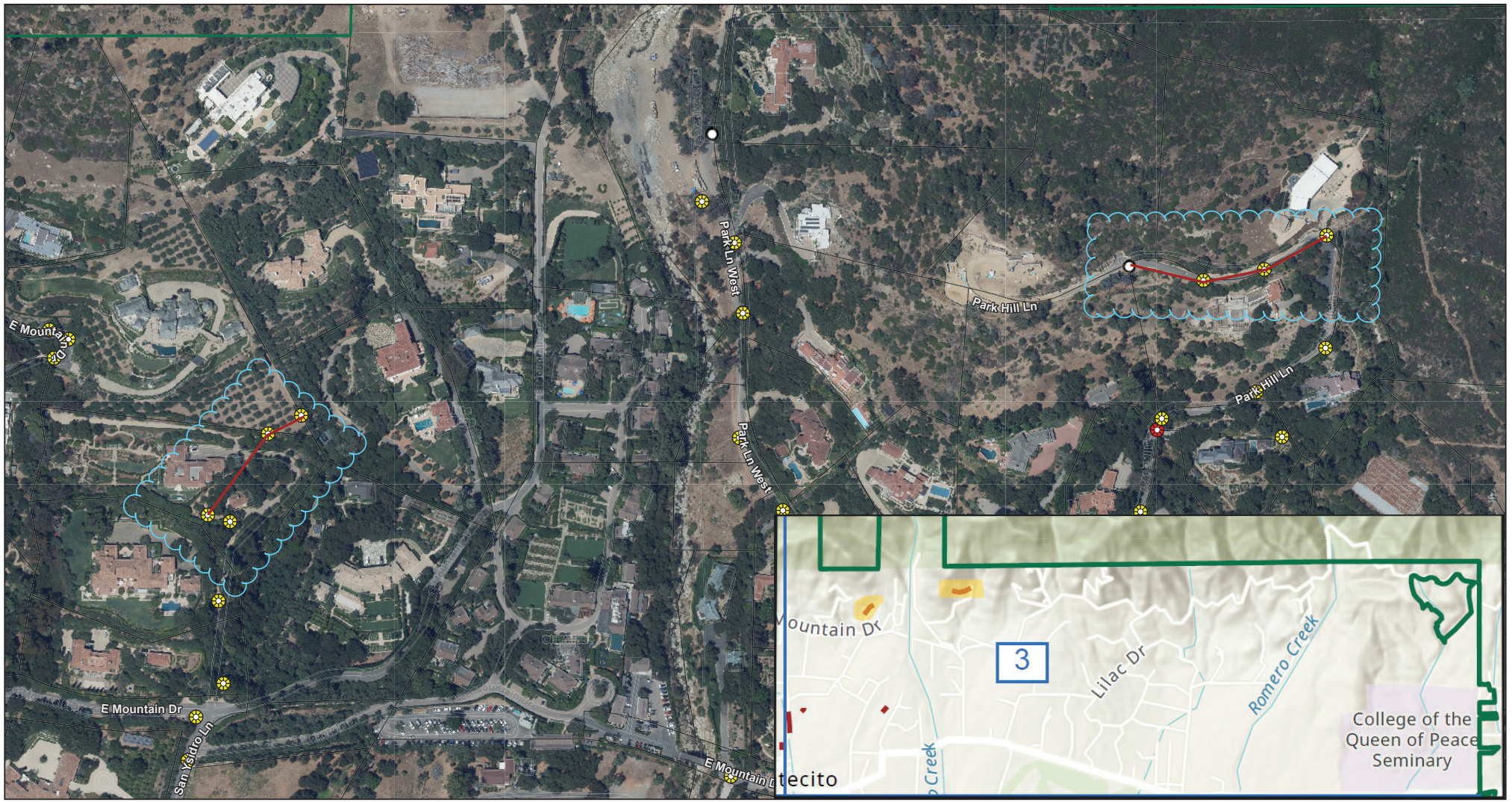
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  - Green: Band_2
  - Blue: Band_3
- World_Hillshade

Sources: Esri, TomTom, Garmin, FAO, NOAA, USGS, © OpenStreetMap contributors, and the GIS User Community, Esri, NASA, NGA, USGS, FEMA

Park Hill - Q3



9/1/2026, 9:22:50 AM

1:3,012

0 0.03 0.06 0.12 mi

0 0.05 0.1 0.2 km

- Cleanouts
- Maintenance Holes
  - Standard
  - Drop
- Montecito Sanitary District Boundary
- SBC Assessor Parcels – Mailing Labels
- Street Labels
- ZWG_Santa_Barbara_Southcoast_Region_3INCH_2024.sid
  - Red: Band_1
  - Green: Band_2
  - Blue: Band_3
- World_Hillshade

Sources: Esri, TomTom, Garmin, FAO, NOAA, USGS, © OpenStreetMap contributors, and the GIS User Community, Esri, NASA, NGA, USGS, FEMA

Birnam Wood - Q4



9/1/2026, 9:23:30 AM

1:3,012

0 0.03 0.06 0.12 mi

0 0.05 0.1 0.2 km

Cleanouts

Maintenance Holes

Standard

Montecito Sanitary District Boundary

SBC Assessor Parcels – Mailing Labels

Street Labels

ZWG_Santa_Barbara_Southcoast_Region_3INCH_2024.sid

Red: Band_1

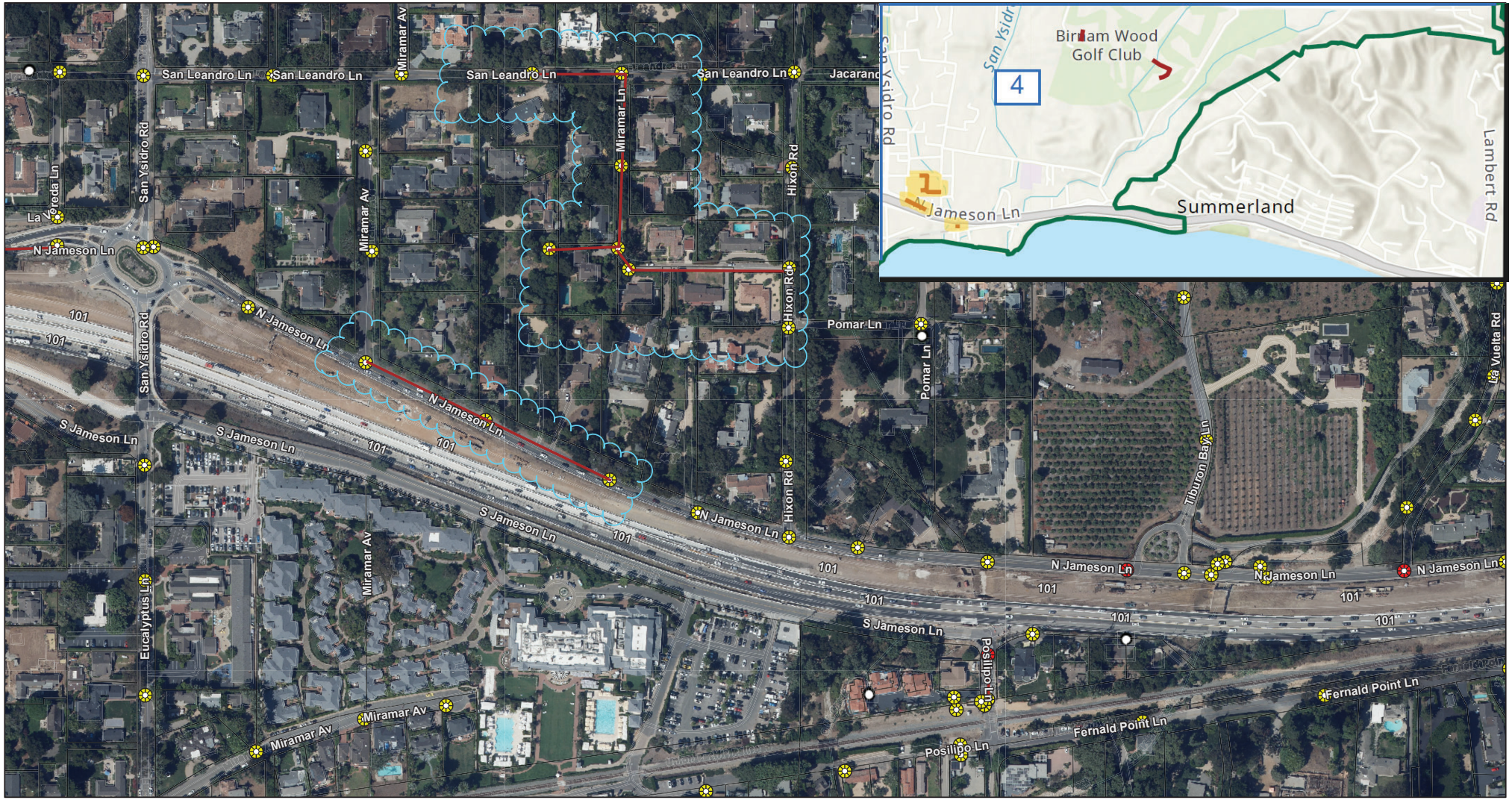
Green: Band_2

Blue: Band_3

World_Hillshade

Sources: Esri, TomTom, Garmin, FAO, NOAA, USGS, © OpenStreetMap contributors, and the GIS User Community, Esri, NASA, NGA, USGS, FEMA

Posilipo/N. Jameson - Q4



9/1/2026, 9:27:38 AM

1:3,012

0 0.03 0.06 0.12 mi

0 0.05 0.1 0.2 km

Sources: Esri, TomTom, Garmin, FAO, NOAA, USGS, © OpenStreetMap contributors, and the GIS User Community, Esri, NASA, NGA, USGS, FEMA

- Cleanouts
- Maintenance Holes
  - Standard
  - Drop
- Montecito Sanitary District Boundary
- SBC Assessor Parcels – Mailing Labels
- Street Labels
- ZWG_Santa_Barbara_Southcoast_Region_3INCH_2024.sid
  - Red: Band_1
  - Green: Band_2
  - Blue: Band_3
- World_Hillshade

Attachment B

Sample Professional Services Agreement

PROFESSIONAL SERVICES AGREEMENT
FOR DESIGN PROFESSIONALS

(Montecito Sanitary District / *[Company or Individual]*)

1. IDENTIFICATION

This PROFESSIONAL SERVICES AGREEMENT ("Agreement") is entered into by and between the Montecito Sanitary District, a California Special District ("District"), and _____, a _____ ("Consultant").

2. RECITALS

2.1. District has determined that it requires the following professional services from a consultant: **[enter description of consultant's services]**

2.2. Consultant represents that it is fully qualified to perform such professional services by virtue of its experience and the training, education and expertise of its principals and employees. Consultant further represents that it is willing to accept responsibility for performing such services in accordance with the terms and conditions set forth in this Agreement.

2.3. Consultant represents that it has no known relationships with third parties, District Board members, or employees of the District which would (1) present a conflict of interest with the rendering of services under this Agreement under Government Code Section 1090, the Political Reform Act (Government Code Section 81000 *et seq.*), or other applicable law, (2) prevent Consultant from performing the terms of this Agreement, or (3) present a significant opportunity for the disclosure of confidential information.

NOW, THEREFORE, for and in consideration of the mutual covenants and conditions herein contained, District and Consultant agree as follows:

3. DEFINITIONS

3.1. "Design Professional": A Design Professional is any individual satisfying one or more of the following: (1) licensed as an architect pursuant to Business and Professions Code 5500 et seq., (2) licensed as a landscape architect pursuant to Business and Professions Code 5615 et seq., (3) licensed as a professional land surveyor pursuant to Business and Professions Code 8700 et seq., or (4) registered as a professional engineer pursuant to Business and Professions Code 6700 et seq.

3.2. "Scope of Services": Such professional services as are set forth in Consultant's **[enter consultant's proposal date]** proposal to District attached hereto as Exhibit A and incorporated herein by this reference.

[CAUTION – avoid attaching Consultant's written proposal for the Scope of Work, as it may include provisions that conflict with the terms and conditions of this Agreement. It is best to draft a separate scope as Exhibit A.]

3.3. "Agreement Administrator": The Agreement Administrator for this project is [Name and title]. The Agreement Administrator shall be the principal point of contact at the District for this project. All services under this Agreement shall be performed at the request of the Agreement Administrator. The Agreement Administrator will establish the timetable for completion of services and any interim milestones. District reserves the right to change this designation upon written notice to Consultant

3.4. "Approved Fee Schedule": Consultant's compensation rates are set forth in the fee schedule attached hereto as Exhibit B and incorporated herein by this reference. This fee schedule shall remain in effect for the duration of this Agreement unless modified in writing by mutual agreement of the parties.

3.5. "Maximum Amount": The highest total compensation and costs payable to Consultant by District under this Agreement. The Maximum Amount under this Agreement is _____ Dollars ($_____).

3.6. "Commencement Date": [date].

3.7. "Termination Date": [date]

4. TERM

The term of this Agreement shall commence at 12:00 a.m. on the Commencement Date and shall expire at 11:59 p.m. on the Termination Date unless extended by written agreement of the parties or terminated earlier under Section 18 ("Termination") below. Consultant may request extensions of time to perform the services required hereunder. Such extensions shall be effective if authorized in advance by District in writing and incorporated in written amendments to this Agreement.

5. CONSULTANT'S DUTIES

5.1. **Services**. Consultant shall perform the services identified in the Scope of Services. District shall have the right to request, in writing, changes in the Scope of Services. Any such changes mutually agreed upon by the parties, and any corresponding increase or decrease in compensation, shall be incorporated by written amendment to this Agreement.

5.2. **Coordination with District**. In performing services under this Agreement, Consultant shall coordinate all contact with District through its Agreement Administrator.

5.3. **Budgetary Notification**. Consultant shall notify the Agreement Administrator, in writing, when fees and expenses incurred under this Agreement have reached

eighty percent (80%) of the Maximum Amount. Consultant shall concurrently inform the Agreement Administrator, in writing, of Consultant's estimate of total expenditures required to complete its current assignments before proceeding, when the remaining work on such assignments would exceed the Maximum Amount.

5.4. **Professional Standards.** Consultant shall perform all work consistent with the degree of care and skill ordinarily exercised by other similar professionals providing the same or similar services under the same or similar circumstances. Consultant shall keep itself fully informed of and in compliance with all local, state, and federal laws, rules, and regulations in any manner affecting the performance of this Agreement, including all Cal/OSHA requirements, the conflict of interest provisions of Government Code § 1090 and the Political Reform Act (Government Code § 81000 et seq.).

5.5. **Campaign Contributions.** This Agreement is subject to Government Code section 84308, as amended by Senate Bill 1439 (2022), Senate Bill 1181 (2024), and Senate Bill 1243 (2024). Consultant shall disclose any contribution to an elected or appointed District official's campaign or committee in an amount of more than five hundred dollars ($500) made within 12 months preceding the Commencement Date, by Consultant, its, her, or his agent, or another party affiliated with Consultant. Consultant shall provide a signed copy of the attached Campaign Contribution Disclosure Form to District prior to, or concurrent with, Consultant's execution of this Agreement and no later than the Commencement Date.

5.6. **Avoid Conflicts.** During the term of this Agreement, Consultant shall not perform any work for another person or entity for whom Consultant was not working at the Commencement Date if such work would present a conflict interfering with performance under this Agreement. However, District may consent in writing to Consultant's performance of such work.

5.7. **Appropriate Personnel.** Consultant has, or will secure at its own expense, all personnel required to perform the services identified in the Scope of Services. All such services shall be performed by Consultant or under its supervision, and all personnel engaged in the work shall be qualified to perform such services. [Name of Consultant's Project Manager] shall be Consultant's project administrator and shall have direct responsibility for management of Consultant's performance under this Agreement. No change shall be made in Consultant's project administrator without District's prior written consent.

5.8. **Substitution of Personnel.** Any persons named in the proposal or Scope of Services constitutes a promise to the District that those persons will perform and coordinate their respective services under this Agreement. Should one or more of such personnel become unavailable, Consultant may substitute other personnel of at least equal competence upon written approval of District. If District and

Consultant cannot agree as to the substitution of key personnel, District may terminate this Agreement for cause.

5.9. **Permits and Approvals.** Consultant shall be responsible for applying for, at its sole cost and expense, all permits and regulatory approvals necessary for Consultant's performance of this Agreement. This includes, but shall not be limited to, professional licenses, encroachment permits and building and safety permits and inspections.

5.10. **Notification of Organizational Changes.** Consultant shall notify the Agreement Administrator, in writing, of any change in name, ownership or control of Consultant's firm or of any subcontractor. Change of ownership or control of Consultant's firm may require an amendment to this Agreement.

5.11. **Records.** Consultant shall maintain any and all ledgers, books of account, invoices, vouchers, canceled checks, and other records or documents evidencing or relating to charges for services or expenditures and disbursements charged to District under this Agreement for a minimum of three (3) years, or for any longer period required by law, from the date of final payment to Consultant under this Agreement. All such documents shall be made available for inspection, audit, and/or copying at any time during regular business hours, upon oral or written request of District. In addition, pursuant to Government Code Section 8546.7, if the amount of public funds expended under this Agreement exceeds ten thousand dollars, all such documents and this Agreement shall be subject to the examination and audit of the State Auditor, at the request of District or as part of any audit of District, for a period of three (3) years after final payment under this Agreement.

6. SUBCONTRACTING

6.1. **General Prohibition.** This Agreement covers professional services of a specific and unique nature. Except as otherwise provided herein, Consultant shall not assign or transfer its interest in this Agreement or subcontract any services to be performed without amending this Agreement.

6.2. **Consultant Responsible.** Consultant shall be responsible to District for all services to be performed under this Agreement.

6.3. **Identification in Fee Schedule.** All subcontractors shall be specifically listed and their billing rates identified in the Approved Fee Schedule, Exhibit B. Any changes must be approved by the Agreement Administrator in writing as an amendment to this Agreement.

7. COMPENSATION

7.1. **General.** District agrees to compensate Consultant for the services provided under this Agreement, and Consultant agrees to accept payment in accordance with the Fee Schedule in full satisfaction for such services. Compensation shall

not exceed the Maximum Amount. Consultant shall not be reimbursed for any expenses unless provided for in this Agreement or authorized in writing by District in advance.

7.2. **Invoices.** Consultant shall submit to District an invoice, on a monthly basis or as otherwise agreed to by the Agreement Administrator, for services performed pursuant to this Agreement. Each invoice shall identify the Maximum Amount, the services rendered during the billing period, the amount due for the invoice, and the total amount previously invoiced. All labor charges shall be itemized by employee name and classification or position with the firm, the corresponding hourly rate, the hours worked, a description of each labor charge, and the total amount due for labor charges.

7.3. **Taxes.** District shall not withhold applicable taxes or other payroll deductions from payments made to Consultant except as otherwise required by law. Consultant shall be solely responsible for calculating, withholding, and paying all taxes.

7.4. **Disputes.** The parties agree to meet and confer at mutually agreeable times to resolve any disputed amounts contained in an invoice submitted by Consultant.

7.5. **Additional Work.** Consultant shall not be reimbursed for any expenses incurred for work performed outside the Scope of Services unless prior written approval is given by the District through a fully executed written amendment. Consultant shall not undertake any such work without prior written approval of the District.

8. PREVAILING WAGES

Consultant is aware of the requirements of California Labor Code Section 1720, et seq., and 1770, et seq., as well as California Code of Regulations, Title 8, Section 16000, et seq., ("Prevailing Wage Laws"), which require the payment of prevailing wage rates and the performance of other requirements on certain "public works" and "maintenance" projects including the design and preconstruction phases of a covered public works project. Consultant shall defend, indemnify, and hold the District, its elected officials, officers, employees, and agents free and harmless form any claim or liability arising out of any failure or alleged failure of Consultant to comply with the Prevailing Wage Laws.

9. OWNERSHIP OF WRITTEN PRODUCTS

All reports, documents or other written material, and all electronic files, including computer-aided design files, developed by Consultant in the performance of this Agreement (such written material and electronic files are collectively known as "written products") shall be and remain the property of District without restriction or limitation upon its use or dissemination by District except as provided by law. Consultant may take and retain copies of such written products as desired, but no such written products shall be the subject of a copyright application by Consultant.

10. RELATIONSHIP OF PARTIES

10.1. **General.** Consultant is, and shall at all times remain as to District, a wholly independent contractor.

10.2. **No Agent Authority.** Consultant shall have no power to incur any debt, obligation, or liability on behalf of District or otherwise to act on behalf of District as an agent. Neither District nor any of its agents shall have control over the conduct of Consultant or any of Consultant's employees, except as set forth in this Agreement. Consultant shall not represent that it is, or that any of its agents or employees are, in any manner employees of District.

10.3. **Independent Contractor Status.** Under no circumstances shall Consultant or its employees look to the District as an employer. Consultant shall not be entitled to any benefits. District makes no representation as to the effect of this independent contractor relationship on Consultant's previously earned California Public Employees Retirement System ("CalPERS") retirement benefits, if any, and Consultant specifically assumes the responsibility for making such a determination. Consultant shall be responsible for all reports and obligations including, but not limited to: social security taxes, income tax withholding, unemployment insurance, disability insurance, and workers' compensation, and other applicable federal and state taxes.

10.4. **Indemnification of CalPERS Determination.** In the event that Consultant or any employee, agent, or subcontractor of Consultant providing services under this Agreement claims or is determined by a court of competent jurisdiction or CalPERS to be eligible for enrollment in CalPERS as an employee of the District, Consultant shall indemnify, defend, and hold harmless District for the payment of any employee and/or employer contributions for CalPERS benefits on behalf of Consultant or its employees, agents, or subcontractors, as well as for the payment of any penalties and interest on such contributions, which would otherwise be the responsibility of District.

11. INDEMNIFICATION

11.1. **Definitions.** For purposes of this Section 11, "Consultant" shall include Consultant, its officers, employees, servants, agents, or subcontractors, or anyone directly or indirectly employed by either Consultant or its subcontractors, in the performance of this Agreement. "District" shall include District, its board, officials, officers, agents, employees and volunteers.

11.2. **Consultant to Indemnify District.** Where the services to be provided by Consultant under this Agreement are design professional services, as that term is defined under Civil Code Section 2782.8, Consultant agrees to indemnify, defend and hold harmless, the District, its officers, officials, employees and volunteers from any and all claims, demands, costs or liability that actually or allegedly arise out of, or pertain to, or relate to the negligence, recklessness or willful misconduct

of Consultant and its agents in the performance of services under this contract, but this indemnity does not apply to liability for damages for bodily injury, property damage or other loss, arising from the sole negligence, active negligence or willful misconduct by the District, its officers, official employees, and volunteers. If it is finally adjudicated that liability is caused by the comparative active negligence or willful misconduct of the District, then Consultant's indemnification and defense obligations shall be reduced in proportion to the established comparative liability of the District and shall not exceed Consultant's proportionate percentage of fault.

As respects all acts or omissions which do not arise directly out of the performance of design professional services, including but not limited to those acts or omissions normally covered by general and automobile liability insurance, and to the full extent permitted by law, Consultant agrees to indemnity, defend and hold harmless the District, its board, officers, officials, agents, employees, and volunteers from and against any claims, demands, losses, liability of any kind or nature (including liability for claims, suits, actions, arbitration proceedings, administrative proceedings, regulatory proceedings, losses, expenses or costs of any kind, whether actual, alleged or threatened, including attorney's fees and costs, court costs, interest, defense costs, and expert witness fees) where the same arise out of, are in connection with, are a consequence of, or are in any way attributable to, in whole or in part, the performance of this Agreement by Consultant or by any individual or entity for which Consultant is legally liable, including but not limited to officers, agents, employees or sub-contractors of Consultant, excepting those which arise out of the active negligence, sole negligence or willful misconduct of the District, its board, officers, officials, employees and volunteers.

11.3. **Scope of Indemnity.** Personal injury shall include injury or damage due to death or injury to any person, whether physical, emotional, consequential or otherwise, Property damage shall include injury to any personal or real property. Consultant shall not be required to indemnify District for such loss or damage as is caused by the sole active negligence or willful misconduct of the District. If it is finally adjudicated that liability is caused by the comparative negligence or willful misconduct of an indemnified party, then Consultant's indemnification obligation shall be reduced in proportion to the established comparative liability.

11.4. **Waiver of Statutory Immunity.** The obligations of Consultant under this Section 11 are not limited by the provisions of any workers' compensation act or similar act. Consultant expressly waives its statutory immunity under such statutes or laws as to District.

11.5. **Indemnification by Subcontractors.** Consultant agrees to obtain executed indemnity agreements with provisions identical to those set forth here in this Section 11 from each and every subcontractor or any other person or entity involved in the performance of this Agreement on Consultant's behalf.

11.6. **Insurance Not a Substitute.** District does not waive any indemnity rights by accepting any insurance policy or certificate required pursuant to this Agreement. Consultant's indemnification obligations apply regardless of whether or not any insurance policies are determined to be applicable to the claim, demand, damage, liability, loss, cost or expense.

11.7. **Civil Code.** The parties are aware of the provisions of Civil Code 2782.8 relating to the indemnification and the duty and the cost to defend a public agency by a Design Professional and agree that this Section 11 complies therewith.

12. INSURANCE

12.1. **Insurance Required.** Consultant shall maintain insurance as described in this section and shall require all of its subcontractors, consultants, and other agents to do the same. Approval of the insurance by the District shall not relieve or decrease any liability of Consultant Any requirement for insurance to be maintained after completion of the work shall survive this Agreement.

12.2. **Documentation of Insurance.** District will not execute this agreement until it has received a complete set of all required documentation of insurance coverage. However, failure to obtain the required documents prior to the work beginning shall not waive the Consultant's obligation to provide them. Consultant shall file with District:

- Certificate of Insurance, indicating companies acceptable to District, with a Best's Rating of no less than A:VII showing. The Certificate of Insurance must show the Montecito Sanitary District, its board of directors, commissions, officers, and employees of Montecito Sanitary District as additional insured parties. The Certificate of Insurance must include the following reference: [insert project name]
- Documentation of Best's rating acceptable to the District.
- Original endorsements effecting coverage for all policies required by this Agreement.

12.3. **Coverage Amounts.** Insurance coverage shall be at least in the following minimum amounts:

- Professional Liability Insurance: $1,000,000 per claim
  $2,000,000 aggregate

- General Liability:
  - General Aggregate: $2,000,000
  - Products Comp/Op Aggregate $2,000,000
  - Personal & Advertising Injury $1,000,000

- Each Occurrence $1,000,000
- Fire Damage (any one fire) $ 50,000
- Medical Expense (any 1 person) $ 5,000

- Workers' Compensation:
  - Workers' Compensation Statutory Limits
  - EL Each Accident $1,000,000
  - EL Disease - Policy Limit $1,000,000
  - EL Disease - Each Employee $1,000,000

- Automobile Liability
  - Any vehicle, combined single limit $1,000,000

Any available insurance proceeds broader than or in excess of the specified minimum insurance coverage requirements or limits shall be available to the District as additional insured. Furthermore, the requirements for coverage and limits shall be the greater of (1) the minimum coverage and limits specified in this Agreement, or (2) the broader coverage and maximum limits of coverage of any insurance policy or proceeds available to the named insured

12.4. **General Liability Insurance.** Commercial General Liability Insurance shall be no less broad than ISO form CG 00 01. Coverage must be on a standard Occurrence form. Claims-Made, modified, limited or restricted Occurrence forms are not acceptable.

12.5. **Worker's Compensation Insurance.** Consultant is aware of the provisions of Section 3700 of the Labor Code which requires every employer to carry Workers' Compensation (or to undertake equivalent self-insurance), and Consultant will comply with such provisions before commencing the performance of the work of this Agreement. If such insurance is underwritten by any agency other than the State Compensation Fund, such agency shall be a company authorized to do business in the State of California.

12.6. **Automobile Liability Insurance.** Covered vehicles shall include owned if any, non-owned, and hired automobiles and, trucks.

12.7. **Professional Liability Insurance or Errors & Omissions Coverage.** The deductible or self-insured retention may not exceed $50,000. If the insurance is on a Claims-Made basis, the retroactive date shall be no later than the commencement of the work. Coverage shall be continued for two years after the completion of the work by one of the following: (1) renewal of the existing policy; (2) an extended reporting period endorsement; or (3) replacement

insurance with a retroactive date no later than the commencement of the work under this Agreement.

12.8. **Claims-Made Policies.** If any of the required policies provide coverage on a claims-made basis the Retroactive Date must be shown and must be before the date of the contract or the beginning of contract work. Claims-Made Insurance must be maintained and evidence of insurance must be provided for at least five (5) years after completion of the contract of work. If coverage is canceled or non-renewed, and not replaced with another claims-made policy form with a Retroactive Date prior to the contract effective date, the Consultant must purchase "extended reporting" coverage for a minimum of five (5) years after completion of contract work.

12.9. **Additional Insured Endorsements.** The District, its Board of Directors, Commissions, officers, and employees of the District must be endorsed as an additional insured for each policy required herein, other than Professional Errors and Omissions, for liability arising out of ongoing and completed operations by or on behalf of the Consultant. Consultant's insurance policies shall be primary as respects any claims related to or as the result of the Consultant's work. Any insurance, pooled coverage or self-insurance maintained by the District, its elected or appointed officials, directors, officers, agents, employees, volunteers, or consultants shall be non-contributory. All endorsements shall be signed by a person authorized by the insurer to bind coverage on its behalf. General liability coverage can be provided using an endorsement to the Consultant's insurance at least as broad as ISO Form CG 20 10 11 85 or both CG 20 10 and CG 20 37.

12.10. **Failure to Maintain Coverage.** In the event any policy is canceled prior to the completion of the project and the Consultant does not furnish a new certificate of insurance prior to cancellation, District has the right, but not the duty, to obtain the required insurance and deduct the premium(s) from any amounts due the Consultant under this Agreement. Failure of the Consultant to maintain the insurance required by this Agreement, or to comply with any of the requirements of this section, shall constitute a material breach of this Agreement.

12.11. **Notices.** Consultant shall provide immediate written notice if (1) any of the required insurance policies is terminated; (2) the limits of any of the required policies are reduced; (3) or the deductible or self-insured retention is increased. Consultant shall provide no less than 30 days' notice of any cancellation or material change to policies required by this Agreement. Consultant shall provide proof that cancelled or expired policies of insurance have been renewed or replaced with other policies providing at least the same coverage. Such proof will be furnished at least two weeks prior to the expiration of the coverages. The name and address for Additional Insured Endorsements, Certificates of Insurance and Notices of Cancellation is: Montecito Sanitary District, Attn: John Weigold, General Manager, 1042 Monte Cristo Lane, Santa Barbara, CA 93108.

12.12. **Consultant's Insurance Primary.** The insurance provided by Consultant, including all endorsements, shall be primary to any coverage available to District. Any insurance or self-insurance maintained by District and/or its officers, employees, agents or volunteers, shall be in excess of Consultant's insurance and shall not contribute with it.

12.13. **Waiver of Subrogation.** Consultant hereby waives all rights of subrogation against the District. Consultant shall additionally waive such rights either by endorsement to each policy or provide proof of such waiver in the policy itself.

12.14. **Report of Claims to District.** Consultant shall report to the District, in addition to the Consultant's insurer, any and all insurance claims submitted to Consultant's insurer in connection with the services under this Agreement.

12.15. **Premium Payments and Deductibles.** Consultant must disclose all deductibles and self-insured retention amounts to the District. The District may require the Consultant to provide proof of ability to pay losses and related investigations, claim administration, and defense expenses within retention amounts. Ultimately, District must approve all such amounts prior to execution of this Agreement.

District has no obligation to pay any premiums, assessments, or deductibles under any policy required in this Agreement. Consultant shall be responsible for all premiums and deductibles in all of Consultant's insurance policies. The amount of deductibles for insurance coverage required herein are subject to District's approval.

12.16. **Duty to Defend and Indemnify.** Consultant's duties to defend and indemnify District under this Agreement shall not be limited by the foregoing insurance requirements and shall survive the expiration or early termination of this Agreement.

13. MUTUAL COOPERATION

13.1. **District Cooperation in Performance.** District shall provide Consultant with all pertinent data, documents and other requested information as is reasonably available for the proper performance of Consultant's services under this Agreement.

13.2. **Consultant Cooperation in Defense of Claims.** If any claim or action is brought against District relating to Consultant's performance in connection with this Agreement, Consultant shall render any reasonable assistance that District may require in the defense of that claim or action.

14. NOTICES

Any notices, bills, invoices, or reports required by this Agreement shall be deemed received on: (i) the day of delivery if delivered by hand, electronic mail or overnight courier service during Consultant's and District's regular business hours; or (ii) on the third business day following

deposit in the United States mail if delivered by mail, postage prepaid, to the addresses listed below (or to such other addresses as the parties may, from time to time, designate in writing).

If to District
Montecito Sanitary District
Attn: John Weigold
General Manager
1042 Monte Cristo Lane
Santa Barbara, CA 93108

If to Consultant
[Name]
[Address]
[Address]
Telephone:
Email:

With courtesy copy to:

Aleks R. Giragosian, Esq.
Montecito Sanitary District General Counsel
Colantuono, Highsmith & Whatley, PC
790 E. Colorado Blvd.., Ste. 850
Pasadena, Angeles, CA 91101
Telephone: (213) 542-5700
Email: agiragosian@chwlaw.us

15. SURVIVING COVENANTS

The parties agree that the covenants contained in paragraph 5.11 (Records), paragraph 10.4 (Indemnification of CalPERS Determination), Section 11 (Indemnity), paragraph 12.8 (Claims-Made Policies), paragraph 13.2 (Consultant Cooperation in Defense of Claims), paragraph 12.13 (Waiver of Subrogation), paragraph 12.6 (Automobile Liability Insurance), Section 15 (Surviving Covenants), Section 17 (Interpretation of Agreement), and Section 18 (General Provisions) of this Agreement shall survive the expiration or termination of this Agreement, subject to the provisions and limitations of this Agreement and all otherwise applicable statutes of limitations and repose.

16. TERMINATION

16.1. **District Termination.** District may terminate this Agreement for any reason on five calendar days' written notice to Consultant. Consultant agrees to cease all work under this Agreement on or before the effective date of any notice of termination. All District data, documents, objects, materials or other tangible things shall be returned to District upon the termination or expiration of this Agreement.

16.2. **Consultant Termination.** Consultant may terminate this Agreement for a material breach by the District of this Agreement upon 30 days' notice.

16.3. **Compensation Following Termination.** Upon termination, Consultant shall be paid based on the work satisfactorily performed at the time of termination. In no event shall Consultant be entitled to receive more than the amount that would be paid to Consultant for the full performance of the services required by this Agreement. The District shall have the benefit of such work as may have been completed up to the time of such termination.

16.4. **Remedies.** District retains any and all available legal and equitable remedies for Consultant's breach of this Agreement.

17. INTERPRETATION OF AGREEMENT

17.1. **Governing Law.** This Agreement shall be governed and construed in accordance with the laws of the State of California.

17.2. **Integration of Exhibits.** All documents referenced as exhibits in this Agreement are hereby incorporated into this Agreement. In the event of any material discrepancy between the provisions of this Agreement and its exhibits, the provisions of this Agreement shall prevail. This instrument contains the entire Agreement between District and Consultant with respect to the transactions contemplated herein. No other prior oral or written agreements are binding upon the parties. Amendments hereto or deviations herefrom shall be effective and binding only if made in writing and executed on by District and Consultant.

17.3. **Headings.** The headings and captions appearing at the commencement of the sections hereof, and in any paragraph thereof, are descriptive only and for convenience in reference to this Agreement. Should there be any conflict between such heading, and the section or paragraph thereof at the head of which it appears, the language of the section or paragraph shall control and govern in the construction of this Agreement.

17.4. **Pronouns.** Masculine or feminine pronouns shall be substituted for the neuter form and vice versa, and the plural shall be substituted for the singular form and vice versa, in any place or places herein in which the context requires such substitution(s).

17.5. **Severability.** If any term or provision of this Agreement or the application thereof to any person or circumstance shall, to any extent, be invalid or unenforceable, then such term or provision shall be amended to, and solely to the extent necessary to, cure such invalidity or unenforceability, and shall be enforceable in its amended form. In such event, the remainder of this Agreement, or the application of such term or provision to persons or circumstances other than those as to which it is held invalid or unenforceable, shall not be affected, and each term and provision of this Agreement shall be valid and be enforced to the fullest extent permitted by law.

17.6. **No Presumption Against Drafter.** Each party had an opportunity to consult with an attorney in reviewing and drafting this agreement. Any uncertainty or

ambiguity shall not be construed for or against any party based on attribution of drafting to any party.

18. GENERAL PROVISIONS

18.1. **Confidentiality.** All data, documents, discussion, or other information developed or received by Consultant for performance of this Agreement are deemed confidential and Consultant shall not disclose it without prior written consent by District. District shall grant such consent if disclosure is legally required. All District data shall be returned to District upon the termination or expiration of this Agreement.

18.2. **Conflicts of Interest.** Consultant maintains and warrants that it has not employed nor retained any company or person, other than a bona fide employee working solely for Consultant, to solicit or secure this Agreement. Further, Consultant warrants that it has not paid nor has it agreed to pay any company or person, other than a bona fide employee working solely for Consultant, any fee, commission, percentage, brokerage fee, gift or other consideration contingent upon or resulting from the award or making of this Agreement. Consultant further agrees to file, or shall cause its employees or subcontractor to file, a Statement of Economic Interest with the District's Filing Officer if required under state law in the performance of the services. For breach or violation of this warranty, District shall have the right to rescind this Agreement without liability. For the term of this Agreement, no member, officer, or employee of District, during the term of his or her service with District, shall have any direct interest in this Agreement, or obtain any present or anticipated material benefit arising therefrom.

[Add the following paragraph 18.3, 18.3.1 and/or 18.3.2, as applicable, for consulting contracts with multiple phases, or contracts that relate to, or are prior to, subsequent phases of a larger project.]

18.3. **Conflict of Interest / Multiple Phase Projects** – This Agreement is subject to the requirements of Government Code § 1097.6 relating to consultants entering into subsequent or multiple contracts for the same project..

18.3.1 Engaging or Advising on Public Contracting on behalf of the District.

A. The scope of Consultant's work is limited to conceptual, preliminary, or initial plans or specifications for a prior stage of the project.

B. Consultant shall provide access to the work, such that all bidders or proposers for the subsequent stages shall have access to the same information as Consultant, including all conceptual, preliminary, or initial plans or specifications prepared by Consultant pursuant to this Agreement.

18.3.2 Multiple Project Phases.

A. Consultant's duties and services under this Agreement shall not include preparing or assisting the District with any portion of the District's preparation of a request for proposals, request for qualifications, or any other solicitation regarding a subsequent or additional contract with the District.

B. The District shall at all times retain responsibility for public contracting, including with respect to any subsequent phase of this project. Consultant's participation in the planning, discussions, or drawing of project plans or specifications shall be limited to conceptual, preliminary, or initial plans or specifications.

C. Consultant shall cooperate with the District to ensure that all bidders for a subsequent contract on any subsequent phase of this project have access to the same information, including all conceptual, preliminary, or initial plans or specifications prepared by Consultant pursuant to this Agreement.

18.4. **Non-assignment.** Consultant shall not delegate, transfer, subcontract or assign its duties or rights hereunder, either in whole or in part, without District's prior written consent, and any attempt to do so shall be void and of no effect. District shall not be obligated or liable under this Agreement to any party other than Consultant.

18.5. **Binding on Successors.** This Agreement shall be binding on the successors and assigns of the parties.

18.6. **No Third-Party Beneficiaries.** Except as expressly stated herein, there is no intended third-party beneficiary of any right or obligation assumed by the parties.

18.7. **Time of the Essence.** Time is of the essence for each and every provision of this Agreement.

18.8. **Non-Discrimination.** Consultant shall not discriminate against any employee or applicant for employment because of race, sex (including pregnancy, childbirth, or related medical condition), creed, national origin, color, disability as defined by law, disabled veteran status, Vietnam veteran status, religion, age (40 and above), medical condition (cancer-related), marital status, ancestry, or sexual orientation. Employment actions to which this provision applies shall include, but not be limited to, the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; or in terms, conditions or privileges of employment, and selection for training. Consultant agrees to post in conspicuous places, available to employees and applicants for employment, the provisions of this nondiscrimination clause.

18.9. **Waiver.** No provision, covenant, or condition of this Agreement shall be deemed to have been waived by District or Consultant unless in writing signed by one authorized to bind the party asserted to have consented to the waiver. The waiver by District or Consultant of any breach of any provision, covenant, or condition of

this Agreement shall not be deemed to be a waiver of any subsequent breach of the same or any other provision, covenant, or condition.

18.10. **Excused Failure to Perform.** Consultant shall not be liable for any failure to perform if Consultant presents acceptable evidence, in District's sole judgment, that such failure was due to causes beyond the control and without the fault or negligence of Consultant.

18.11. **Remedies Non-Exclusive.** Each right, power and remedy provided for herein or now or hereafter existing at law, in equity, by statute, or otherwise shall be cumulative and shall be in addition to every other right, power, or remedy provided for herein or now or hereafter existing at law, in equity, by statute, or otherwise. The exercise, the commencement of the exercise, or the forbearance from the exercise by any party of any one or more of such rights, powers or remedies shall not preclude the simultaneous or later exercise by such party of any or all of such other rights, powers or remedies.

18.12. **Attorneys' Fees.** If legal action shall be necessary to enforce any term, covenant or condition contained in this Agreement, the prevailing party shall be entitled to an award of reasonable attorneys' fees and costs expended in the action.

18.13. **Venue.** The venue for any litigation shall be Santa Barbara County, California and Consultant hereby consents to jurisdiction in Santa Barbara County for purposes of resolving any dispute or enforcing any obligation arising under this Agreement.

18.14. **Counterparts; Electronic Signatures.** This Agreement may be signed in one or more counterparts, each of which shall be deemed an original, but all of which together shall be deemed one and the same instrument. The parties acknowledge and agree that this Agreement may be executed by electronic signature, which shall be considered as an original signature for all purposes and shall have the same force and effect as an original signature. Without limitation, "electronic signature" shall include faxed or emailed versions of an original signature, electronically scanned and transmitted versions (e.g., via pdf) of an original signature, or a digital signature.

(Signature page follows)

TO EFFECTUATE THIS AGREEMENT, the parties have caused their duly authorized representatives to execute this Agreement on the dates set forth below.

"DISTRICT" Montecito Sanitary District **Authorized Signatories:**	"CONSULTANT" [Name of Company or Individual]
Signature:_____	Signature:_____
Printed:_____	Printed:_____
Title: _____	Title: _____
Date: _____	Date: _____

Attest:

Signature:_____

Printed:_____

Title: District Clerk

Date: _____

Approved as to Form:

Signature:_____

Printed:_____

Title: District Counsel

Date: _____

EXHIBIT A

SCOPE OF SERVICES

[Insert Scope of Work text here]
[Do not attach vendor's proposal]

EXHIBIT B

FEE SCHEDULE

[INSERT FEE SCHEDULE TEXT HERE]
[DO NOT ATTACH VENDOR'S PROPOSAL]

1. CAMPAIGN CONTRIBUTION DISCLOSURE PROVISIONS

Cities are subject to the campaign disclosure provisions detailed in Government Code Section 84308.

Please carefully read the following information to determine if the provisions apply to you. If you determine that the provisions are applicable, the Campaign Disclosure Form must be completed and returned to the District with your application.

1. No District board member shall accept, solicit, or direct a contribution of more than $500 from any party,[1] financially interested participant,[2] or agent[3] while a proceeding is pending or for 12 months subsequent to the date a final decision is rendered by the District. This prohibition commences when your application has been filed, or the proceeding is otherwise initiated.

2. A party to a District proceeding shall disclose on the record of the proceeding any contribution of more than $500 made to any board member by the party, or agent, during the preceding 12 months. No party to or participant in a District proceeding shall make a contribution of more than $500 to a board member during the proceeding and for 12 months following the date a final decision is rendered by the District. No agent to a party or participant shall make a contribution in any amount to a board member during the proceeding and for 12 months following the date a final decision is rendered by the District.

3. Prior to rendering a decision on a District proceeding, any board member who received contribution of more than $500 within the preceding 12 months from any party, or agent, to a proceeding shall disclose that fact on the record of the proceeding, and shall be disqualified from participating in the proceeding. However, if any board member receives a contribution that otherwise would require disqualification, and returns the contribution within 30 days of making the decision, or knowing about the contribution and the relevant proceeding, whichever comes last, that board member shall be permitted to participate in the proceeding.

[1] "Party" is defined as any person who files an application for, or is the subject of, a proceeding.[2] "Participant" is defined as any person who actively supports or opposes a particular decision in a proceeding.

[3] "Agent" is defined as a person who represents a party in connection with a proceeding for compensation who appears before or otherwise communicates with the District for the purpose of influencing the proceeding. If an individual acting as an agent also is acting as an employee or member of a law, architectural, engineering, or consulting firm, or a similar entity or corporation, both the individual and the entity or corporation are agents. When a closed corporation is a party to a proceeding, the majority shareholder is subject to these provisions.

To determine whether a campaign contribution of more than $500 has been made by you or your agent to a board member within the preceding 12 months, all contributions made by you or your agent during that period must be aggregated.

Names of current District board members available on the District's website. If you have questions about Government Code Section 84308, FPPC regulations, or the Campaign Disclosure Form, please contact the District Clerk.

2. CAMPAIGN CONTRIBUTION DISCLOSURE FORM

(a) Document:

- □ License
- □ Lease
- □ Permit
- □ Franchise
- □ Other Contract
- □ Other Entitlement

Name and address of any party, participant, or agent who has contributed more than $500 to any board member within the preceding 12 months:

1. _____
2. _____
3. _____

(b) Date and amount of contribution:

Date _____ Amount $ _____

Date _____ Amount $ _____

Date _____ Amount $ _____

(c) Name of board member to whom contribution was made:

1. _____
2. _____
3. _____

(d) I certify that the above information is provided to the best of my knowledge.

Printed Name _____

Signature _____

Date _____ Phone _____

To be completed by District:

Document No: _____

MONTECITO SANITARY DISTRICT
SANTA BARBARA COUNTY, CALIFORNIA

Addendum No.1

TO PROSPECTIVE RESPONDENTS
FY 2028 SEWER MAIN CIPP LINING

PROJECT NO. C004

October 28, 2026

Notice is hereby given that the Request for Proposal for FY 2028 Sewer Main CIPP Lining project are amended as hereinafter set forth.

GENERAL INFORMATION

This addendum provides responses to inquiries/questions as well as additional information. Please review these changes.

The proposal due date has been extended to November 6, 2026, at 4 p.m. Pacific.

RESPONDENT'S QUESTIONS AND RESPONSES OR CLARIFICATIONS:

Answers or clarifications to any questions received via email are provided below.

1. The District's GIS records on Posilipo Ln indicate the pipe shown on RFP page 24 (L195-6) was rehabbed with CIPP in December 2023. If true, are you still wanting to rehabilitate this pipe?
    a. The District will not be rehabbing this pipe and shown in error. Specific pipes to be rehabbed are subject to change based on the latest information from the District during design. The Consultant should still assume to design for approximately 3 miles of 8-18" diameter pipe.
2. Will the District be leading permitting efforts, such as with the County, with consultant support or will the consultant handle all permitting efforts?
    a. District will lead all permitting efforts.
3. Will the District apply as the permittee and pay all permitting fees or does the consultant need to budget for this?
    a. District will apply as permittee and pay all permitting fees.
4. Does the District want to rehabilitate any of the manholes adjacent to the pipes identified in the RFP?
    a. District does not anticipate rehabbing any manholes as part of the project; however, this is subject to change based on the latest information from the District during design.
5. Does the District envision the consultant providing bypass plan concepts for difficult areas as an attachment to the design plans to facilitate the County encroachment permit process?
    a. The District does not anticipate the Consultant to develop bypass plans for this project. These will be provided by the Contractor.
6. Will the District or consultant be responsible for coordinating with private property owners as required to

develop the design and inform construction and access constraints?

a. The District will coordinate access to private property owners.

This Addendum No.1 shall be included in and form a part of the contract documents. The receipt and review of the addendum, as part of the RFP, shall be acknowledged by the responder in their letter proposal to be considered responsive.

Bryce Swetek, P.E.
Engineering Manager
bswetek@montsan.org

Montecito Sanitary District

PROJECT NO. C004

Consultant Services for FY 2028 Sewer Main CIPP Lining



WSC

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Letter Proposal



November 6, 2026

Bryce Swetek PE

Engineering Manager
Montecito Sanitary District

1042 Monte Cristo Lane
Santa Barbara, CA 93108

WSC San Luis Obispo

1080 Court Street
San Luis Obispo, CA 93401

P: 805.457.8833

Adam Donald PE, PACP

Project Manager

E: adonald@wsc-inc.com

P: 503.433.3554

Justin Pickard PE

Principal in Charge/
Authorized Officer

E: jpickard@wsc-inc.com

P: 805.221.7766

Our proposal is submitted in response to the Request for Proposals issued by the District on October 7, 2026, and we acknowledge receipt and review of Addendum No. 1, dated October 28, 2026.

Dear Bryce,

Water Systems Consulting, Inc. (WSC) is pleased to submit this proposal to the Montecito Sanitary District (District) for design engineering services for the FY 2028 Sewer Main CIPP Lining Project. This project includes approximately 3 miles of 6-inch to 18-inch vitrified clay sewer pipe to be rehabilitated throughout the District's collection system. Many of the pipes are located on private property, requiring thoughtful planning for access and focused outreach. The design for this project will need to consider the limits of work, how each main will be accessed, right-of-way and easement limits, permit requirements, and bypass pumping needs.

By partnering with WSC, your organization will receive:

A thoughtful and informed rehabilitation approach. WSC's CIPP lining experience in Santa Barbara County is unmatched. We understand the needs, limitations, and requirements to successfully implement a CIPP lining job for the District. Our approach will account for pipeline condition review, the unique considerations of lining the dual barrel siphon identified in the scope of work, and lining mains with existing cleanouts. We've also identified potential add-on locations that could provide future benefit to the District by realizing economies of scale and eliminating the need to access private property again.

A design that minimizes impacts to property owners and businesses. Our design will leverage coordinated drawings, technical specifications, and special provisions that clearly communicate access requirements to the contractor to minimize disruption to the surrounding property owners. We will give special consideration to the downtown corridor and its unique challenges to minimize the impacts on the surrounding businesses and reduce the likelihood of complaints.

Proven technical specifications that improve quality and streamline delivery. Our tested CIPP performance specification provides everything the contractor needs to design the liners while providing rigorous quality requirements that the District can leverage in the event of deficient installations. Our specifications also clearly identify access, permitting, bypass, and traffic control needs to minimize delays and minimize impacts to the surrounding residents. From our work on the 2025 Sewer Main CIPP Lining Project, we understand the District's preferences allowing us to streamline the delivery of the design.

Our team is ready to begin immediately and is eager to continue supporting the District in their rehabilitation needs. Should you have any questions or wish to discuss our proposal in more detail, please contact our Principal in Charge, Justin Pickard, or our Project Manager, Adam Donald. Thank you for your consideration and we look forward to your response.

Sincerely,

Water Systems Consulting, Inc.

Adam Donald
Project Manager

Justin Pickard
Principal in Charge | WSC Vice President

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Experience & Qualifications



We Are Your Collection System Rehabilitation Experts

WSC is an engineering firm that specializes in the assessment, analysis, planning, design, construction, and optimization of wastewater treatment, collection systems, and disposal solutions. We are purposefully organized to work collaboratively with our clients, applying proven approaches, state-of-the-art tools, and knowledge-driven innovation to deliver truly outstanding results.

Firm Overview

WSC is an employee owned S-Corporation, incorporated in San Luis Obispo, California. Founded in 2007, WSC continually delivers innovative and value-added service to clients. Our closest office to the District is our headquarters in San Luis Obispo.

Our team brings the experience, expertise, and a commitment to successfully deliver the FY 2028 Sewer Main CIPP Lining Project. WSC's team includes experts in wastewater collection system design and rehabilitation, including staff who have led implementation of large rehabilitation programs for the Los Angeles County Sanitation Districts and the City of Houston. Collectively, our team has over 50 years of experience with sewer rehabilitation using cured-in-place pipe technology.

We will leverage our relationships with District staff and our knowledge of the District's procedures, standards, and facilities from our previous work to streamline project delivery. We are committed to providing proactive and effective coordination, continuous integration with your staff, and our unique understanding of area-specific issues that will help WSC deliver cost-effective, high-quality work.

> "WSC has been instrumental in shaping our CIPP rehabilitation program. Their technical knowledge and connections within the trenchless industry have helped us improve the program year over year, working through complex installations and resolving issues proactively in both design and construction. They are a pleasure to work with and bring immense value to our team."
>
> **— Caitlin Knauss,**
> Supervising Engineer, City of Santa Barbara

Collection System Infrastructure | 200+ Projects



WSC has an exceptional understanding of sewer infrastructure having completed over 200 planning and design projects as a company, and many more as individual team members.

8+ Years Leading a Sewer Rehabilitation Program



for the City of Santa Barbara, as well as leading the District's 2025 Sewer Main CIPP Lining Project.

Focus on Client Service

Delivering high-quality deliverables, robust quality assurance and control, and industry leading project management processes





Montecito Sanitary District
Special Board Meeting - November 18, 2026
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Meet Our Team

WSC's team is built to provide the District with our most experienced collection system rehabilitation staff.

Our team includes unmatched trenchless rehabilitation experience, starting with our proposed Project Manager, Adam Donald, who will serve as the main point of contact for the District. As the lead on the District's 2025 Sewer Main CIPP Lining Project, Adam brings extensive CIPP rehabilitation experience and firsthand knowledge of the District's systems. Our proposed Principal in Charge, Justin Pickard, works closely with Adam on many of WSC's collection system rehabilitation projects, including the District's 2025 Sewer Main CIPP Lining Project and the City of Santa Barbara's Annual Sewer Rehabilitation Program. Technical Advisor and QA/QC Lead, Jon Ganz, will leverage his significant rehabilitation experience to help our team and the District identify optimal solutions. Our project team brings local knowledge and technical expertise with a proven track record of working together on similar sewer rehabilitation projects.

Resumes for all staff are included in the appendix of the proposal.

Montecito Sanitary District

Principal in Charge
- Justin Pickard PE, CCM, LEED AP BD+C

Project Manager
- Adam Donald PACP, PE

Technical Advisor and QA/QC
- Jon Ganz PE, BCEE, PMP

Project Engineer
- Jazmine Ramos

CAD Designer
- Paul D'Santi

Office Locations
- Portland, OR
- San Luis Obispo, CA
- Laguna Hills, CA
- San Diego, CA

Meet Your Project Team

WSC's team of experts are experienced in wastewater sewer main rehabilitation strategies and design.



Adam brings extensive experience developing and implementing sewer main rehabilitation strategies, with particular expertise in trenchless solutions such as CIPP lining.

Adam Donald PE, PACP

Project Manager

Portland, OR

Adam is a NASSCO PACP and ITCP certified engineer with 10 years of experience specializing in wastewater design and planning projects. His experience includes rehabilitation design, master planning, cost and life-cycle analyses for sewer systems, and CIP development for projects throughout California and Oregon. His sewer main experience includes assessing infiltration and inflow (I/I) issues, conducting seismic risk assessments, trenchless design, and CIPP technologies. Adam has served as Project Engineer for the District's 2025 Sewer Main CIPP Lining Project and is leading the City of Santa Barbara's Annual Sewer Rehabilitation Program. He brings a high attention to detail to his work and has assisted agencies in developing their standard specifications.

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Justin Pickard PE, CCM, LEED AP BD+C
Principal in Charge
San Luis Obispo, CA

Justin Pickard has 24 years of experience as a supervising engineer, project manager, and construction manager on a diverse range of civil engineering projects. He has worked both as a consultant for public agencies and as a supervising engineer for one of the largest municipal water districts in California, managing complex water, wastewater, and recycled water projects. Justin started his career supporting one of the largest collection system rehabilitation programs in the country for the City of Houston, where he managed implementation of trenchless rehabilitation technologies for pipelines ranging from 6 inches to 60 inches. He has also led sewer main rehabilitation projects throughout California, including serving as the Project Manager for the City of Santa Barbara's Annual Sewer Rehabilitation Program which includes trenchless and CIPP design strategies and manhole rehabilitation.



Jon Ganz PE, BCEE, PMP
Technical Advisor & QA/QC
Laguna Hills, CA

Jon has more than 29 years of experience in the design, construction, and rehabilitation of both wastewater and water treatment and conveyance facilities. He excels in leading multidisciplinary design teams to deliver complex projects within active, operating wastewater and water treatment facilities. He has managed capital improvements projects with a total estimated construction cost of over $2 billion. In addition, he has provided field construction management for the construction and rehabilitation of conveyance and treatment facilities and supervised engineering and maintenance programs totaling over $30 million annually to support the operation of a large wastewater collection system.



Jazmine Ramos
Project Engineer
Laguna Hills, CA

Jazmine has three years of experience in wastewater collection system planning, condition assessment, and regulatory compliance. This includes review of over 1,000 CCTV videos using NASSCO PACP standards to evaluate and prioritize mains for rehabilitation. She has also conducted a dry and wet weather flow monitoring analysis, updated and audited Sanitary Sewer Management Plans (SSMPs), performed sanitary sewer overflow (SSO) analyses, and developed risk-based rehabilitation recommendations for capital improvement planning.



Paul D'Santi
CAD Designer
San Diego, CA

Paul D'Santi has 25 years of experience as a CAD Drafter/Designer and as a consulting civil engineering technician, focusing on water, wastewater, and recycled water facilities. His experience includes providing technical and creative support on numerous large scale water infrastructure projects, preparing drawings and documentation for annexations, and land surveying. He has also prepared the design plans for the District's 2025 Sewer Main CIPP Lining Project.



2025 Sewer Main CIPP Lining

Montecito Sanitary District, CA

Length: 1.2 miles

Diameter: 8 to 21 inches

Rehabilitation Types: CIPP

WSC led the design for the rehabilitation of a 21-inch diameter trunk sewer that conveys most of the wastewater from the District's collection system to the Wastewater Treatment Plant. The 21-inch pipeline crosses Highway 101 and is routed through several easements, residential streets, and Union Pacific Railroad right-of-way before terminating at the Wastewater Treatment Plant headworks.

The 21-inch pipeline was approaching the end of its useful life and WSC provided design services to rehabilitate the pipe using CIPP technology. The project also included the rehabilitation of two 8-inch diameter sewers connected to the trunk sewer and 28 maintenance holes along the pipeline alignment.

WSC reviewed the condition of the pipelines to identify defects that needed to be addressed prior to the installation of the CIPP liner using CCTV video, and developed design strategies to improve performance and reduce risk of premature failure.

Status: Complete

Key Staff: Justin Pickard (Project Manager), Jon Ganz (Technical Advisor & QA/QC), Adam Donald (Design Lead), Paul D'Santi (Drafter)

Client Reference: Bryce Swetek, Engineering Manager, (805) 833-6872, bswetek@montsan.org



Annual Sewer Rehabilitation Program

City of Santa Barbara, CA

Length: 14.8 miles

Diameter: 6 to 21 inches

Rehabilitation Types: CIPP, spiral wound PVC lining

WSC has supported the City's Wastewater Rehabilitation Program since 2018. WSC reviewed CCTV inspection videos and PACP defect scoring to recommend the appropriate rehabilitation method for each segment. WSC led design workshops with the City's engineering and operations staff to confirm condition findings, review maintenance history, evaluate probability and consequence of failure, and provide recommendations for each segment. Recommended improvements consisted of point repairs, trenchless pipe rehabilitation, open cut replacement, and manhole rehabilitation. Trenchless rehabilitation methods included CIPP and spiral wound PVC liner. The project included a bypassing spec that became the responsibility of the contractor to determine when necessary on the locations in need of rehabilitation work. WSC also provided engineering services during construction including submittal review, pre-and post-lining CCTV review, and technical support arising from challenges in the field.

Status: Complete

Key Staff: Justin Pickard (Project Manager), Adam Donald (Design Lead), Jon Ganz (Technical Advisor)

Client Reference: Caitlin Knauss, Supervising Engineer, (805) 897-1908, cknauss@santabarbaraca.gov

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Unit W & Thousand Oaks Boulevard Sewer Rehabilitation

City of Thousand Oaks, CA

Length: 4.3 miles

Diameter: 8 to 27 inches

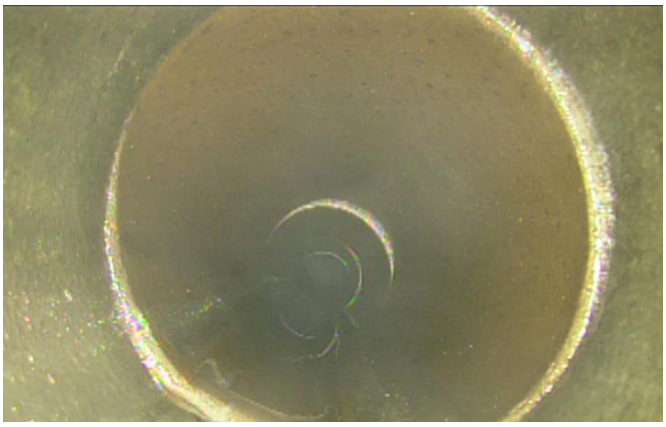
Rehabilitation Types: CIPP, spiral wound PVC lining

WSC supported two CIPP rehabilitation projects located at some of the busiest intersections in the City. WSC supported the Unit W Wastewater Pipe Lining program with design review and engineering services during construction. WSC's verified trenchless rehabilitation readiness and provided construction-phase support for lining, bypass planning, submittals, testing, and CCTV review for 8,399 LF of existing 8-inch to 27-inch pipe and 39 maintenance access holes. WSC provided construction support for rehabilitation of the Thousand Oaks Boulevard wastewater pipeline and maintenance access holes, which included of 14,292 LF of existing 12-inch to 20-inch pipe and 55 maintenance access holes. WSC led inspection and observation of bypass pumping, traffic control, and CIPP lining activities, plus specialty support such as wet-out verification and post-construction CCTV review to confirm quality.

Status: Complete

Key Staff: Adam Donald (Project Manager), Jon Ganz (Technical Advisor, QA/QC Review), Justin Pickard (Principal in Charge)

Client Reference: Gareth Madrid, Project Manager, (805) 449-2469, gmadrid@toaks.org



Small Diameter Sewer Rehabilitation

San Miguel Community Services District, CA

Length: 2 miles

Diameter: 6 to 12 inches

Rehabilitation Types: CIPP, dig and replace

WSC coordinated and reviewed the visual assessment and documentation of more than 28,000 LF of 6-inch to 12-inch vitrified clay pipe (VCP) gravity sewer, as well as approximately 80 manholes in the District's collection system. WSC prepared a preliminary engineering report that identified approximately 10,800 feet of main for rehabilitation. Of the mains identified for rehabilitation, approximately 8,200 feet will be rehabilitated using CIPP while the remaining segments will be excavated and replaced.

WSC is now preparing plan sets and specifications, coordinating survey needs and as-builts, and performing alternatives analysis supported by sewer modeling, feasibility checks, and cost estimating. WSC is supporting the project's environmental documentation requirements through coordination with an environmental subconsultant.

Status: Design Ongoing

Key Staff: Adam Donald (Design Lead), Justin Pickard (Technical Advisor and QA/QC)

Client Reference: Kelly Dodds, General Manager, (805) 467-3388, kelly.dodds@sanmiguelcsd.org

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Collections Systems Experience

WSC's team members have led many collection system projects, giving us a deep understanding of the opportunities and risks associated with that work. Our experience includes planning and design for new facilities, rehabilitation of existing facilities, and maintenance and repair projects.

WSC's recent collection system rehabilitation and replacement projects include:

Project, Client	Material	Size	Linear Feet	Trenchless Rehabilitation	Hydraulic Analysis	Condition Assessment	Preliminary Engineering	Permitting Support	Final Design	Bidding Support
Annual Wastewater Main Rehab Program City of Santa Barbara	CIPP; spiral-wound PVC	6-inch to 21-inch	77,891	■	■	■	■	■	■	■
2025 Sewer Main CIPP Lining Montecito Sanitary District	CIPP	8-inch & 21-inch	6,471	■		■	■	■	■	■
Unit W & Thousand Oaks Blvd. Sewer Rehab City of Thousand Oaks	CIPP; spiral-wound PVC	8-inch & 27-inch	22,500	■		■			■	
Sewer Lining & Manhole Rehab Program San Miguel Community Services District	CIPP; new PVC	6-inch–10-inch	10,872	■	■	■	■			■
Conejo Creek North Park & El Monte Drive Water Line Rehab Project, City of Thousand Oaks	CIPP; new PVC	16-inch	1,300	■		■	■		■	■
Manhole Rehab Program Camarillo Sanitary District		4-feet & 5-feet	-				■		■	■
Wastewater Transfer Pipeline Sonoma County Water Agency	HDPE DR11/ DR7	4-inch & 6-inch	29,300		■		■			
Airport Area Utilities Extension City of Paso Robles	PVC SDR 35; PVC C900 DR14	6-inch to 12-inch	13,017		■		■	■	■	■
Auburn Ravine Force Main Replacement County of Placer	HDPE DR17	14-inch	5,758		■		■	■	■	■
Five Cities Force Main Replacement City of Pismo Beach	PVC C900, HDPE, DIP	8-inch	2,345		■	■	■	■	■	■
Chaney to Toro Main Replacement Cayucos Sanitary District	PVC	36-inch	1,922				■	■	■	
Hamilton Trunk Sewer Replacement Preliminary Design Novato Sanitary District	PVC SDR 35	18-inch	1,400		■		■			
Sewer Creek Crossing Rehab & Repair Sacramento Area Sewer District	VCP, DIP, PVC C900, welded steel	4-inch to 33-inch	1,141		■	■	■	■	■	■
Division Drive Sewer Rehab Big Bear City Community Services District	PVC SDR 35	12-inch to 18-inch	900		■	■	■			
Toro Creek Bridge Force Main Replacement Cayucos Sanitary District	316L stainless steel, DIP CL350	12-inch	416				■	■	■	■

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Project Understanding & Approach



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Project Understanding

The District provides wastewater collection and treatment services to approximately 10,000 residents within its service area east of the City of Santa Barbara. The collection system comprises approximately 4 miles of pressurized force mains and 75 miles of gravity sewers ranging in diameter from 6-inch to 21-inch. As part of the District's wastewater collection system master plan, several pipelines were identified for rehabilitation throughout the collection system.

The FY 2028 Sewer Main CIPP Lining Project is anticipated to include the rehabilitation of approximately 3 miles of vitrified clay sewer main ranging in size from 6-inch to 18-inch throughout the collection system, as detailed below. The project includes rehabilitation of a dual barrel siphon as well as many mains located on private property. The design will need to consider the limits of work, access, right-of-way and easement constraints, permit requirements, and bypass pumping systems.



Pipe Diameter (in)	Number of Pipelines	Length (LF)
6	7	863
8	70	13,600
10	3	580
12	1	218
18	4	503
Total	85	15,764 (~3.0 mi)

1. 10"/12" Dual Barrel Siphon
2. Downtown Area
3. Work on Private Property
4. Curve in Pipe
5. Work Adjacent to Butterfly Beach Access
6. Bonnymede Lift Station (LS 2) Discharges into Main

Project Approach

The success of the project will depend on several key factors including:

Thoughtful and informed rehabilitation approach. The rehabilitation effort needs to consider the types of defects present as well as the special considerations for lining siphons and mains with cleanouts. Consideration should be given to adding adjacent, unlined segments to realize cost efficiencies, take advantage of bypass pumping, and limit future impacts on private property.

Minimize impacts to residents and business owners. WSC will define work access constraints, assess business impacts, and develop bypass concepts in coordination with affected stakeholders. We will identify sensitive periods and access needs, then incorporate them into the design and construction phasing, resulting in reduced impacts to the community.

Proven technical specifications. We have refined our detailed rehabilitation specifications while performing similar work in Santa Barbara county for nearly a decade. This will allow us to incorporate key quality requirements and lessons learned while providing cost effective and efficient delivery of the project.

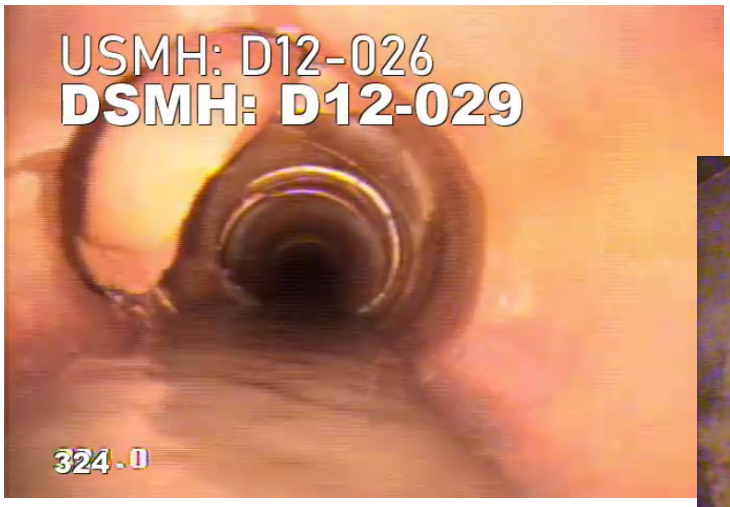
A Thoughtful and Informed Rehabilitation Approach Helps Prevent Surprises

The key to a successful CIPP lining job is understanding the underlying pipe conditions to determine if anything needs to be done ahead of time to facilitate lining. Special consideration needs to be given to the siphon lining approach and a strategy must be developed for lining mains with cleanouts to prevent field changes and potential change orders.

Pipeline Condition Review

Understanding the condition of the pipelines identified for rehabilitation is critical to the success of the rehabilitation effort. If pipeline defects are not addressed prior to the installation of the CIPP liner, the desired performance may not be achieved, and in extreme cases, the liner may prematurely fail. During the development of the 50% design, WSC will review the District's closed-circuit television (CCTV) video for the mains to be rehabilitated to develop a design strategy. WSC will identify defects that require repair prior to rehabilitation, and laterals that need to be dye tested to determine activity before being reinstated. A general approach to addressing defects is included in the table below.

DEFECT	ACTION
Active Infiltration	Grout or spot line prior to CIPP lining to avoid resin washout.
Protruding Laterals	Grind flush to pipe wall prior to CIPP lining to avoid liner tears.
Offsets	Consider point repairs if offsets restrict access for cleaning and lining equipment or if offsets impair performance of rehabilitated pipe.
Sags	Consider point repairs where sags impact capacity or performance of the pipeline. Sags will not be addressed through CIPP lining.
Holes	Large holes should be spot lined or replaced with a point repair to provide a surface suitable for lining. Smaller holes can be lined through, but the CIPP liner will stretch more over the hole. Liner thickness over the hole needs to be considered in the final design.
Defective Laterals	Laterals with major root balls or that appear to be abandoned can be dye tested to determine if the lateral is active and needs to be reinstated after CIPP lining. Break-in style laterals may require installation of a sewer lateral connection seal to prevent infiltration and inflow (I/I) at the connection point.



Protruding lateral must be ground flush with pipe wall prior to rehabilitation to avoid tearing the liner and creating a bulge in the final end product.

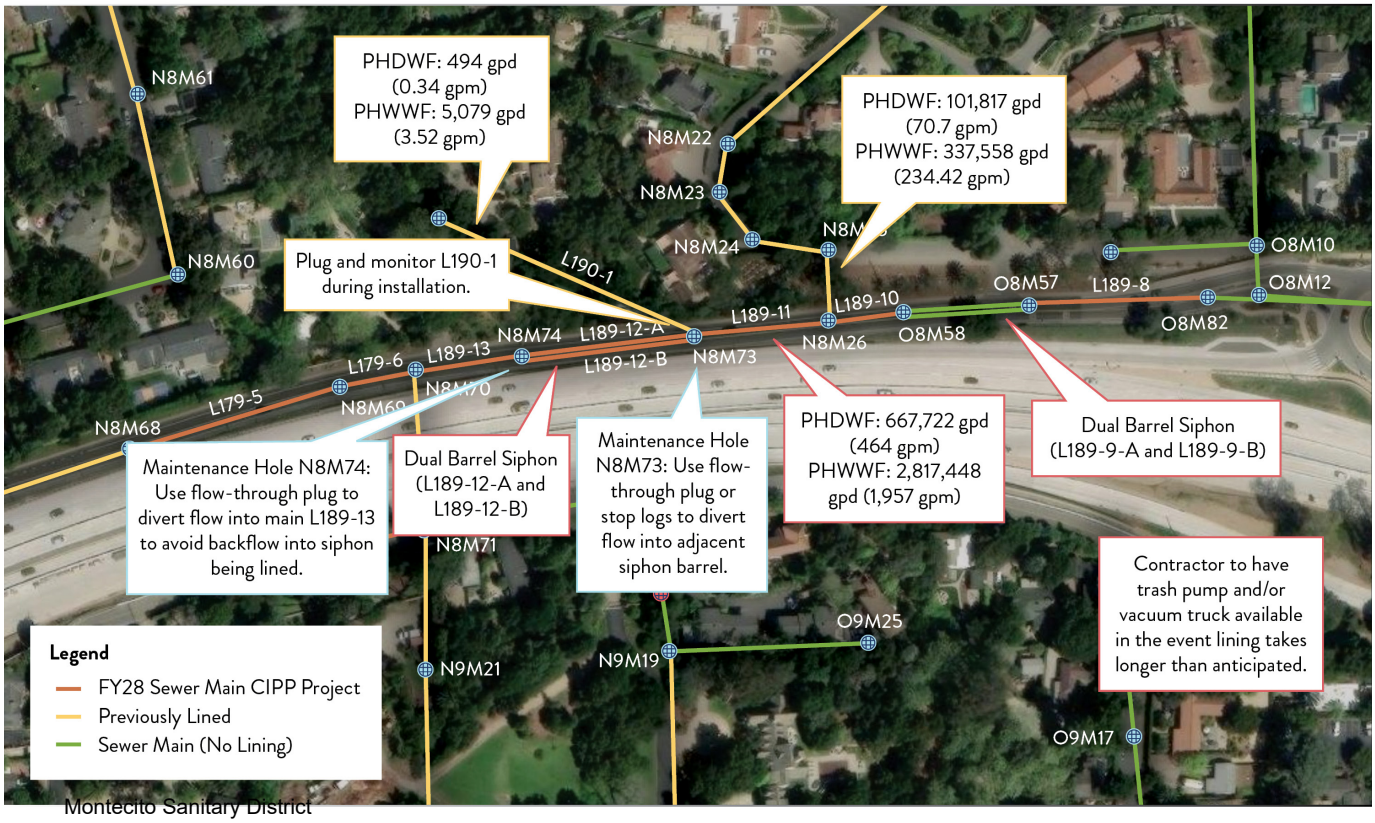
Active infiltration must be stopped prior to lining to avoid resin washout and insufficient physical strength once cured.



Our detailed review of the existing pipe condition and tailored rehabilitation approach will help prevent surprises in the field, leading to streamlined installations and reduced change orders by clearly communicating project conditions for contractor bidding.

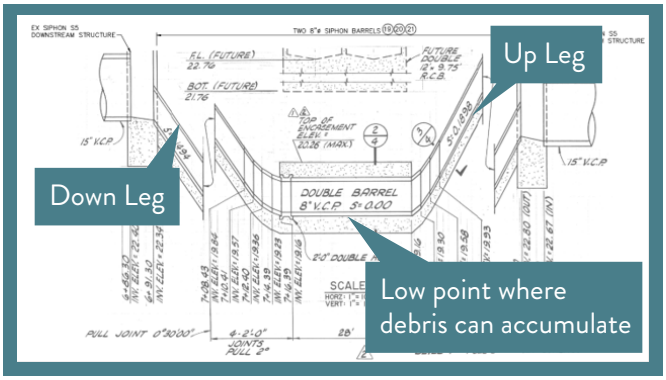
Dual Barrel Siphon Rehabilitation

The District has two, dual barrel siphons along N Jameson Lane just east of the Highway 101 crossing. The project includes one of the dual barrel siphons (L189-12-A and L189-12-B) for rehabilitation. Siphons can be lined with CIPP to extend their lifespan but special consideration must be given to the lining approach to prevent installation issues.



1. Understand Existing Conditions: WSC will evaluate the District's record drawings to understand the slope of the up leg and down leg of each siphon barrel. Significant slopes on these legs may warrant a water break for inverting the CIPP liner to prevent seam breaks from deploying the liner too quickly. A water break involves filling the siphon with water and evacuating the water as the liner is installed to help control the inversion speed through the down leg.

Typical Siphon Layout



2. Understand the Groundwater Table: WSC will evaluate the available groundwater data in this area to determine if the siphon dips below the water table. If the siphon is under the water table, pinhole leaks in the liner become a concern. A pressure-rated liner may be warranted to prevent pinholes as a traditional gravity CIPP liner is not guaranteed to be free from pinhole leaks.

3. Remove Debris: Due to their slopes, siphons often accumulate debris at their low point. Pre-installation CCTV and cleaning will be required to remove sludge, dirt, sand, rocks, etc. from within the siphon. Estimating the amount of debris present in the siphon and clearly communicating assumptions in the contract documents can help the contractor accurately estimate the level of effort which leads to better bid pricing.

4. Consider Lining the Upstream Siphon: Because the District is already investing in rehabilitating its siphon at L189-12-A and L189-12-B, the upstream siphon at L189-9-A and L189-9-B could also be designed for rehabilitation and included as an additive bid item. Combining the siphon work on one project could lead to better pricing for the District and reduce overall maintenance in the coming years.

While siphons require special considerations, they can be successfully rehabilitated with CIPP. Clearly identifying the underlying conditions will set the contractor up for a smooth implementation process.

Lining Pipe with End-of-Line Cleanouts

Nine of the mains identified for rehabilitation feature a cleanout on the upstream end, which is problematic for CIPP rehabilitation. The lining contractor can invert the CIPP liner through the downstream maintenance hole but must be able to access the liner from the upstream end to cut off any excess material and seal the end. WSC has identified three potential strategies for the District to weigh when rehabilitating these mains.

1. Replace Cleanout with 24-inch Access Structure

For cleanouts located outside of the roadway, the District could replace the existing cleanout with an HDPE or PVC 24-inch access structure. These structures increase the access at the upstream end of the pipe to facilitate CCTV inspection and provide sufficient access for a contractor to access the end of the lined pipe and install a proper end seal.

2. New Precast Sewer Maintenance Hole

For mains within a roadway subject to traffic loading, the cleanout could be replaced with a new precast sewer maintenance hole. This would allow for rehabilitation while also providing better access for future CCTV and maintenance.



For the City of Santa Barbara, WSC helped the City increase access at cleanouts to facilitate rehabilitation and subsequent CCTV by designing 24-inch diameter access structures.

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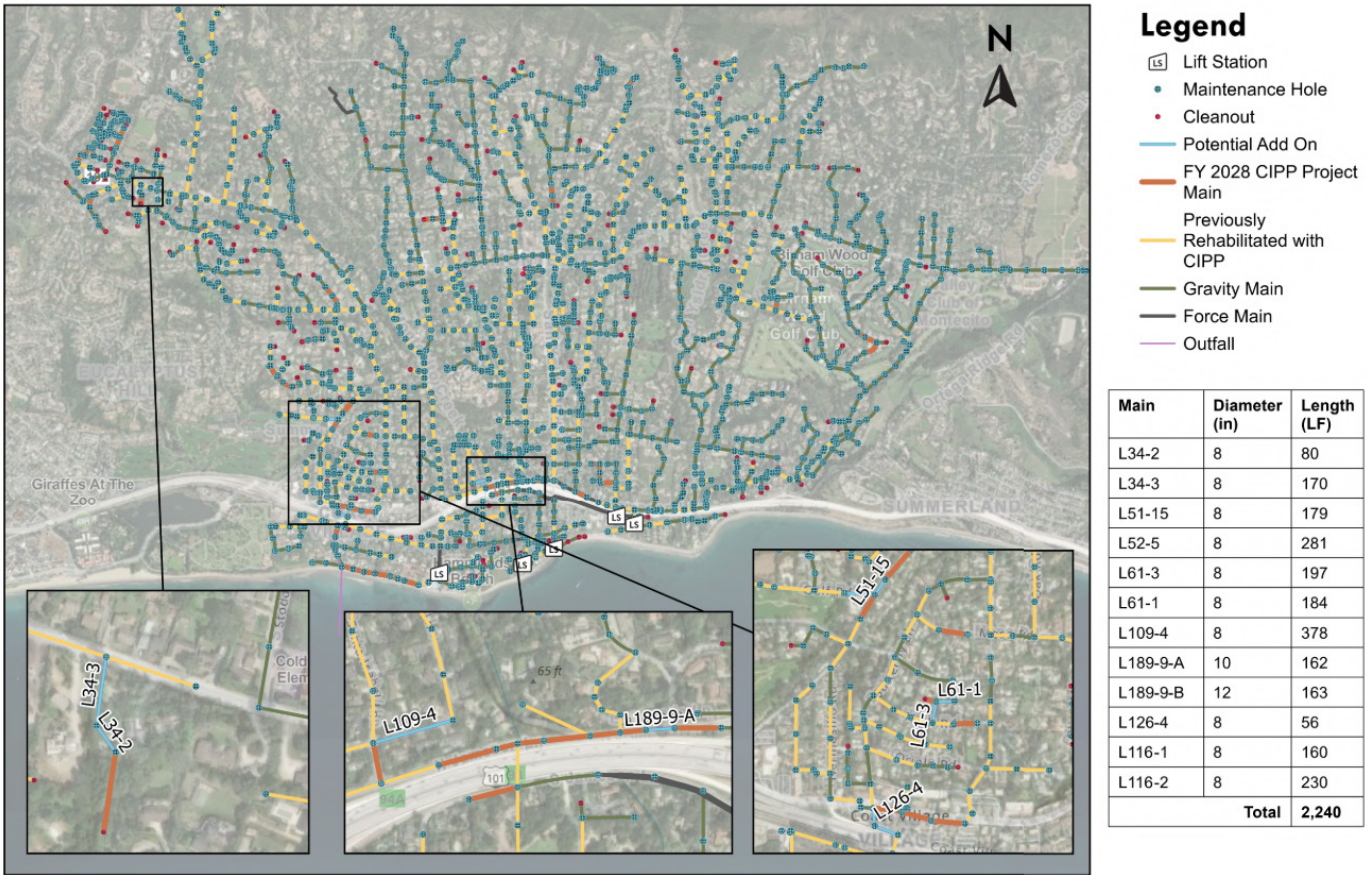
3. Remove and Reinstate Cleanout

Rather than install a new access structure, the District could elect to remove the existing cleanout prior to performing CIPP lining, consistent with the approach for the 2025 Sewer Main CIPP Lining Project. This allows the lining contractor the necessary access while lining. Once lining is complete, a cleanout can be reinstalled to restore the site. This is the most cost-effective solution but offers the least future utility.

WSC will work with the District to optimize this approach to best meet the District's needs for future access and maintenance while balancing budget.

Optional Add-On Locations Could Provide Future Benefit

WSC reviewed the District's past CIPP lining efforts (as reflected in the District's GIS) against the areas slated for rehabilitation as part of this project and identified opportunities for additional lining of pipes located on private property. Lining these mains reduces future burden on the impacted property owners since the District does the work once and will not have to remobilize for future rehabilitation efforts. Adding additional pipelines also creates economy of scale as the contractor can often leverage their existing bypass set up and combine lining shots. Adding these pipes to the existing design can be done for a relatively small increase in fee and the locations could be included as bid alternates in the contract documents and only awarded if they fit the District's budget. As discussed in the siphon section, the District could also consider lining the adjacent siphon on North Jameson Lane to complete this complex rehabilitation work in a single project and reduce future maintenance requirements.



Main	Diameter (in)	Length (LF)
L34-2	8	80
L34-3	8	170
L51-15	8	179
L52-5	8	281
L61-3	8	197
L61-1	8	184
L109-4	8	378
L189-9-A	10	162
L189-9-B	12	163
L126-4	8	56
L116-1	8	160
L116-2	8	230
	Total	2,240

The identified potential add-on locations offer an opportunity to leverage the existing job's economy of scale and minimize future impacts in areas that are otherwise fully rehabilitated.

Minimizing Impacts to Property Owners and Businesses Reduces the Potential for Complaints

We will work with the District to engage private property owners and businesses early, so the project work can be completed with reduced stakeholder and community impacts. Building on the District's existing communication with these stakeholders, we will gather access, delivery, and operating needs, and detail the constraints in the project plans and specifications.

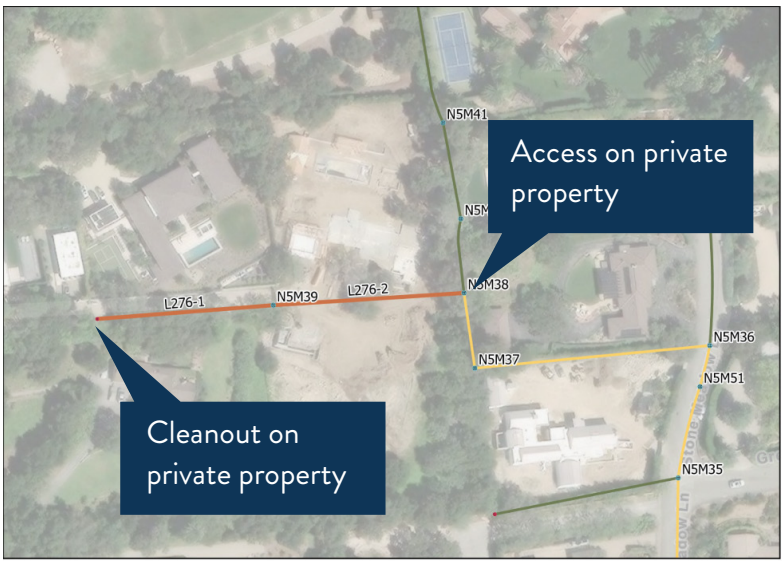
Our approach will result in fewer access conflicts, fewer change orders and delays, and stronger relationships between the District and the community it serves.

Private Property Access

The project includes rehabilitation of approximately 3,500 LF of pipelines in easements on private property. The District will coordinate with private property owners during design to determine access requirements, but these constraints must be clearly communicated to the contractor in the contract documents to prevent issues during construction.

Site Access Technical Specification

Our proven site access technical specification will require submittal of a site access plan and site access request to help ensure the contractor meets the requirements negotiated with the property owners. Submittal of pre- and post-construction photographs and video will document existing site conditions and facilitate restoration following construction, reducing the likelihood of complaints.



Coordinated Special Provisions

It is critical that the technical specifications, general provisions, and special provisions are coordinated to prevent conflicts. We will update the special provisions to reflect detailed technical specification requirements where necessary (work hours, notification, work limits, etc.). This coordinated approach will help ensure that the front-end documents and technical specifications are complementary, clearly communicating access requirements to the contractor and minimizing the potential for confusion and conflict with the contractor.

Provide Clear Contract Documents

Concise callouts included as general notes or construction notes will bring the contractor's attention to site-specific requirements in addition to the requirements detailed in the technical specifications and special provisions. Having coordination between the plans, technical specifications, and special provisions will provide a multifaceted approach to ensure the contractor sees and incorporates the access constraints into their bid and approach, reducing the potential for disputes with the contractor and providing leverage for the District's construction manager during implementation.

Downtown Considerations

Several segments identified for rehabilitation are in a busy commercial corridor along Coast Village Road. While the contractor will be ultimately responsible for preparing detailed bypass plans, specifying bypass constraints and vetting potential configurations during design can help minimize construction impacts. The following represent key considerations for minimizing impacts to the businesses along Coast Village Road.



1. Potential Night Work: While the County and District prefer to avoid night work, lining in this area could have significant impacts on local businesses. Performing lining during off peak hours could reduce impact on local businesses.

2. Innovative Bypass Concept: Diverting flow into the City of Santa Barbara's sewer collection system simplifies bypass support by keeping bypass piping out of intersections while only impacting one travel lane along Coast Village Circle.

3. Consideration of Styrene-Free Resin: If night work is not utilized, there will likely be significant foot traffic associated with the local businesses while construction is underway. Utilizing a styrene-free resin could reduce the likelihood of odor complaints by customers and businesses near the work area.

4. Minimize Traffic Disruption: By leveraging diversion to the City of Santa Barbara's collection system, the District can minimize bypass piping in the roadway and eliminate the need to discharge the bypass at the intersection of Coast Village Road and Coast Village Circle, reducing traffic impacts.

5. Outreach for Sensitive Commercial Area: WSC's in-house communications team is available to support District staff in communicating the construction impacts to local businesses so they are informed and know what to expect during construction. Educational materials regarding styrene odors (if using styrene based resin) could be distributed to increase awareness prior to the lining to reduce complaints.

By proactively thinking through work hours, bypass needs, and potential odor mitigations, construction impacts on the surrounding businesses can be mitigated.

Proven Technical Specifications Help Improve Quality and Streamline Delivery

WSC has refined our CIPP lining technical specifications for nearly a decade in Santa Barbara county while lining 16 miles of pipe, including the successful delivery of the District's 21-inch trunk main. We recommend a performance-based CIPP specification be utilized and supplemented with specific requirements for the contractor. The specifications focus on the design criteria and quality control submittals for the CIPP liner to empower the engineer and the District inspector to enforce quality control requirements and achieve the desired end product. This also allows for the design of a liner system supported by testing data while complying with the specification requirements. The following sections highlight key aspects of the CIPP specification.

Liner Quality Control and Quality Assurance Leads to Positive Outcomes

Comprehensive quality control requirements are critical to help ensure the contractor provides an acceptable end product, but are often overlooked during performance of the work. WSC's CIPP specification includes comprehensive quality control and quality assurance requirements in the specification so the contractor clearly understands its obligation and the District has the ability to require remedial action when the installed work fails to meet specification requirements.

This was crucial during the District's 2025 Sewer Main CIPP Lining Project when one lined segment within the Union Pacific Railroad right of way shrunk back into the pipeline following curing. WSC's specification provided the necessary framework to allow the District to mandate repairs by the contractor in a timely manner and restore service.

Key quality control provisions included in this specification will include wet out site visits and/or wet out reports, resin infrared spectrum report, curing logs, physical samples and their corresponding independent test results, and manufacturer and installer certifications.

Payment should only be made after visual inspection of the installed liner has been performed and physical test results have been submitted and approved. Restrained samples are recommended to be taken on each liner segment to verify liner thickness, flexural modulus, and flexural strength to make sure the District is receiving a final product meeting its performance criteria.

Understanding Your Preferences Leads to Cost Effective and Streamlined Delivery

As part of the 2025 Sewer Main CIPP Lining Project with the District, WSC developed a comprehensive set of specifications that have been successfully implemented within the District for CIPP projects. Key specifications including CIPP, site access, and bypassing specifications have already incorporated the District's preferences, allowing us to streamline the design effort.

During design, WSC will review key rehabilitation design decisions with the District to build upon our previous work and confirm preferences. The following sections highlight design decisions to consider.

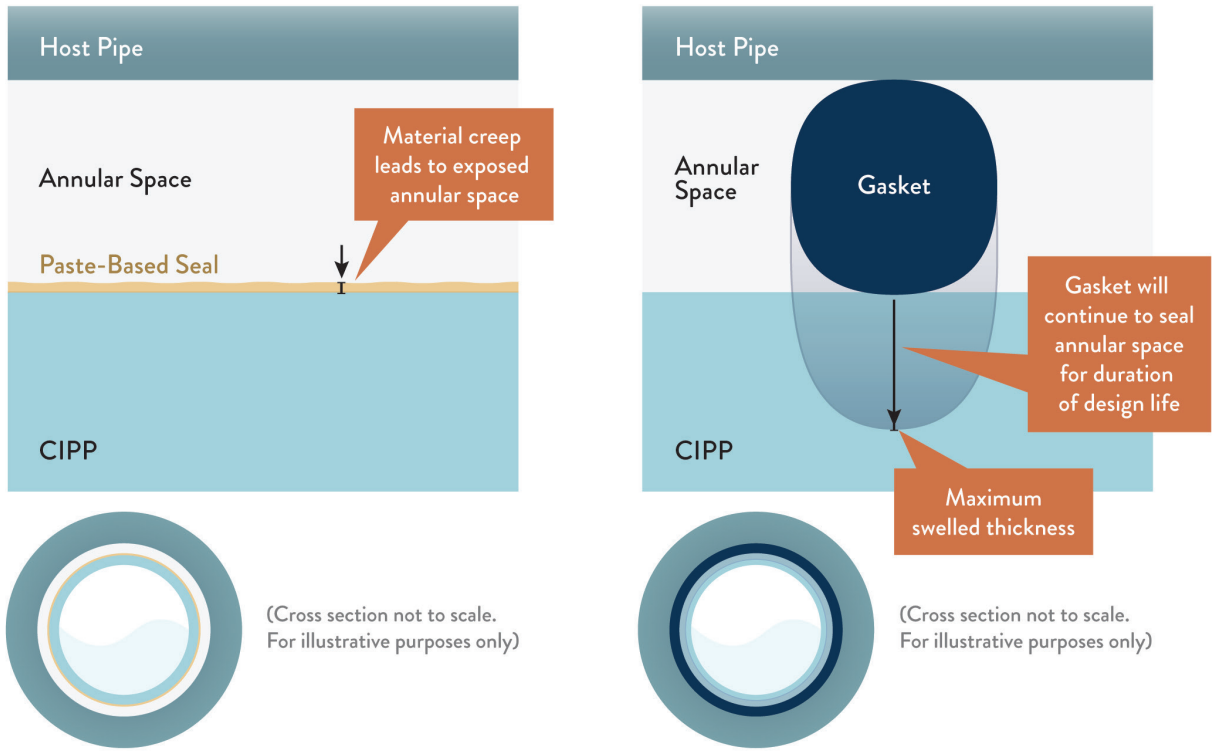
Resin Selection

Three common resin materials are used in the CIPP industry: polyester, vinyl ester, and epoxy. The table on the next page provides an overview of considerations for each resin type. Polyester was the standard resin selected by the District for use in the 2025 Sewer Main CIPP Lining Project that allowed for a cost-effective solution. On this project, polyester resin is envisioned to be used, however a styrene-free resin should be evaluated for the work on private property and those impacting businesses during work hours depending on community sensitivity.

RESIN	POLYESTER	VINYL ESTER	EPOXY
Cost	$	$$	$$$
Styrene	Yes	Yes	No
Special Applications	None	Industrial or Special Waste	Pressure Pipelines, Odor Sensitive Projects
Greenbook Approved	No	Yes	Yes

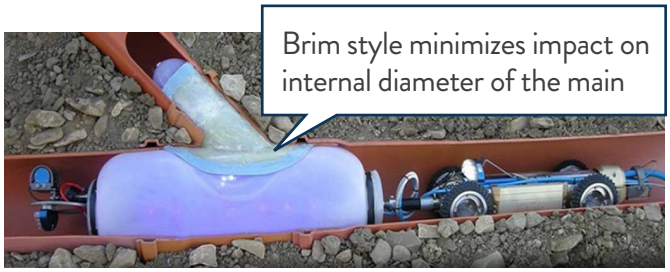
End Seal Technologies

CIPP liners require an end seal between the host pipe and the installed liner to seal the annular space and prevent groundwater infiltration. This can be accomplished with an epoxy-based grout or with a hydrophilic end seal (ASTM F3240). Epoxies are capable of filling the gap but, over time, may show low levels of creep that could leave the annular space partially open. A hydrophilic end seal utilizes a gasket that swells a minimum of 800% its size when exposed to water, effectively sealing the annular space for the lifetime of the liner. Given the high levels of I/I within the District's collection system, the hydrophilic gasket end seal should be considered during the design process. WSC will work with the District to determine whether the cost of the gasket end seal is worth the long-term benefits for their system.



Lateral Connection Seal Technology

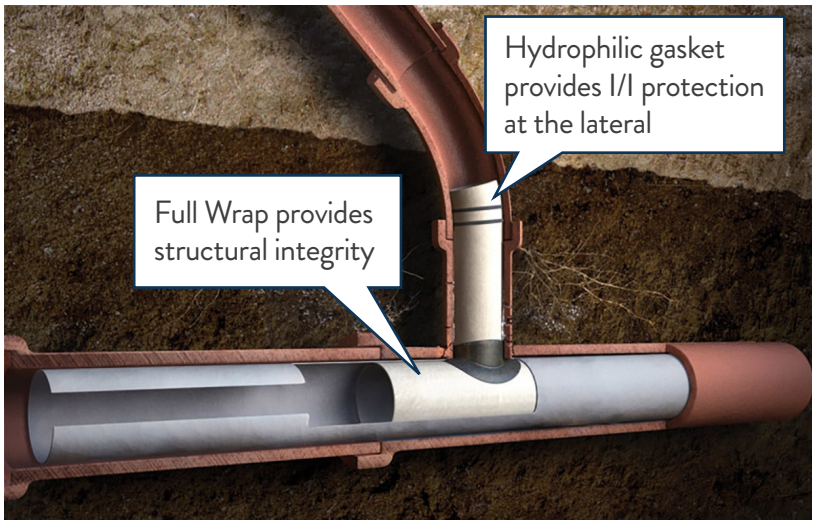
Lateral connection seals are felt or fiberglass CIPP products placed at reinstated lateral connections within the CIPP liner to seal any gaps and overcuts that would allow roots or infiltration to enter the collection system at the lateral-main connection. There are two main styles: brim ("Top Hat") and full wrap ("T-Liner").



Source: Sewer Pros

WSC understands the District will determine which laterals require lateral connection seals and that the District typically uses Top Hat lateral connection seals. Top Hats use a fiberglass liner material with an epoxy resin to seal around the lateral opening with a brim, and extend 6 to 18 inches up the lateral to effectively cover the first lateral joint and prevent roots from penetrating into the lined main. Epoxy resin has minimal creep, but will shrink over its service life, resulting in a small annular space between the lateral connection seal and the lined mains over time, providing an opportunity for infiltration towards the end of its design life.

The full wrap style connection seal allows the liner thickness to be designed using the ASTM F1216 design method that is used for the CIPP liner. These lateral seals utilize hydrophilic gaskets to provide sealed annular space for the duration of the design life of the liner. The full wrap liners are available in a variety of lengths for the lateral portion so the District can decide the level of lateral rehabilitation that is done during the sealing process.



Source: LMK/Water Line Renewal

I/I within the District's collection system is likely spread throughout the collection system as well as in private laterals. The full wrap liner potentially offers more I/I reduction benefits because it uses the hydrophilic gaskets and have the potential to rehabilitate more of the lateral than the Top Hats, further contributing to I/I reduction. However, both of these methods reduce the internal diameter of the CIPP-lined main further, so maintenance impacts, especially on 6-inch mains, must be considered by the District. WSC will work with the District to determine whether the additional costs and potential I/I reduction of the full wrap liners are in alignment with the District's overall goals for the project or if the Top Hat style works best for their system.



Scope of Work

Our team's general approach to delivering the scope of work described in the RFP is presented in the preceding sections. The following detailed scope of work describes each task, milestones, deliverables, and key considerations.

Task 1: Project Management, Quality Assurance/Quality Control, Meetings, and Site Visits

WSC utilizes a complementary suite of project management tools and proven processes to manage the budget, schedule, scope, and risks for each project. Our approach to project management results in high-quality deliverables that routinely exceed our clients' expectations.

Task 1.1: Project Management

- **Project Budget** – WSC uses an integrated project management and accounting system, Ajera, to manage project progress and budget in real time. We use earned value management to identify discrepancies between planned and actual progress, allowing corrective measures to be implemented early to prevent cost overruns and schedule delays.
- **Project Schedule** – Our estimated schedule to complete the project scope of work is presented in Section 4. Prior to the kick-off meeting, we will update the proposed schedule based on the actual notice to proceed date and submit schedule updates with each monthly invoice. We review the project schedule at our regular internal coordination meetings to make sure each team member is aware of deliverable dates and other milestones.
- **Staff Coordination** – WSC uses a company-wide workload management system to manage resources assigned to each project. Our workload management system enables us to track each employee's short- and long-term project commitments, preventing staff from becoming overbooked.



WSC's earned value management system facilitates proactive identification and resolution of potential budget and schedule issues.

- **Stakeholder Coordination** – WSC routinely works on projects that require close coordination with diverse stakeholders and jurisdictional authorities including Santa Barbara County. While the District will lead permitting efforts with the County of Santa Barbara, WSC will provide support to inform the design and help ensure the requirements from the agency are reflected in the contract documents.
- **Monthly Invoices** – We provide detailed progress reports with each invoice summarizing the status of the project schedule and budget, work completed during the reporting period, work planned for the upcoming reporting period, key issues, along with a schedule update as noted above.

Deliverables: Baseline Schedule, Monthly Invoices and Progress Reports, Monthly Schedule Updates

Assumptions: Nine-month project duration

Task 1.2 – Quality Control/Quality Assurance

WSC implements a comprehensive quality control process for each of our projects. We assign a Quality Control Lead (QC Lead) at the outset of each project who is involved in the development of the design, rather than simply being given a set of plans and specifications to review prior to the transmittal of each client. Involving our QC Lead in key design decisions helps streamline internal review of deliverables and integrates the quality control process into each aspect of our project work. When necessary, technical leads are included in the review process based on the technical content of the work product. We engage our strategic communications team to perform readability reviews of technical reports and we engage our construction management staff to perform constructability reviews and value engineering as the design progresses. WSC's depth of resources and our comprehensive and continuous approach to quality control helps ensure high-quality deliverables are provided to the District. WSC's approach to quality assurance and quality control is described further in Section 7. WSC's QA/QC Lead will review all deliverables prior to submittal to the District.

Task 1.3 – Meetings

WSC will chair a kick-off meeting, site visit, and review meetings for the 50%, 90%, and Final Contract Document deliverables. A project schedule, including milestone dates for submittals and review meetings, will be prepared for the completion of the design of the Contract Documents to be discussed at the kick-off meeting. We will coordinate with the District's Project Manager to develop agendas that will be finalized and transmitted a minimum of three days before each meeting. We will prepare and distribute meeting notes and action items logs within three days of each meeting. The action item logs will be continuously maintained for the duration of the project.

WSC knows the District's time is valuable and we will leverage our knowledge of the District's preferences to limit the number of progress meetings during the design. Check-in meetings will be limited to key decision points during the design process. An early check-in will happen following CCTV review to confirm the District's preferences on design approach for any complicated pipelines. After the 50% design, WSC will work with District staff to confirm design preferences for items like resin type, hydrophilic end seals, and lateral connection seals that will flow into the technical specification requirements. An additional two check-in meetings will be budgeted to discuss anything further that develops during design.

Deliverables: Meeting Agendas, Meeting Notes, Action Item Logs

Assumptions: Project Kick-Off Meeting and Site Visit (in-person, 3 hours); Design Deliverable Review Meetings (Video Conference, 1 hour each); Check-In Meetings (4 total, Video Conference, 30 minutes each); Travel time for project meetings will not be billed to the project.

Task 2 Contract Document Preparation

WSC will prepare detailed plans, specifications, and cost estimates for the project. The following include a detailed description of the proposed scope of work, deliverables, and assumptions.

Task 2.1 – 50% Deliverable

- Prepare and submit a data request to the District (CCTV data, record drawings, etc.)
- Review CCTV data to determine rehabilitation approach.
- Coordinate with District to identify pipeline defects requiring repairs prior to lining.
- Prepare design plans using District GIS as the base map. Rehabilitation approach to be specified with notes and callouts in the drawings.
- Prepare technical specifications using CSI Division 50 (6 digit) format.
- Prepare cost estimate.

Deliverables: Data Request Log (Microsoft Excel Spreadsheet), 50% Design Plans, Technical Specifications, and Cost Estimate (submitted electronically as .PDF and Microsoft Word files).

Assumptions: No survey will be conducted for the project; no utility research will be performed for the design; GIS shapefiles and record drawings will be used to show the limits of existing easements, rights-of-way, and property lines; 50% Design Plans will use GIS shapefiles as base map with callouts and notes indicating the proposed scope of work for rehabilitation; pipeline profiles will not be provided; District comments will be provided within three weeks of receipt of design deliverables; 50% Cost Estimate will be consistent with a Class 3 estimate as defined by AACE; District to coordinate directly with the County of Santa Barbara and other authorities having jurisdiction over the work; bypass plans will be the responsibility of the contractor. Rehabilitation of any sewer maintenance holes is not included in the scope of work and fee estimate. District will prepare front end specifications for bidding. No additive locations proposed in the approach are included in the level of effort presented. 50% Deliverable Review meeting is included in Task 1.3. Design effort assumes a total of 22 sheets (Title Sheet, General Notes/Standard Details Sheet, and 20 Plan Sheets showing rehabilitation work). The sheet count assumes the design plan format will include combined maps showing multiple pipes for rehabilitation per sheet in a similar manner to that used on the 2025 Sewer Main CIPP Lining Project; individual view ports for each location will not be utilized. A specification list has been included at the end of this scope of work. District will lead all private property owner coordination required to inform access requirements in the specifications. District will apply as permittee and pay all fees.

Task 2.2 – 90% Deliverable

- Incorporate District comments from the 50% deliverable review.
- Refine plans to include access and permit requirements for each location.
- Update technical specifications to reflect rehabilitation products selected by the District.
- Update cost estimate to reflect project revisions.

Deliverables: Response to Comments (Microsoft Excel spreadsheet), 90% Design Plans, Technical Specifications, and Cost Estimate (submitted electronically as .PDF and Microsoft Word files).

Assumptions: District comments will be provided within three weeks of receipt of deliverables; 90% Cost Estimate will be consistent with an AACE Class 2 estimate; District will prepare and provide front-end documents (Bid Advertisement, Instructions to Bidders, Bid Forms, Bond Forms, Agreement, General Conditions, Special Conditions); 90% Deliverable Review meeting is included in Task 1.3.

Task 2.3 – Final Contract Documents

- Incorporate District comments from the 90% deliverable review.
- Prepare a final set of contract documents for submittal to the District.
- Compile bid set that incorporates District comments from the final deliverable review meeting (Task 1.3). Bid set documents will be stamped by a Professional Civil Engineer registered in the State of California and will be ready to issue for bid.

Deliverables: Response to Comments (Microsoft Excel Spreadsheet), Final Design Plans and Technical Specifications, Bid Set Plans and Technical Specifications, and Estimate (submitted electronically as .PDF).

Assumptions: District comments will be provided within three weeks of receipt of design deliverables; Final Cost Estimate will be consistent with an AACE Class 2 estimate; District will be responsible for preparing Final Front End Specifications for bidding.

Optional Task – Communications Support

WSC has a team of in-house communications specialists available to support the District's limited bandwidth in stakeholder outreach. Stakeholder outreach is especially important on this job due to the significant amount of work requiring easement access on private property. Our communications team is currently supporting the District's neighbors on both sides: the City of Santa Barbara for their Via Lucero Force Main Replacement Project and Carpinteria Valley Water District on the Carpinteria Advanced Purification Project, a historic project for Santa Barbara County. This all helps us understand the unique challenges of working in the region and how to best tailor outreach.

For this project, communications support could include:

- Preliminary project information on the District's website including description, frequently asked questions, and contact information.
- Identification of specifically impacted customers for individualized outreach.
- Mailed postcard notifying the community of the project development and community benefits.
- News releases such as articles for distribution to local journalists and the District's own email communication.

All deliverables include graphic design support such and infographics and illustrations. A detailed scope and fee for communications support, tailored to the District's needs, can be provided upon request.

Specification Number	Specification Title
01 10 00	Summary
01 14 23	Access to Site
01 20 00	Measure and Payment
01 30 00	Administrative Requirements
01 33 00	Submittal procedures
01 50 00	Temporary Facilities and Controls
01 55 26	Traffic Control
01 57 23	Temporary Environmental Controls
01 58 00	Bypass Pumping
01 60 00	Product Requirements
01 70 00	Execution and Closeout Requirements
33 01 31	CCTV
33 01 32	Cured in Place Pipe
33 01 33	Service Lateral Connection Seals

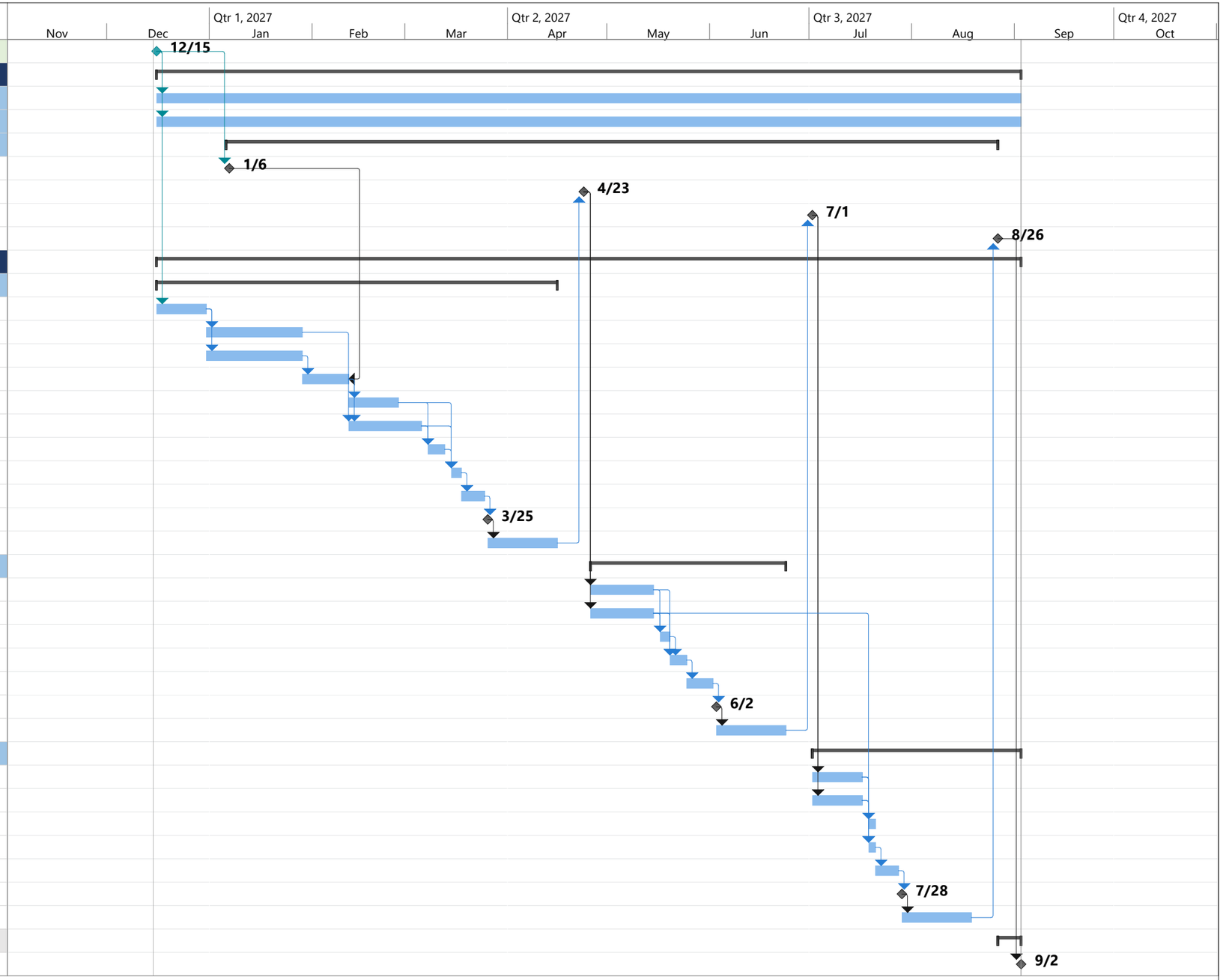
4

Estimated Schedule



The draft project schedule reflects WSC's plan to deliver the project based on our understanding of the scope and the estimated level of effort required. WSC can deliver the project within these timeframes, but we welcome the opportunity to refine the schedule to meet the District's needs.

ID	Task Name
1	**Notice to Proceed**
2	**Task 1 - Project Management, QA/QC, Meetings & Site Visits**
3	**Task 1.1 Project Management**
4	**Task 1.2 Quality Control/Quality Assurance**
5	**Task 1.3 Meetings**
6	Project Kickoff & Site Visit
7	50% Deliverable Review Meeting
8	90% Deliverable Review Meeting
9	Final Contract Documents Review Meeting
10	**Task 2 - Contract Document Preparation**
11	**Task 2.1 - 50% Deliverable**
12	Data Request
13	Initial CAD Layout
14	Review CCTV
15	Develop Rehabilitation Strategy
16	Specification Development
17	Drawing Development
18	Cost Estimate Development
19	Internal QA/QC
20	Incorporate QA/QC Comments
21	Transmit 50% Deliverable
22	District Review 50% Deliverable
23	**Task 2.2 - 90% Deliverable**
24	Specification Updates & Revisions
25	Drawing Updates & Revisions
26	Cost Estimate Update
27	Internal QA/QC
28	Incorporate QA/QC Comments
29	Transmit 90% Deliverable
30	District Review 90% Deliverable
31	**Task 2.3 - Final Contract Documents**
32	Specification Updates and Revisions
33	Drawing Updates & Revisions
34	Cost Estimate Update
35	Internal QA/QC
36	Incorporate QA/QC Comments
37	Transmit Final Contract Documents
38	District Review Final Contract Documents
39	**Final Bid Set**
40	Final Changes & Bid Set Compilation



Project: MSD FY 2028 CIPP Sew
Date: Fri 11/6/26

Task ▬ Milestone ◆ Summary ▬

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5

Estimated Level of Effort



Task No.	Task Description	WSC						
		Principal in Charge	Technical Advisor & QA/QC	Project Manager	Project Engineer	CAD	Project Administration	WSC Labor Hours
		Principal II	Principal II	Senior I	Staff II	Technician/ Designer III	Administration/ Clerical III	
		Justin Pickard	Jon Ganz	Adam Donald	Jazmine Ramos	Paul D'Santi	Kay Merrill	
1	**Project Management, Quality Assurance/Quality Control, Meetings, and Site Visits**							
1.1	Project Management	5		15			12	**32**
1.2	Quality Control/Quality Assurance		20					**20**
1.3	Meetings	3		12	14			**29**
	SUBTOTAL	**8**	**20**	**27**	**14**	**0**	**12**	**81**
2	**Contract Document Preparation**							
2.1	50% Deliverable			72	191	172		**435**
2.2	90% Deliverable			35	60	75		**170**
2.3	Final Contract Documents			26	35	33		**94**
	SUBTOTAL	**0**	**0**	**133**	**286**	**280**	**0**	**699**
	COLUMN TOTALS	**8**	**20**	**160**	**300**	**280**	**12**	**780**

10% mark-up on direct expenses; 15% mark-up for sub-contracted services
Mileage will be reimbursed at the prevailing federal milage reimbursement rate in effect at the time of travel
Rates are subject to revision as of January 1 each year

PROPRIETARY | Water Systems Consulting, Inc.

Classification	2026 Rate
Engineers / Project Managers / Planners / Hydrogeologists	
Engineering Intern	$ 154.00
Assistant	$ 177.00
Staff I	$ 188.00
Staff II	$ 199.00
Staff III	$ 211.00
Associate I	$ 227.00
Associate II	$ 240.00
Associate III	$ 250.00
Senior I	$ 278.00
Senior II	$ 291.00
Senior III	$ 313.00
Principal I	$ 330.00
Principal II	$ 370.00
Principal III	$ 415.00
Outreach and Communications	
Communications Support I	$ 145.00
Communications Support II	$ 165.00
Communications Support III	$ 185.00
Communication Strategist I	$ 220.00
Communication Strategist II	$ 240.00
Communication Strategist III	$ 260.00
Senior Communication Strategist I	$ 280.00
Senior Communication Strategist II	$ 300.00
Senior Communication Strategist III	$ 320.00
CAD / Design Services	
Technician/Designer I	$ 163.00
Technician/Designer II	$ 192.00
Technician/Designer III	$ 214.00
Hydrogeology Field Services	
Geologist I	$ 170.00
Geologist II	$ 186.00
Geologist III	$ 200.00
Construction Managers / Resident Engineers / Construction Inspectors	
Inspector I	$ 175.00
Inspector II	$ 192.00
Inspector III	$ 206.00
Inspector (Prevailing Wage)	$ 210.00
Resident Engineer I	$ 185.00
Resident Engineer II	$ 208.00
Resident Engineer III	$ 225.00
Construction Manager I	$ 237.00
Construction Manager II	$ 287.00
Construction Manager III	$ 332.00
Administrative Services	
Administration/Clerical I	$ 150.00
Administration/Clerical II	$ 165.00
Administration/Clerical III	$ 180.00

Other Expenses

10% mark-up on direct expenses; 15% mark-up for sub-contracted services

Mileage will be reimbursed at the prevailing federal milage reimbursement rate in effect at the time of travel.

Rates are subject to revision as of January 1 each year.

6

Conflicts



WSC does not have any conflicts that could affect our ability to perform the work in a timely fashion over the duration of the contract.

7

Quality Assurance/ Quality Control



Structured QA/QC procedures result in high-quality deliverables

Adam will be supported by Jon Ganz, who will implement a QA/QC plan specific to this project and will be responsible for monitoring and documenting our team's adherence to the established plan. The three pillars of WSC's QA/QC plans are Plan, Assure, and Control.

Plan for quality

The first step to a successful QA/QC plan is to align the WSC team with the project scope of work and the District's requirements. An internal kick-off meeting unites our team around project goals, client requirements, preferred lines of communication, QA/QC roles and expectations, project schedule, and budget.

Assure quality is achieved

WSC's approach to quality assurance focuses on the prevention of errors and omissions through the use of best practices, conformance to standards, and an understanding of client expectations. One of the quality assurance tools that we use to help guide quality control reviews are standardized quality control checklists that are configured for each project deliverable. These checklists allow technical reviewers to confirm that calculations, drawings, specifications, and reports are thoroughly reviewed. Early engagement from the QC Lead and quality reviewers helps set up each project for success, identify opportunities to refine approaches, and increase overall quality and efficiency.

Control for quality

WSC's approach to quality control includes quality checks and reviews throughout the project life-cycle. During the preliminary design phase, our QC Lead will assess conceptual ideas, assumptions, preliminary results, and work products to make sure the project is aligned with your needs and expectations. After the preliminary design concepts have been reviewed and detailed design commences, WSC will conduct quality control reviews at pre-established milestones. Proven tools and experienced staff drive quality control processes, assuring review comments are discussed, tracked, and addressed before deliverables are submitted to you. This includes cross-discipline reviewers when appropriate to reduce the risk of conflicts between civil, mechanical, structural, and electrical and controls teams.

Quality is a priority for WSC. Here's what our QA experts have to say.

What is WSC's philosophy on quality?

WSC delivers high-quality work products and service to our clients because it is critical to our success, our clients' success, and our professional integrity. We understand that our work is often used to make key decisions, build critical infrastructure, and has a direct effect on public health, safety, and resource management. Our philosophy is to prioritize quality in everything we do with a focus on exceeding our clients' expectations and our own high standards.

What are the key elements of WSC's approach to quality?

At WSC, we put people first and provide the ability for our staff to focus on quality. Our approach to quality starts with assigning a QC Lead with the right expertise and availability to each project. Our QC Lead will follow progress and regularly check in with project managers and other team members. They help anticipate, identify, and resolve issues as they arise. They develop project-specific QA/QC plans that are grounded in the philosophies, policies, and best practices outlined in our corporate Quality Management System Manual, which is available upon request.

How does WSC's QA/QC approach bring value to our clients?

We focus on quality and delivering work products that optimize your project and are free of errors, which allows you to make the best use of your valuable time. Our attention to detail and rigorous internal reviews allow you to focus your efforts on what matters most, the operation of your facilities and efficient use of ratepayers' dollars.

8

Assumptions and Additional Comments



Assumptions, comments, suggestions, and potential considerations for the District are described in the preceding sections.

9

Contract Requirements



WSC confirms that we comply with applicable local, State, and Federal laws including prevailing wage rates and their payment in accordance with California Labor Code, Section 1775. We are in acceptance of the terms of the Agreement.

A

Appendix: Resumes



Adam Donald PE, PACP



PROFESSIONAL EXPERIENCE

Adam is a NASSCO PACP and ITCP certified engineer with 10 years of experience specializing in wastewater design and planning projects. His experience includes rehabilitation design, master planning, cost and life-cycle analyses for sewer systems, and CIP development for projects throughout California and Oregon. His sewer main experience includes assessing infiltration and inflow (I/I) issues, conducting seismic risk assessments, trenchless design, and CIPP technologies. He brings a high attention to detail to his work and has assisted agencies in developing their standard specifications.

REPRESENTATIVE PROJECTS

2025 Sewer Main CIPP Lining Project, Montecito Sanitary District, CA. *Project Engineer.* Provided design services for a CIPP rehabilitation of 21-inch pipeline that serves as a trunk sewer. This included a pipeline condition review, alternatives analysis, and design. The pipeline crosses Highway 101 and is routed through easements, residential streets, and Union Pacific Railroad right-of-way before terminating at the Wastewater Treatment Plant. Rehabilitated two 8-inch diameter sewers connected to the trunk sewer and 28 manholes along the alignment.

Sewer Main Rehabilitation Program (FY18-Current), City of Santa Barbara, CA. *Project Engineer/Project Manager.* Project includes evaluating approximately 15 miles of gravity sewer main since 2018, recommending rehabilitation strategies for each segment, and preparing construction documents. Gravity sewer mains rehabilitated ranged from 6" to 21" in diameter. Rehabilitation strategies included dig and replace, trenchless rehabilitation through cured-in-place pipe (CIPP) or spiral wound PVC pipe liner, point repairs, rehabilitation of manholes, and replacement of cleanouts with new manholes. Prepared design drawings for FY18 and FY20 projects. Prepared technical specifications and engineer's opinion of probable construction cost for all projects. Reviewed the exhibits prepared by the City and provided input on rehabilitation strategy. Provided engineering services during construction including submittal review, response to requests for information, CCTV review, and tracking of all work completed.

Force Main Condition Assessment, Novato Sanitary District. *Project Engineer.* Performed a prioritization evaluation to rank the District's force mains based on risk to prioritize which ones should receive detailed condition assessments. Prioritization evaluation consisted of a desktop risk analysis including factors such as pipe age, potential spill volume, impact to the public, road type, and impact on surrounding waterways. Following prioritization, WSC evaluated potential force main condition assessment technologies and provided technology recommendations and costs for assessing the ten highest ranked force mains. WSC worked with the District to procure a contractor for performing the first round of force main inspections.

Sewer Lining and Manhole Rehabilitation Program, San Miguel Community Services District, CA. *Project Manager/Design Lead.* The CSD received grant funding through the Clean Water State Revolving Fund Program to inspect and evaluate the clay pipe within their sewer collection system. Through an on-call contract, WSC oversaw the CCTV contractor and reviewed the findings of their CCTV inspections and manhole inspections and prepared a preliminary engineering report (PER) documenting the condition findings, prioritizing pipes and manholes for replacement and rehabilitation, and providing project recommendations. WSC is currently preparing 90% design documents for three phases of the replacement and rehabilitation projects identified in the PER. Phase 1 will consist of replacing damaged pipe to address grade issues and redirecting flow to abandon a railroad crossing that consists of heavily turburculated cast iron pipe. Phases 2 and 3 will consist of pipeline rehabilitation using cured in place pipe (CIPP) and manhole rehabilitation using polyurethane or epoxy manhole coatings.

EDUCATION

MS, Civil and Environmental Engineering, Stanford University

BS, Environmental Engineering, California Polytechnic State University, San Luis Obispo

PROFESSIONAL REGISTRATIONS

Professional Engineer – Civil, Oregon, No. 95325

Professional Engineer – Civil, California, No. 89089

Professional Engineer – Civil, Washington, No. 57893

PACP/MACP/LACP – Certificate U-0520-70309436

ITCP CIPP - Certificate C0045390-122023

ITCP Manhole Rehab - Certificate M0048320-062024

"I'm passionate about helping our clients meet the needs of their communities through tailored, best-fit solutions."

Adam Donald

Thousand Oaks Blvd Wastewater Pipe & Maintenance Access Hole Project, City of Thousand Oaks, CA. *Project Manager.* The City of Thousand Oaks rehabilitated approximately 14,300 LF of 12-inch, 15-inch, 18-inch, and 20-inch pipe along Thousand Oaks Blvd using Cured-In-Place-Pipe (CIPP). WSC performed quality control and technical review of the City's design documents and helped the City evaluate bypass pumping plans for this high traffic area within the City. During construction, WSC provided construction observation services and visually inspected final CCTV of lined pipe to review overall workmanship.

Unit W Wastewater Pipe and Maintenance Access Hole Lining, City of Thousand Oaks, CA. *Project Manager.* Project consisted of rehabilitation of 1.6 miles of 8", 10", 21", 24", and 27" wastewater mains and 326 vertical feet of maintenance access holes near the 101 Freeway using CIPP and Spiralwound PVC. Special attention was required for traffic control as much of the larger diameter pipe was located within one of the City's busiest intersections. During design, provided technical review for the City's plans, technical specifications, and cost opinion to help the City augment their deliverable for larger diameter pipelines and the significant traffic control and bypass needs. Also, reviewed CCTV video to confirm appropriate rehabilitation strategy and identify any areas with defects that need to be addressed prior to lining. Providing engineering services during construction including submittal review, field testing review, CCTV review, and answering requests for information.

Small Diameter CCTV Program, City of Santa Barbara, CA. *Project Manager.* The City of Santa Barbara enlisted a CCTV contractor to televise all of the small diameter sewer mains within their collection system (12" and smaller;approximately 226 miles). WSC provided QA/QC services on the Contractor's CCTV work product, ensuring database fields and coding was in line with City and NASSCO PACP standards. As project manager, Adam oversaw WSC's CCTV review team, provided QA/QC on CCTV reviews, prepared results tables for the acceptability of each video, coordinated review comments and findings with City staff and the CCTV contractor, and tracked overall progress of CCTV reviews.

Desal Link CCTV Review, City of Santa Barbara, CA. *Project Engineer.* The City of Santa Barbara constructed a 24" pipeline (Desal Link) to expand their ability to convey desalinated water in their water system. As part of this effort, the City required the Contractor to CCTV all sewer mains, sewer laterals, and storm drains the new pipeline crosses before and after construction to evaluate construction impacts. WSC reviewed pre-construction CCTV for the sewer mains, laterals, and storm drains to document the existing condition of the assets and performed post-construction CCTV review of the same assets to document if any damage occurred as a result of the pipeline installation. WSC prepared technical memos to document shortcomings in the CCTV data submittals and document any areas with damage warranting repairs by the Contractor.

CSD Sewer Standards – Parcel Generation and Peaking Factor Review, Camarillo Sanitary District, CA. *Project Manager/Project Engineer.* Evaluated the CSD's wastewater standards using recent flow monitoring data collected as part of the Flows Characterization and Interim Operations project. The review focused on updating the wastewater generation factors for each land use type to align with the flow monitoring data and using the flow monitoring data to evaluate the suitability of the CSD's peaking factor equations. Adam researched flow factors across other utilities to provide a basis for commercial factors in development reviews (i.e. flows per k-sqft, flow per employee, etc.), provided recommendations for land use based flow factors to align with recent flow data, and analyzed the flow data to provide recommendations for changing the CSD's peaking factor equation.

Creek Crossing Project, Sacramento Area Sewer District, CA. *Engineering Support.* WSC oversaw an alternatives evaluation for mitigating the risks of 12 exposed sewer crossings of creeks across the County service area. WSC designed, provided permitting support, and assisted in construction of the creek crossing repairs of gravity sewers which included obtaining necessary easements, U.S. Army Corp of Engineers and Regional Water Quality Control Board Section 404/401 permits, and California Department of Fish and Wildlife Lake and Streambed Alteration Agreements. Sizes ranged from 4-inch to 48-inch sewers. Repairs and replacements will include protections in place from ongoing erosion within the creek, additional structural protections for aerial crossings, and CIPP linings. Several locations were designed as aerial crossings using drilled piers. WSC assisted in obtaining property easements, CEQA Initial Study preparation, obtaining permits for work within the creeks, and supporting outreach to affected stakeholders.

Auburn Ravine Force Main Replacement, Placer County, CA. *Engineering Support.* Evaluation of alternatives to address condition deficiencies within the 12-inch diameter, 6,500 foot long asbestos cement pipeline. Alternatives included rerouting the pipeline, rehabilitation in replace, or installation of a parallel pipeline. Designed a parallel pipeline that included the installation of a permanent bypass into the neighboring City of Auburn collection system, allowing significant cost savings by reusing the existing alignment in sections to avoid hard rock removal. A variance from separation requirements with potable water utilities was obtained from the Division of Drinking Water through the use of DR17 14-inch diameter butt-fusion welded HDPE pipe with a pressure rating exceeding the project requirements. Utility location was critical within the narrow corridor, and both ground penetrating radar, electromagnetic sounding, and hydraulic potholing were used to positively locate utilities along the alignment. An 800 foot long horizontal directional drill installation was required to avoid an environmentally sensitive Auburn Ravine creek, and required a Lake and Streambed Alteration Agreement. Supported the development of a CEQA Initial Study and declaration of Mitigated Negative Declaration. Coordination with Caltrans was required for connection to existing pipelines within the Highway 49 right-of-way.

Conejo North Waterline Rehabilitation, City of Thousand Oaks, CA. *Engineering Support.* The project included rehabilitation of nearly 1,230 linear feet (LF) of welded steel pipe with cured-in-place pipeline (CIPP) and replacement of over 100 LF of pipeline with new 12-inch concrete mortar lined and coated welded steel pipe. The use of CIPP in this segment of the water infrastructure provides a solution to a site with unique land use and physical constraints. Supported the project by preparing technical specifi cations related to the rehabilitation of the pipeline with CIPP and preparing and opinion of probable construction cost.

Justin Pickard PE, CCM, LEED AP BD+C



PROFESSIONAL EXPERIENCE

Justin Pickard has more than 24 years of experience as a construction manager, supervising engineer, and project manager on a diverse range of civil engineering projects. He has worked both as a consultant for numerous public agencies and as a supervising engineer for one of the largest municipal water districts in California, managing complex water, wastewater, and recycled water projects. . Justin's experience includes serving as a project manager and project principal for the City of Santa Barbara's Annual Sewer Rehabilitation Program which included trenchless and CIPP design strategies.

REPRESENTATIVE PROJECTS

2025 Sewer Main CIPP Lining, Montecito Sanitary District, CA. *Principal in Charge.* Provided design services for a CIPP rehabilitation of 21-inch pipeline that serves as a trunk sewer. This included a pipeline condition review, alternatives analysis, and design. The pipeline crosses Highway 101 and is routed through easements, residential streets, and Union Pacific Railroad right-of-way before terminating at the Wastewater Treatment Plant. Rehabilitated two 8-inch diameter sewers connected to the trunk sewer and 28 manholes along the alignment.

Sewer Main Rehabilitation Projects (Fiscal Years 2018-Current), City of Santa Barbara, CA. *Project Manager/Principal in Charge.* Projects include evaluation of over 10 miles of gravity sewer main ranging from 6-inch to 18-inch diameter and preparing construction documents. Rehabilitation strategies included dig and replace, trenchless rehabilitation through cured-in-place pipe (CIPP) or spiral wound PVC pipe liner, point repairs, rehabilitation of manholes, and replacement of cleanouts with new manholes. Prepared the technical specifications and engineer's opinion of probable construction cost and assisted the City during the bidding phase by preparing addenda and evaluating substitution requests. Assisted the City during construction by reviewing pre- and post-lining CCTV videos, reviewing submittals, preparing responses to RFIs, and resolving constructability issues.

Force Mains Condition Assessment Prioritization, Novato Sanitary District, Novato, CA. *Project Manager.* Project included a risk analysis and ranking of the District's pressurized pipelines and an evaluation of commercially available technologies. Prepared technical memoranda and cost-loaded schedule to inform District's capital improvement budgeting process.

Unit W Lining Project, City of Thousand Oaks, CA. *Principal in Charge.* Project consisted of rehabilitation of 1.6 miles of 8- to 27-inch diameter wastewater pipeline and maintenance access holes near the 101 Freeway using CIPP and Spiralwound PVC. Provided technical review of the City's plans, technical specifications, and cost opinion to help the City augment their deliverable for larger diameter pipelines and the significant traffic control and bypass needs. Provided engineering services during construction including submittal review, field testing review, CCTV review, and RFI responses.

Desalination Link CCTV Review, City of Santa Barbara, CA. *Project Manager.* Performed CCTV review on behalf of the City for sewer mains, sewer laterals, and storm drains along the alignment of a new 24-inch potable water pipeline to establish pre- and post-construction condition of adjacent utilities. Prepared technical memoranda to document issues identified during review of CCTV video and coordinated with City and contractor to resolve issues.

Hamilton Trunk Sewer Replacement Project Preliminary Design, Novato Sanitary District, CA. *Project Manager.* Provided preliminary design services of a sewer capacity improvement project, replacing approximately 1,500 LF of

EDUCATION

BS, Civil Engineering, University of Texas at Austin, Austin, TX

PROFESSIONAL REGISTRATIONS

Professional Engineer - Civil, California, No. 71816

Certified Construction Manager, No. 14107

LEED AP BD+C, No. 10183662

"I'm passionate about helping our clients deliver projects and programs from concept through construction and operation. It's incredibly rewarding to see the culmination of a team's hard work in the physical improvements that benefit the communities where we live and work."

Justin Pickard

15-inch reinforced concrete pipe (RCP) with a new 18-inch polyvinyl chloride (PVC) pipeline. Led the coordination with the District's hydraulic modeling consultant to evaluate system performance and refine replacement limits. Provided evaluation of alignment alternatives, constructability, and phasing in constrained, developed areas to balance hydraulic reliability, cost, and community impacts.

Via Lucero FM Constructability Review, City of Santa Barbara. *Principal in Charge.* The Via Lucero project was a critical infrastructure replacement designed to prevent sanitary sewer overflows by replacing an aging and failure-prone wastewater forcemain. Project involved replacing approximately 2,300 feet of the original 1960s-era 6-inch asbestos cement pipe with a new, larger 8-inch PVC forcemain. Project also included replacing a nearby gravity sewer main on Hope Avenue to improve overall system reliability and maximize construction efficiency.

Pier D and Pier T Pipelines Relocation Project, Port of Long Beach, Long Beach, CA. *Resident Engineer.* Served as the resident engineer for the Pier D and Pier T Pipelines Relocation Project, which included a complex horizontal directional drilling (HDD) operation beneath the Back Channel in the Port of Long Beach. Multiple reaming passes with successively larger cutting heads were performed along a 2,000-foot alignment to construct a 54-inch diameter bore hole. An 1,800-foot pipe string including two 20-inch fabricated steel water pipelines and a 16-inch fabricated steel gas pipeline were assembled in an active shipping terminal in the Port of Long Beach. The pipelines were bundled, pulled through the bore hole and connected to the land-side utilities during several complex outages. Mr. Pickard provided engineering and construction management services including field inspection, review of progress payment requests, utility coordination, change management and claims mitigation. The project cost was approximately $4,500,000.

North Pleasant Valley Desalter Project, City of Camarillo, CA. *Construction Manager.* Provided program and construction management support for construction and operation of a $42 million reverse osmosis groundwater desalter facility. The desalter facility treats 4,500 AFY of brackish groundwater and yields 3,800 AFY of potable water, allowing the City to more than double its local water supply. Tasks included contractor prequalification; review of design plans; bid phase support; contract administration; scope, schedule, budget and risk management; procurement of contract operator; and commissioning support.

Water Resource Recovery Facility Upgrade, City of San Luis Obispo, CA. *Program Manager.* Providing program management support for a $140 million WRRF upgrade that will meet new National Pollutant Discharge Elimination System permit requirements. Responsibilities include management of scope, schedule, and quality of the program; contract management for multiple contracts; contractor prequalification; coordination with other City departments; supported the City through the bid and construction phases; strategic communications and public outreach; and general program administration. Project included remediation of lead and asbestos materials at a former shooting range, demolition of clarifiers and biofilters with mercury-lubricated bearings, and remediation of soil contaminated with mercury.

Central Coast Blue, City of Pismo Beach, Pismo Beach, CA. *Program Manager.* Providing program management, detailed design, funding, and permitting support services for an indirect potable reuse, groundwater replenishment and seawater intrusion prevention project. The project includes design of a new advanced water purification facility with ultrafiltration, reverse osmosis, and ultraviolet disinfection/advanced oxidation; design of injection and monitoring wells; design of purified water and secondary effluent pipelines; grant funding and low-interest financing application preparation; regulatory coordination; and public outreach.

Cayucos Sustainable Water Project - Design A1, Cayucos Sanitary District, Cayucos, CA. *Technical Advisor and QA/QC.* Provided technical support and QA/QC oversight during development of design for a program to create a sustainable water source for the community of Cayucos, cumulating in the design and construction of a 1.2 MGD Water Resource Recovery Facility. Assisted design staff in the development of piping and instrumentation diagrams detailing plant unit processes.

ExxonMobil Recycled Water Service Laterals Project, West Basin Municipal Water District, Carson, CA. *Project Manager.* Served as the project manager for the Exxon/Mobil Recycled Water Service Laterals Project which included the design and construction of two 6-inch recycled water pipelines and flow meter assemblies to supply a retrofitted irrigation system at the ExxonMobil refinery in Torrance, CA. The project cost was approximately $275,000.

Hyperion Pump Station Improvements Project, West Basin Municipal Water District, Carson, CA. *Project Manager.* Served as the project manager for the Hyperion Pump Station Improvements Project, which includes the design and construction of a 40 million gallon per day (MGD) pump station to supply secondary effluent to West Basin's water recycling facility in El Segundo, CA. The project includes coordination with numerous project stakeholders, installation of new 800 horsepower vertical turbine pumps, installation of new medium-voltage electrical distribution equipment and variable frequency drives, installation of a new three megawatt emergency diesel generator and complex construction of new reinforced concrete wet wells including sheet pile installation and deep excavation in sandy soils with shallow groundwater. The project was awarded the 2020 Regional Best Project Award in the Water/Environment Category by Engineering News Record (ENR). The project cost was approximately $20 million.

Hollywood Park Recycled Water Project, West Basin Municipal Water District, Carson, CA. *Project Manager.* Served as the project manager for the Hollywood Park Recycled Water Project which includes the construction of approximately 22,000 linear feet of recycled water pipeline to serve a mixed-use redevelopment project including the future home of the Los Angeles Rams. Mr. Pickard is responsible for developing an inspection plan and providing quality control/quality assurance for the recycled water improvements that are to be constructed by a third-party developer and subsequently transferred to West Basin. The estimated project cost is $1,600,000.

Jon Ganz PE, BCEE, PMP



PROFESSIONAL EXPERIENCE

Jon Ganz has 29 years of experience in the design, construction, and rehabilitation of both wastewater and water treatment and conveyance facilities. He is WSC's Wastewater Treatment Plant Lead and excels in leading multidisciplinary design teams to deliver complex projects within active, operating water and wastewater facilities. He has managed capital improvements projects with a total estimated construction cost of over $2 billion. In addition, he has provided field construction management for the construction and rehabilitation of conveyance and treatment facilities and supervised engineering and maintenance programs totaling over $30 million annually to support the operation of a large wastewater collection system.

REPRESENTATIVE PROJECTS

2025 Sewer Main CIPP Lining Project, Montecito Sanitary District, CA. *Technical Advisor.* Supported the design of a major sewer rehabilitation project; construction is in progress. Project includes CIPP rehabilitation of critical 21-inch trunk mains conveying flow to the District's wastewater treatment plant. Design work included special consideration for mains crossing the Highway 101 and crossing the Union Pacific Railroad, stakeholder coordination, and compliance with County bypass constraints through the impacted neighborhoods.

Wastewater Main Rehabilitation, City of Santa Barbara, CA. *Technical Advisor.* Conducted technical reviews of plans, specifications, and cost estimates and participated in client workshops for determining rehabilitation repairs for over 80 sewer mains with Grade 4 and 5 PACP ratings, spread across the City. Repair methods included CIPP, slip-lining, spiral-wound PVC, and fold-and-form methods for pipes ranging from 6- to 27-inch diameter. Impacts to traffic, private property, and sewer bypassing were considered for each segment, and used to develop a bidding strategy to maximize competition.

Lake Force Main Rehabilitation, Big Bear Area Regional Wastewater Agency, CA. *Technical Advisor/QA/QC.* Supported the design of a force main rehabilitation project that included the CIPP rehabilitation of approximately 2,690 LF of 16-inch diameter ductile iron pipe force main and replacement of an existing 12-inch valve. Specified Class III and Class IV structural CIPP based on conditions for individual segments of the alignment. WSC prepared bid documents, including plans, technical details, and CSI-format specifications incorporating BBARWA standards and front-end requirements. Supported development of an opinion of probable construction cost using recent bid data and contractor input, and provided bidding assistance, including contractor outreach, pre-bid support, and addenda preparation.

Unit W Wastewater Pipe and Maintenance Access Hole Lining, City of Thousand Oaks, CA. *Technical Advisor.* Project consisted of rehabilitation of 1.6 miles of 8-, 10-, 21-, 24-, and 27-inch wastewater mains and 326 vertical feet of maintenance access holes near the 101 Freeway using CIPP and Spiralwound PVC. Special attention was required for traffic control as much of the larger diameter pipe was located within one of the City's busiest intersections. During design, provided technical review for the City's plans, technical specifications, and cost opinion to help the City augment their deliverable for larger diameter pipelines and the significant traffic control and bypass needs.

Wastewater Collection System Engineering and Maintenance Programs, Los Angeles County Sanitation Districts, CA. *Program Manager.* Jon managed engineering and maintenance programs totaling over $30 million annually to support operation and maintenance of LACSD's wastewater collection system (>1,000-miles). The engineering program included chemical addition for sulfide control, crown spray for corrosion control, closed-circuit television (CCTV) inspection,

EDUCATION

MS, Environmental Engineering and Science, Stanford University

BS, Civil Engineering, Stanford University

PROFESSIONAL REGISTRATIONS

Professional Engineer — Civil, California, No. 58474

Board Certified Environmental Engineer

Project Management Professional

PROFESSIONAL DEVLEOPMENT

American Academy of Environmental Engineers (AAEE), Board Certified Environment Engineer

Project Management Institute (PMI, Project Management Professional Certification

Water Environment Federation (WEF) California Water Environment Association (CWEA), Conference Committee (2008-2016) & Director-atLarge from the South (2011-2014)

Los Angeles Basin Section (LABS) of CWEA

flow monitoring, evaluation of coating/liner systems, and facility capital upgrades at two maintenance yards. The maintenance program included coordination of capital improvements projects to repair /rehabilitate sewers and upgrade pumping plants, contract cleaning and root control services for preventive maintenance, and preparation of emergency response procedures and training manuals. Served as first-responder for emergencies associated with the collection system, including sewer collapses, pumping plant failures, and sanitary sewer overflows.

FY23 Sewer Main Rehabilitation Project, City of Santa Barbara, CA. *Technical Advisor.* Project includes evaluating 2.3 miles of gravity sewer main ranging from 6- to 18-inches in diameter and preparing construction documents. Rehabilitation strategies included dig and replace, trenchless rehabilitation through CIPP or spiral wound PVC pipe liner, point repairs, rehabilitation of manholes, and replacement of cleanouts with new manholes. Provided input on technical specifications and engineer's opinion of probable construction cost.

Creek Crossing Project, Sacramento Area Sewer District, CA. *QA/QC.* WSC oversaw an alternatives evaluation for mitigating the risks of 12 exposed sewer crossings of creeks across the County service area. WSC designed, provided permitting support, and assisted in construction of the creek crossing repairs of gravity sewers which included obtaining necessary easements, U.S. Army Corp of Engineers and Regional Water Quality Control Board Section 404/401 permits, and California Department of Fish and Wildlife Lake and Streambed Alteration Agreements. Sizes ranged from 4-inch to 48-inch sewers. Repairs and replacements included protections in place from ongoing erosion within the creek, additional structural protections for aerial crossings, and CIPP linings. Several locations were designed as aerial crossings using drilled piers. WSC assisted in obtaining property easements, CEQA Initial Study preparation, obtaining permits for work within the creeks, and supporting outreach to aff ected stakeholders.

Urgent Relining of Allen-McColloch Pipeline, Metropolitan Water District of Southern California, Los Angeles, CA. *Project Manager.* Jon managed final design and engineering support during construction of this accelerated project to rehabilitate approximately 1,200-feet of 78- inch diameter prestressed concrete cylinder pipe using sliplining methods, all within private property in the City of Irvine. Design was completed within two months to meet the Agency's aggressive timeline and allow material procurement in advance of a scheduled shutdown window.

PCCP Rehabilitation Project, Allen-McColloch Pipeline, Metropolitan Water District of Southern California, Los Angeles, CA. *Project Manager.* Jon managed the preliminary design of this approximately $40-million project to rehabilitate approximately 50,000-feet of 54- to 78-inch diameter prestressed concrete cylinder pipe using sliplining methods, all within the Cities of Irvine, Lake Forest and Mission Viejo.

TOS SN-71A Structural Condition Assessment of North Outfall Sewer - Phase 1, Los Angeles Sanitation Bureau, CA. *Project Manager.* Managed efforts to assess the condition of more than 9 miles of circular and semi-elliptical sewers ranging from 30 to 60 inches in diameter. Inspections were performed using robotically operated and controlled platforms equipped with High-Definition CCTV, sonar profiling and 30 laser imaging. Efforts included review of inspection data to ensure compliance with quality assurance and quality control program and preparation and delivery of engineering reports summarizing inspection findings.

TOS SN-91A Structural Condition Assessment of North Outfall Sewer - Phase 2, Los Angeles Sanitation Bureau, CA. *Project Manager.* Jon managed efforts to assess the condition of more than 23 miles of circular and semi-elliptical sewers ranging from 30 to 60 inches in diameter. Performed inspections using robotically operated and controlled platforms equipped with High-Definition CCTV, sonar profiling and 30 laser imaging. Efforts included review of inspection data to ensure compliance with quality assurance and quality control program, and preparation and delivery of engineering reports summarizing inspection findings.

Joint Outfall "A" Unit 6 Trunk Sewer Rehabilitation, Los Angeles County Sanitation Districts, CA. *Project Manager.* Managed preliminary and final design of this project to rehabilitate approximately 10,400-feet of 63-inch semi-elliptical clay-tile lined concrete pipe using sliplining methods, all within private and public right-of-way within the City of Long Beach. A unique aspect of this project was the use of semi-elliptical fiberglass reinforced polymer mortar pipe liner to rehabilitate the host pipe.

Joint Outfall "C" Unit 1 Relief Trunk Sewer, Phase II, Los Angeles County Sanitation Districts, CA. *Project Manager.* Joe managed design and engineering support during construction of this $30-million project to construct approximately 7, 700-feet of 90-inch rubber gasketed reinforced concrete pipe using tunneling methods, all within private property and public right-of-way within the Cities of Carson and Los Angeles. Project included tunneling beneath three railroad crossings and through two oil refineries. Due to the potential gassy conditions posed by the oil refinery properties, the tunnel equipment and methods were required to comply with Class 1, Division 1 hazardous classification requirements.

Wastewater Collection System Engineering and Maintenance Programs, Los Angeles County Sanitation Districts, CA. *Program Manager.* Jon managed engineering and maintenance programs totaling over $30 million annually to support operation and maintenance of LACSD's wastewater collection system (>1,000-miles). The engineering program included chemical addition for sulfide control, crown spray for corrosion control, closed-circuit television (CCTV) inspection, flow monitoring, evaluation of coating/liner systems, and facility capital upgrades at two maintenance yards. The maintenance program included coordination of capital improvements projects to repair /rehabilitate sewers and upgrade pumping plants, contract cleaning and root control services for preventive maintenance, and preparation of emergency response procedures and training manuals. Served as first-responder for emergencies associated with the collection system, including sewer collapses, pumping plant failures and sanitary sewer overflows.

Jazmine Ramos



PROFESSIONAL EXPERIENCE

Jazmine is a Project Engineer with three years of experience in wastewater collection system planning, condition assessment, and regulatory compliance. This includes dry- and wet-weather flow monitoring analysis, hydraulic bottleneck identification, Sanitary Sewer Management Plan (SSMP) audits and updates, long-term sanitary sewer overflow (SSO) analysis, and CCTV inspection review using NASSCO PACP standards. She also has experience developing risk-based rehabilitation recommendations for capital improvement planning, GIS spatial analysis and mapping, and construction project engineering.

EDUCATION

BS, Civil Engineering, University of California, Berkeley

REPRESENTATIVE PROJECTS

Flow Monitoring and Capacity Analysis, Various Municipal Clients, CA. *Project Engineer.* Analyzed dry- and wet-weather flow monitoring data from systems with more than 20 flow meters using Excel and GIS. Identified hydraulic bottlenecks and evaluated high-risk infrastructure under design storm conditions to support wastewater system planning.

Capital Improvement Planning, CCTV Condition Assessment and Rehabilitation Prioritization, Various Municipal Clients, CA. *Project Engineer.* Supporting capital improvement planning by developing risk-based rehabilitation recommendations and prioritizing high-risk pipelines using CCTV inspection data, PACP standards, and GIS analysis. Evaluated more than 1,000 CCTV inspections using NASSCO PACP standards to select rehabilitation methods (point repair vs. replacement) based on defect severity, distribution, and cost-effectiveness. Prioritized high-risk pipelines using inspection data and GIS analysis and developed risk-based rehabilitation recommendations to support capital improvement planning.

Long-Term Sanitary Sewer Overflow Analysis, Various Municipal Clients, CA. *Project Engineer.* Performed long-term SSO analysis using multi-decade datasets, including reconciling outdated NASSCO defect codes to current standards to support accurate condition assessment.

Sanitary Sewer Management Plan Audits, Bay Area Municipalities, CA. *Project Engineer.* Led more than 15 SSMP audits for Bay Area municipalities, performing full plan reviews, identifying regulatory gaps, and implementing updates to bring each plan into compliance with the current Statewide Waste Discharge Requirements (WDR).

Construction Project Engineering, Turner Construction Company, Anaheim, CA. *Project Engineering Intern.* Managed material deliveries for 11 trades, coordinating with suppliers and subcontractors to prevent shortages and maintain the schedule. Worked with the interiors superintendent on a color-coded delivery tracking system and implemented a Lean visual management system for trade scopes, contacts, and scheduling priorities.

Mid-Pacific (MIDPAC) Wastewater Treatment Competition, UC Berkeley, Berkeley, CA. *Project Manager.* Led the team to 2nd place in the final wastewater treatment design competition, managing coordination, task delegation, scheduling, and deliverables. Taught two weekly sessions of treatment lectures and lab activities, and designed and built a physical filtration system, using jar testing to reach target pH, turbidity, EC, and DO.

"I enjoy diving into the details and data that can positively influence the decisions and investments of municipalities, ultimately providing the best infrastructure solutions for their communities."

Jazmine Ramos

Paul D'Santi



PROFESSIONAL EXPERIENCE

Paul D'Santi has 25 years of experience as a CAD Drafter/Designer and as a consulting civil engineering technician, focusing on water, wastewater, and recycled water facilities. His experience includes providing technical and creative support on numerous large scale water infrastructure projects, preparing drawings and documentation for annexations, and land surveying.

REPRESENTATIVE PROJECTS

2025 Sewer Main CIPP Lining Project, Montecito Sanitary District, CA. *Drafter.* Prepared design plans and permit drawings for the CIPP rehabilitation of 1.3 miles of 8- to 21-inch sewer main and 28 manholes.

2025 Phase 1 Airport Infrastructure Improvements, City of Paso Robles, CA. *Drafter.* Prepared design plans for new lift station, 5,800 LF of 12-inch water main, 9,600 LF of 12-inch gravity sewer, and 4,000 LF of 6-inch sewer force main alignment.

Wilson Avenue Pipeline Replacement, Mesa Water District, Costa Mesa, CA. *Drafter.* Prepared design plans for 4,500 feet of 12-inch watermain including connections to existing system.

Auburn Ravine Force Main Design, County of Placer, CA. *Drafter.* Prepared design plans for 5,600 LF of sewer force main alignment and connection to existing lift station.

Waterline Improvements, City of Newberg, OR. *Lead Drafter.* Base map and project drawing development to city standards, including pipeline plan and profile design.

El Rio Water Treatment Facility, California American Water, Oxnard, CA. *Lead Drafter.* Initial base mapping and drawing setup to district standards.

PCE Plume Groundwater Development Program, City of San Luis Obispo, CA. *Lead Drafter.* Base map and project drawing development to city standards. Responsible for coordination of pipeline and well site drawing development including well site facilities and piping layout.

CSA16 Shandon Dual Water Tank, County of San Luis Obispo, Shandon, CA. *Lead Drafter.* Base map and project drawing development to county standards. Responsible for coordination of pipeline and well site drawing development including site grading, piping, and reservoir design.

SMD2 and SMD3 Sewer Lift Station Improvements, Placer County, Auburn, CA. *Lead Drafter.* Base mapping and project setup.

Sewer Creek Crossing Rehabilitation, Sacramento Area Sewer District, Sacramento, CA. *Lead Drafter.* Base mapping and project setup.

Center Street Upgrades Design, Tualatin Valley Water District, Beaverton, OR. *Lead Drafter.* Base mapping and project setup.

Central Coast Blue, City of Pismo Beach, CA. *Lead Drafter.* Lead drafter for the Central Coast Blue indirect potable reuse program. Responsible for coordination of pipeline, well, and treatment plant drawing development.

Wolf Reservoir, Booster, and Pipeline Replacement, Big Bear Lake Department of Water and Power, Big Bear Lake, CA. *Drafter.* Preparing design plans for demolition of existing reservoir and booster station and design of site grading, new reservoir, and booster station.

Water Reclamation Facility Upgrade Design, Heritage Ranch Community Services District, Paso Robles, CA. *Drafter.* Preparing design plans for treatment plant upgrades including demolition, grading, site layout, mechanical, structural, and onsite piping.

Cayucos Sustainable Water Project, Cayucos Sanitary District, Cayucos, CA. *Drafter.* Prepared design plans for a lift station and a new treatment

WSC

EDUCATION

Civil Engineering Technician coursework, Riverside Community College

"I am committed to doing what I can to provide a better water future by addressing current water challenges with forward-thinking solutions."

Paul D'Santi

plant, including site layout, grading, mechanical and structural facilities, and site piping.

Chino Basin Preliminary Design Report, Inland Empire Utilities Agency, Chino, CA. *Drafter.* Developed exhibits and preliminary design drawings.

Seawater Intrusion Prevention Wells for the Pure Water Soquel Program, Soquel Creek Water District, Soquel, CA. *Drafter.* Prepared design plans for three new well facilities, including site layout, grading, mechanical, structural, and site piping.

Well 8A Equipping, Big Bear City Community Services District, Big Bear, CA. *Drafter.* Prepared design plans for demolition of existing well facilities and design of new well facilities including mechanical, structural, and onsite piping.

Ella Way Well Project, Citrus Heights Water District, Citrus Heights, CA. *Drafter.* Prepared design plans for new well facilities, including site layout, grading, mechanical, structural, and onsite piping.

La Crescenta and Division Well # 6 Site Improvements, Big Bear Lake Department of Water and Power, Big Bear Lake, CA. *Drafter.* Prepared design plans for demolition of existing well facilities and design of new well facilities including grading, mechanical, structural, and onsite piping

Alta Mesa Plant Site GAC Treatment Design, Golden State Water Company, Nipomo, CA. *Drafter.* Prepared design plans for GAC contactor tanks and onsite piping.

South Bay Well Site Water Transmission Main, Los Osos Community Services District, Los Osos, CA. *Drafter.* Prepared design plans for 2,300 LF 8-inch water main.

Program C Well Water Transmission Main, Los Osos Community Services District, Los Osos, CA. *Drafter.* Set up base files, assisted drafting apprentice in preparing design plans for 3,200 LF of 8-inch water transmission main.

Navajo Booster Station Upgrade, Golden State Water Company, Nipomo, CA. *Drafter.* Prepared design plans for demolition of existing booster station and design of new booster station, site grading, and site piping.

RP-1 Aeration Basin Utility Water System Improvements, Inland Empire Utilities Agency, CA. *Drafter.* Prepared design plans for demolition of existing utility water facilities and design of utility water facility upgrades.

Headworks Grit System Rehabilitation, Big Bear Area Regional Wastewater Agency, Big Bear, CA. *Drafter.* Prepared design plans for mechanical demolition and upgrades to headworks grit system.

Replenish Big Bear, Big Bear Area Regional Wastewater Agency, Big Bear, CA. *Drafter.* Prepared various maps and exhibits for preliminary design.

Cinderella and Pan Springs Area Water Main Replacement, Big Bear City Community Services District, Big Bear, CA. *Drafter.* Prepared design plans for 8-inch water main replacement on various alignments .

Pump Station Decommissioning Program, City of Bend, OR. *Drafter.* Prepared design plans for decommissioning of existing pump stations and installation of 4,200 LF of 8-inch sewer line.

2018 USDA Pipeline Replacement Project, Big Bear Lake Department of Water and Power, Big Bear Lake, CA. *Drafter.* Prepared design plans for 8-inch water main replacement on various alignments.

Reclaimed Water Storage Reservoir, City of Camarillo, CA. *Drafter.* Prepared design drawings for drying bed demolition, site grading, site piping, and new partially buried reservoir.

Groundwater Development Package No. *2B, City of Gresham, OR.* Drafter. Prepared design drawings for 7,400 LF of 36-inch water transmission main.

Groundwater Development Package No. *9, City of Gresham, OR.* Drafter. Set up base files, assist drafting apprentice in preparing design plans for 4,200 LF of 12-inch water main and new metering valve vault.

Stanley Tank Reservoir Improvement Project, City of Milwaukie, OR. *Drafter.* Prepared design plans for mechanical demolition and retrofit for existing reservoir.

Sonoma Valley Wastewater Treatment Plant Secondary Clarifier Seismic Retrofit, Sonoma County Water Agency, Sonoma, CA. *Drafter.* Prepared design plans for mechanical demolition and retrofit of existing clarifiers.

Sawmill Well Pumping Plant, Big Bear Lake Department of Water and Power, Big Bear Lake, CA. *Drafter.* Prepared design plans for a 350 gpm well pumping plant, which includes site improvements and a CMU building. Project included the design of over 600-feet of 6-inch PVC pipeline to connect the new well to the existing distribution system.

DOM 232 Booster Station, California Water Services, City of Torrance, CA. *Drafter.* Prepared design plans for new booster station, including demolition of existing facilities and new site plans.

Peter Pan Area Phase 1 and Sheridan Drive Water Main Replacements, Big Bear City Community Services District, Big Bear City, CA. *Drafter.* Prepared design plans for 9,150 LF of 8-inch PVC mains. The replacement mains will be installed within the street right-of-way.

Rincon Road Phases 2 and 3 Water Main Replacement, Liberty Utilities – Apple Valley, Compton, CA. *Drafter.* Prepared design plans to replace approximately 4,000 LF of 12-inch pipeline in Rincon Road with a 20-inch pipeline. The new pipeline will be located in street right-of-way.

McKinley & 135th Water Main Replacement, Park Water Company, Compton, CA. *Drafter.* Prepared design plans for approximately 1,795 LF 8-inch pipeline and 2,590 LF 12-inch pipeline. The new pipelines will be located in street right-of-way.

Amantha Waterline Replacement, Park Water Company, Compton, CA. *Drafter.* Prepared design plans for 5,600 LF 8-inch pipeline and 2,520 LF 12-inch pipeline. The new pipelines will be located in street right-of-way.



WSC

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Project Timeline

ID	Initiative / Project	FY25/26				FY26/27				FY27/28			
		Q1 July - Sept.	Q2 October - Dec.	Q3 January - March	Q4 April - June	Q1 July - Sept.	Q2 October - Dec.	Q3 January - March	Q4 April - June	Q1 July - Sept.	Q2 October - Dec.	Q3 January - March	Q4 April - June
COLLECTION SYSTEM													
C-004	FY 2028 Sewer Main CIPP Lining					RFP	Proposal/Award	Design			Bidding/Award	Construction	

Montecito Sanitary District
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Santa Barbara, CA 93108
A Public Service Agency
Phone: (805) 969-4200
www.montsan.org

MONTECITO SANITARY DISTRICT

STAFF REPORT – 6C

DATE: November 18, 2026

TO: Board of Directors

FROM: John Weigold, General Manager
Bryce Swetek, Engineering Manager

SUBJECT: Winter 2026-27 Emergency Procedures

RECOMMENDATION:

It is recommended that the Board receive a report from Staff, discuss available emergency response options, and provide direction to Staff.

Options for Board Consideration

1. **Adopt a Resolution.** Adopt an Emergency Resolution authorizing the General Manager to take immediate actions in the event of an emergency. A Resolution (Attachment 1) is included for Board consideration.
2. **Follow Current Emergency Procedures.** Maintain current District procedures in event of emergency
3. **Develop On-call Contracts.** Authorize the General Manager to execute on-call contracts with contractors from the Prequalified List of Contractors ("PLC")
4. **Other options.** Per Board direction.

BACKGROUND

The Montecito Sanitary District has experienced multiple emergencies over the years. Public Contract Code 1102 states, "'Emergency,' as used in this code, means a sudden, unexpected occurrence that poses a clear and imminent danger, requiring immediate action to prevent or mitigate the loss or impairment of life, health, property, or essential public services."

District emergencies may include, but are not limited to, wastewater spills, overflows, backups, loss of critical treatment or conveyance capacity, and conditions that may result in the District failing to meet applicable wastewater discharge requirements. Such failures

may result from aged or deteriorated infrastructure, equipment failure, natural disasters, or other unforeseen events, including flooding and earthquakes.

The following are FEMA or State declared natural disasters since 2018:

- **January 2018 (DR-4353 / Expanded from Dec 2017 Thomas Fire):** Expanded by FEMA to cover catastrophic flash floods, mudslides, and debris flows in Montecito and Santa Barbara County following torrential rains on burn scars.
- **January 2023 (DR-4683-CA):** Presidential major disaster declaration for severe winter storms, flooding, landslides, and mudslides, including Santa Barbara County for emergency work and debris removal.
- **February–July 2023 (DR-4699-CA):** Presidential major disaster declaration for severe winter storms, straight-line winds, flooding, and mudslides affecting Santa Barbara County.
- **August 2023 (DR-4750-CA):** Presidential major disaster declaration covering Tropical Storm Hilary impacts in Southern California.
- **January–February 2024 (DR-4769-CA):** Presidential major disaster declaration for severe winter storms, tornadoes, flooding, and mudslides with Public Assistance designated for Santa Barbara County.
- **December 2025 Storms (Amendment in September 2026):** The Governor proclaimed a state of emergency in Santa Barbara County following severe storms that caused flooding, road and drainage failures, and debris impacts.

In conjunction with more frequently declared natural disaster events, the Montecito Sanitary District has a variety of aging, obsolete, and compromised infrastructure that is at further risk of failure during storm and severe weather conditions. This is based on the following studies:

- WWTP Condition Assessment - Carollo Engineers, 2023
- Collection System Condition Assessment – Stantec, 2024
- Seismic Risk Assessment and Evaluation – Buehler, 2025
- Life Expectancy Analysis (LEA) – Stantec, 2025

OPTIONS

1. Adopt Resolution 2026-994 – Emergency Procedures

The Board may consider adopting the attached Resolution 2026-994 – Emergency Procedures (Attachment 1) authorizing the General Manager to take immediate emergency actions as necessary.

The California Governor proclaimed a state of emergency (Attachment 2) on September 21, 2026 and the City of Santa Barbara City Council approved a resolution on November 10, 2026 proclaiming a local emergency in response to the potential impacts of the developing 2026–2027 El Niño event and the upcoming winter storm season. The resolution authorizes officials to undertake emergency preparedness, mitigation, response, and recovery measures in anticipation of potentially severe weather events. It

also directs staff to document related expenses and pursue financial or technical assistance.

2. Maintain Current District Procedures

The following is a summary of current District procedures in the event of an emergency:

1. The District establishes emergency line items in the budget to enable immediate action during an emergency.
2. An emergency Board meeting is called to declare an emergency and authorize the General Manager to take emergency actions using authorized funding.
3. Staff contacts contractors, as needed, to assist in the response.
   (Note - the District currently does not have any general or specialized contractors under contractual agreement to respond in the event of an emergency or infrastructure failure.)
4. The Board reconvenes as necessary to continue Staff authorizations.

3. Develop On-Call Contracts

Staff has recognized the above vulnerabilities, has taken a proactive approach to respond to emergencies, and issued a Request for Proposal (RFP) to prequalify and place under contract general and specialized contractors in order to respond with reduced delay at the District's discretion.

The RFP establishes a Prequalified List of Contractors ("PLC") to provide as-needed repair services and emergency services for the District's wastewater infrastructure, as well as be considered eligible to bid on upcoming projects under the District's informal bidding procedures, pursuant to the Uniform Public Construction Cost Accounting Act (UPCCAA, Public Contract Code §22034).

Staff issued an RFP on October 14, 2026, on PlanetBids to solicit contracts, identified 28 prospective bidders, and received seven proposals from the following contractors:

- ACET Solutions, LLC
- Blois Construction, LLC
- CGS Engineering Corporation
- Cushman Contracting Corporation
- Toro Enterprises, INC
- Sam Hill & Sons, INC
- Schock Contracting Corporation

Staff recommends that the Board consider authorizing the General Manager to execute on-call contracts with contractors from the Prequalified List of Contractors ("PLC") in order to more efficiently respond to emergencies.

4. Other Options

The Board may consider other direction to staff. Such actions may include a combination of the above discussed options, a hybrid of the above options, or other such directions for emergency responses.

Fiscal Impact

The District adopted a budget of $750,000 for infrastructure emergencies for the FY 2026-2027 due to the forecast of extreme weather events such as the "super" El Nino, a recent history uptick of District emergencies, and vulnerability of the District's aging infrastructure. The Fiscal Year 2026-27 CIP Budget includes the following Infrastructure Emergencies under the following subcategories:

- Collection System Emergencies: $50,000, recurring yearly
- Collection System Emergency Maintenance: $150,000, recurring yearly
- Lift Station Emergency Repairs: $50,000, recurring yearly
- Treatment Plant Emergency Repairs: $500,000, recurring yearly

ATTACHMENTS:

1. Resolution 2026-994 – Emergency Procedures Authorizing the General Manager to Take Emergency Actions as Required
2. Montecito Sanitary District RFP
3. California Governor State of Emergency Proclamation
4. Prequalified List of Contractors (PLC)

RESOLUTION NO. 2026-994

RESOLUTION OF THE GOVERNING BOARD OF THE MONTECITO SANITARY DISTRICT DELEGATING AUTHORITY TO THE GENERAL MANAGER TO ORDER EMERGENCY ACTIONS AND PROCUREMENTS PURSUANT TO PUBLIC CONTRACT CODE SECTION 22050

WHEREAS, the Montecito Sanitary District (District) is a sanitary district organized and operating under the Sanitary District Act of 1923, Health and Safety Code section 6400 et seq., and owns and operates wastewater collection, conveyance, and treatment facilities serving the Montecito community; and

WHEREAS, by Resolution No. 2023-964, adopted April 12, 2023, the District Board of Directors (Board) elected to become subject to the Uniform Public Construction Cost Accounting Act, Public Contract Code section 22000 et seq. (UPCCAA), and by Ordinance No. 21, adopted the same date, the Board established the District's purchasing guidelines, Section 5(1)(c) of which exempts emergencies, as defined in Public Contract Code section 1102, from bidding requirements and authorizes emergency action pursuant to Public Contract Code sections 20806 and 22050; and

WHEREAS, Public Contract Code section 22035 provides that, in cases of emergency when repair or replacement is necessary, the District may proceed at once to replace or repair any public facility without adopting plans, specifications, strain sheets, or working details, or giving notice for bids to let contracts, and that the work may be done by day labor under the direction of the District, by contractor, or by a combination of the two; and, if notice for bids will not be given, the District must comply with Chapter 2.5 (commencing with section 22050) of Part 3 of Division 2 of the Public Contract Code; and

WHEREAS, Public Contract Code section 20806 provides that, in case of an emergency, or if it is necessary to do emergency work to prepare for national or local defense, the Board may, by a four-fifths (4/5) vote of its members, declare that the public interest and necessity demand the immediate expenditure of District funds to safeguard life, health, or property, and may then expend any sum required in the emergency, subject to compliance with Public Contract Code section 22050 when notice for bids will not be given; and

WHEREAS, Public Contract Code section 22050, subdivision (a)(1), authorizes the District, in the case of an emergency, to repair or replace a public facility, take any directly related and immediate action required by that emergency, and procure the necessary equipment, services, and supplies for those purposes, without giving notice for bids to let contracts; and

WHEREAS, Public Contract Code section 22050, subdivision (b)(1), authorizes the Board, by a four-fifths (4/5) vote, to delegate by resolution or ordinance the authority to order any such action to a nonelected officer of the District, and the General Manager is a nonelected officer of the District; and

WHEREAS, Public Contract Code section 1102 defines "emergency" as a sudden, unexpected occurrence that poses a clear and imminent danger, requiring immediate action to prevent or mitigate the loss or impairment of life, health, property, or essential public services; and

WHEREAS, emergencies affecting the District's facilities, including sewer main failures, sanitary sewer overflows, pump station failures, treatment plant failures, and damage from wildfire, flood, debris flow, or earthquake, may arise at times when the Board cannot convene quickly enough to authorize the immediate action needed to protect public health, the environment, and District property; and

WHEREAS, the Board finds that delegating emergency authority to the General Manager, subject to the reporting, review, and termination requirements of Public Contract Code section 22050, is in the best interests of the District and the public it serves.

NOW, THEREFORE, the Governing Board of the Montecito Sanitary District (District) hereby resolves:

1. **Recitals**. The foregoing recitals are true and correct and are incorporated into this Resolution.

2. **Delegation of Emergency Authority**. Pursuant to Public Contract Code section 22050(b)(1), the Board delegates to the General Manager its authority in cases of emergency under Public Contract Code section 22050(a)(1) to repair or replace any District facility, take any directly related and immediate action required by an emergency, and procure the necessary equipment, services, and supplies for those purposes, without giving notice for bids to let contracts, even when the anticipated expenditures exceed the General Manager's authority under Ordinance No. 21, as it may be amended, subject to these requirements:

   A. Written Findings. Before acting, or as soon as practicable afterward, the General Manager shall make findings in writing that (i) an emergency exists; (ii) the emergency will not permit the delay of a competitive solicitation for bids; and (iii) the action is necessary to respond to the emergency.

   B. Informal Notice to Board. The General Manager shall notify each Board member as soon as practicable after acting. This notice is informational only. Board members shall not discuss the matter among themselves except at a meeting held in compliance with the Ralph M. Brown Act (Government Code section 54950 et seq.).

   C. Report and Board Review**.** Within seven (7) days after taking emergency action, or at the Board's next regularly scheduled meeting if that meeting will occur within fourteen (14) days after the action, the General Manager shall report to the Board and:

      (i) Explain why the emergency would not permit the delay of a competitive solicitation for bids;
      (ii) Explain why the action is necessary to respond to the emergency; and
      (iii) Describe the actions taken, the contracts awarded, and the costs incurred and expected.

After the initial review, the Board shall review the emergency action at every regularly scheduled meeting until the emergency action is terminated. The Board may continue the emergency action only by a four-fifths (4/5) vote. If the General Manager terminates the emergency action prior to the initial report, no further Board review is required.

D. Termination. The emergency action must be terminated at the earliest possible date that conditions warrant, so that any remaining work may be competitively bid. The General Manager may terminate the action prior to the Board's initial review in Section 2.C. The Board may terminate the action at any Board meeting noticed under the Brown Act.

E. Other Laws. This Resolution waives only the bidding and related procedural requirements that Public Contract Code sections 20806, 22035, and 22050 permit to be waived in an emergency. All other applicable laws continue to apply, including prevailing wage, contractor licensing and registration, insurance, and bonding requirements, except to the extent those laws exempt emergency work.

3. **Board Authority**. Nothing in this Resolution limits the Board's authority to declare an emergency and order emergency action itself under Public Contract Code sections 20806 and 22050, or limits any authority otherwise delegated to the General Manager by Ordinance No. 21 or other Board action.

4. **Definitions**. For purposes of this Resolution:

A. "Emergency" has the meaning given in Public Contract Code section 1102.

B. "District facility" has the same meaning as "facility" in Public Contract Code section 22002(e), and includes any such facility owned, leased, or operated by the District.

5. **Effective Date**. This Resolution takes effect immediately upon its adoption by a four-fifths vote (4/5) of the members of the Board and remains in effect until amended or rescinded by the Board.

PASSED AND ADOPTED by the Governing Board of the Montecito Sanitary District on the 18th day of November, 2026, by the following vote:

AYES:
NAYS:
ABSTAIN:
ABSENT:

Board President
Rock Rockenbach

ATTEST:

Clerk of the Board
Stephen Williams

(SEAL)



EXECUTIVE DEPARTMENT
STATE OF CALIFORNIA

PROCLAMATION OF A STATE OF EMERGENCY

WHEREAS in the past several years, California has experienced increasingly severe and destructive storms, including atmospheric river events that have caused widespread flooding, mud and debris flows, landslides, high winds, power outages, road and highway closures, evacuation orders, damage to homes and critical infrastructure, and disruption of essential services; and

WHEREAS climate change is intensifying these weather and hydrologic extremes in California, contributing to increasingly severe swings between drought and flooding, and heightening the risks that extreme storms pose to communities, infrastructure, natural resources, and the economy; and

WHEREAS California is entering the 2026–2027 wet season amid the ongoing development of a strong and likely historic El Niño event in the Pacific Ocean; and

WHEREAS the National Oceanic and Atmospheric Administration's National Weather Service Climate Prediction Center, in its August 13, 2026, diagnostic report, found that the El Niño event is strengthening, with a greater than 90 percent chance of a very strong event during Northern Hemisphere fall and winter of 2026–2027; and

WHEREAS the Climate Prediction Center projected a 69 percent chance that the event will reach historic strength during the October through December 2026 season, defined as a three-month Relative Oceanic Niño Index, and exceeding the strength of all prior El Niño events in the historical record beginning in 1950; and

WHEREAS the National Weather Service Climate Prediction Center has found that atmospheric conditions over the tropical Pacific are consistent with a strengthening El Niño, including changes in equatorial winds and an eastward shift in enhanced convection and rainfall toward the central and eastern Pacific, making it more likely that at least some parts of California will experience stronger storms in coming months; and

WHEREAS elevated baseline coastal water levels, combined with high tides, large waves, high surf, and coastal storms, can increase risks of coastal flooding, beach erosion, bluff erosion, transportation disruption, and damage to public and private infrastructure; and

WHEREAS California has already begun to experience effects attributed to this El Niño event, including unusual precipitation and significant coastal flooding; and

WHEREAS historical experience demonstrates that very strong El Niño events do not produce uniform statewide outcomes, but can be associated with exceptionally wet and destructive winter conditions in California; and

WHEREAS California's recent experience with atmospheric rivers, debris flows following wildfires, flash flooding, coastal erosion, inundation, and damage to transportation and flood-control infrastructure demonstrates the importance of procuring and positioning resources, clearing waterways, and taking additional actions to prepare before severe storms arrive; and

WHEREAS areas affected by recent wildfires are particularly susceptible to flash flooding, mud and debris flows, erosion, sediment transport, and road closures during high-intensity rainfall; and

WHEREAS in light of that experience, local governments, local water agencies, and local flood control authorities have requested action now to enable them to move quickly to begin preparations for preventing and responding to the impacts of potential flooding; and

WHEREAS low-income communities, farmworker families, rural residents, seniors, persons with disabilities, residents with limited transportation access, people experiencing homelessness, and communities in flood-prone, coastal, or post-fire areas may face disproportionate risks; and

WHEREAS the demonstrated potential for a very strong or historic-strength El Niño event to cause elevated coastal water levels and high-impact storms in California warrants early, coordinated, and targeted preparedness actions to protect Californians, critical infrastructure, agricultural lands, natural resources, and the State's economy; and

WHEREAS under the provisions of Government Code section 8558(b), I find that conditions of extreme peril to the safety of persons and property exist due to the developing El Niño event; and

WHEREAS under the provisions of Government Code section 8558(b), I find that the developing El Niño event is likely to cause conditions that, by reason of their magnitude, are likely to be beyond the control of the services, personnel, equipment, and facilities of any single local government and require the combined forces of a mutual aid region or regions to appropriately respond; and

WHEREAS under the provisions of Government Code section 8625(c), I find that local authority is inadequate to cope with the magnitude of the extreme peril posed by the developing El Niño event; and

WHEREAS under the provisions of Government Code section 8571, I find that strict compliance with various statutes and regulations specified in this Proclamation would prevent, hinder, or delay the mitigation of the effects of the developing El Niño event.

NOW, THEREFORE, I, GAVIN NEWSOM, Governor of the State of California, in accordance with the authority vested in me by the State Constitution and statutes, including the California Emergency Services Act, and in particular, Government Code section 8625, **HEREBY PROCLAIM A STATE OF EMERGENCY** to exist statewide due to the developing 2026–2027 El Niño event.

IT IS HEREBY ORDERED THAT:

1. All agencies of the state government are to utilize and employ state personnel, equipment, and facilities for the performance of any and all activities consistent with the direction of the Governor's Office of Emergency Services and the State Emergency Plan. The Governor's Office of Emergency Services shall coordinate statewide preparedness activities for potential severe weather, flooding, coastal flooding, erosion, mud and debris flows, and related impacts associated with conditions resulting from the El Niño event, including atmospheric rivers and other severe storm systems. The Governor's Office of Emergency Services shall work with local and tribal governments to support emergency planning, resource coordination, and requests for state assistance. To protect their safety, all residents are to obey the direction of emergency officials with regard to this emergency.

2. As necessary to assist local governments and for the protection of public safety and the environment, state agencies shall enter into contracts to arrange for the procurement of materials, goods, and services necessary to quickly assist with the response to and recovery from the impacts of this emergency. Applicable provisions of the Government Code and the Public Contract Code, including but not limited to travel, advertising, and competitive bidding requirements, are suspended to the extent necessary to address the effects of this emergency.

3. The California Environmental Protection Agency and the California Natural Resources Agency, including boards, departments, and offices within those agencies, are directed to prioritize work to help local agencies prepare for anticipated impacts from the El Niño event. In particular, those state agencies are directed to prioritize permitting, consultation, and technical assistance to support local efforts to prevent or mitigate impacts from anticipated or potential flooding, landslides, and mud and debris flows, including local efforts to expedite levee and other flood management infrastructure repairs, vegetation management, debris removal, sediment management, bridge scour protection, and channel-capacity improvements. State agencies are directed to work with local agencies to issue any necessary permits or other approvals for such efforts as quickly as possible, including by identifying and invoking existing emergency authorities or other pathways for streamlined review. On or before October 16, 2026, the Secretary for Environmental Protection and the Secretary of the Natural Resources Agency shall submit a joint recommendation to my office as to whether further action or emergency suspensions are necessary to support and expedite this work.

4. The Governor's Office of Emergency Services shall coordinate the State's preparation for and response to the developing El Niño event, including by working with the Department of Water Resources and other appropriate government agencies to prepare to pre-position personnel, equipment, supplies, commodities, and other resources based on forecast conditions, local needs, and identified risks. In particular, this work shall draw on the Department of Water Resources' guidance regarding flood-risk-reduction activities.

5. The Department of Water Resources shall take appropriate action to procure, maintain, stage, pre-position, distribute, and replenish flood-fight supplies, equipment (including, but not limited to, sandbags, riprap and other rock materials, plastic sheeting, and pumps), and other materials necessary to support statewide flood preparedness, response, and protective actions in connection with the El Niño event. The Department of Water Resources shall coordinate with appropriate government agencies to identify priority areas for flood-fight material staging and plans to make these materials available where they are needed, when they are needed.

6. The Department of Water Resources, through its Division of Safety of Dams, shall conduct outreach to owners of jurisdictional dams regarding potential severe conditions during the El Niño event and shall reiterate to dam owners their responsibilities to inspect, operate, maintain, repair, and safely manage their dams and appurtenant structures in accordance

with applicable law, permit conditions, emergency action plans, and directives of the Division of Safety of Dams.

7. The Governor's Office of Emergency Services, in coordination with the Department of Water Resources, shall conduct outreach to counties, cities, and relevant local agencies that are responsible for levees, flood-control facilities, and associated structures that may be vulnerable to impacts of the El Niño event to take appropriate actions to procure, maintain, stage, pre-position, distribute, and replenish flood-fight supplies, commodities, equipment, and materials necessary to support flood preparedness, response, and protective actions.

8. The Department of Water Resources, in coordination with the State Water Resources Control Board, shall conduct outreach and education to advance groundwater recharge projects and to use flood flows to recharge groundwater aquifers under existing law with the goal of reducing flood impacts and strengthening local water supplies.

9. Consistent with Paragraph 3 of this Proclamation, the Coastal Commission is directed to expedite review and action on proposed development by coastal communities to prepare for the impacts of this El Niño event. Coastal communities are encouraged to submit permit applications, as appropriate, to facilitate timely Coastal Commission review, technical assistance, and other support for El Niño preparations. After an application is received, the Commission shall respond with notification of any incomplete items within five days. After an application is complete, it shall be scheduled for hearing at the next Commission meeting. For emergency permit requests, the Commission shall accept both written and oral requests to its district offices. When necessary to address an urgent need related to this emergency, the Commission shall take action on emergency permit requests as quickly as possible and within twenty-four hours of receipt.

10. The California Department of Transportation and California State Transportation Agency shall identify those state highways, bridges, culverts, drainage facilities, slopes, and other transportation infrastructure most at risk of flooding, erosion, debris flows, rockslides, mudslides, or other significant impacts from severe storms caused by the El Niño event, and transmit to the Governor's Office of Emergency Services a prioritized list of projects to address such risks within 30 days of this Proclamation.

11. The California Department of Transportation shall develop plans to pre-position personnel and materials (including directional signage, traffic-control devices, road-closure devices, warning signs, delineators, emergency communications equipment, and other resources) at or near locations that may be identified as at risk of severe impacts from the El Niño event. This shall include plans to prepare and pre-position snow-removal, snow-control, and ice-control equipment as appropriate, including snowplows, snow blowers, graders, loaders, chain-control equipment, salt, sand, and other materials necessary to maintain safe travel and restore access on state highways during the El Niño event.

12. The Adjutant General and the California Military Department shall, in coordination with the Director of the Governor's Office of Emergency

Services, identify California National Guard personnel, equipment, and capabilities that may be available to support state and local emergency operations, if activated or tasked, in relation to this El Niño event. Such planning shall include readiness for high-water vehicle operations; aviation operations, including reconnaissance, search and rescue, transport, and heavy-lift support, as appropriate; engineering support; emergency communications; transportation and logistics; evacuation support; flood-fight activities; debris removal and route clearance; commodity distribution; shelter support; and other life-safety and emergency-support missions.

13. California Volunteers, in coordination with the Governor's Office of Emergency Services and other appropriate agencies, shall prepare to mobilize volunteers and trained service members, consistent with their training, mission, safety requirements, and applicable law, to support state and local preparedness, response, and recovery activities in connection with the El Niño event.

14. The California Conservation Corps shall prepare to deploy Corpsmembers, consistent with its statutory mission, training, available resources, safety requirements, and applicable law, to support severe weather preparedness and response activities in connection with the El Niño event.

15. The California Energy Commission, the Office of Energy Infrastructure Safety, and the State Water Resources Control Board and regional water quality control boards are directed, and the California Public Utilities Commission is requested, to coordinate, consistent with their respective authorities, with electrical, natural-gas, water, wastewater, telecommunications, transportation, and other critical-service providers to enhance readiness and support timely restoration of essential services following storm impacts.

16. The Governor's Office of Emergency Services, in consultation with relevant state agencies, shall expand accessible, multilingual public preparedness communications related to severe weather, flooding, emergency alerts, evacuation readiness, power outages, safe travel, disaster assistance, and household emergency planning.

17. Agencies under my direct authority shall cooperate in their response to this emergency. Other agencies are requested to assist in responding to this emergency, as appropriate.

18. The restrictions set forth in Penal Code section 396, which are automatically triggered upon proclamation of a state of emergency, are suspended, and no such restrictions are imposed, with respect to this El Niño event, at this time.

I FURTHER DIRECT that as soon as hereafter possible, this Proclamation be filed in the Office of the Secretary of State and that widespread publicity and notice be given of this Proclamation.

This Proclamation is not intended to, and does not, create any rights or benefits, substantive or procedural, enforceable at law or in equity, against the State of California, its agencies, departments, entities, officers, employees, or any other person.

IN WITNESS WHEREOF I have hereunto set my hand and caused the Great Seal of the State of California to be affixed this 21st day of September 2026.



GAVIN NEWSOM
Governor of California

ATTEST:

SHIRLEY WEBER, PH. D
Secretary of State

Montecito Sanitary District
1042 Monte Cristo Lane
Santa Barbara, CA 93108
General Manager: John F. Weigold
A Public Service Agency
Phone: (805) 969-4200
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Prequalified List of Contractors for As-Needed Repairs, Emergency Services, and Uniform Public Construction Cost Accounting Act (UPCCAA)

This list is based on responses from the Montecito Sanitary District's Request for Proposals (RFP) for Prequalified List of Contractors (PLC) for As-Needed Repairs, Emergency Services, and Uniform Public Construction Cost Accounting Act (UPCCAA) dated October 14, 2026.

Contractor	Contact	DIR Registration	License Number(s)	License Type(s)	Areas of Expertise	Qualified for
Blois Construction LLC (Oxnard, CA)	Craig Blois – craigblois@bloisconstruction.com 805-732-7342 805-656-1432 Dave Mirones – davemirones@bloisconstruction.com 805-732-7340 805-656-1432	1001141549	256065	A, C16, HAZ	Heavy Civil, underground utilities, wastewater treatment facilities, collection system, MH repair, creek bank resto, concrete/ structural, bypass	Task 1: As-needed Repair Services; Task 2: Emergency Services, 24/7; Task 3: UPCCAA
Cushman (Goleta, CA)	Blair Cushman – blair@cushmancontracting.com 805-964-8661 Kyle Cushman – kyle@cushmancontracting.com 805-964-8661	1000689615	1072807	A	Heavy Civil, underground utilities, wastewater treatment facilities, collection system, MH repair and rehab, creek bank resto, concrete/ structural, electrical, mechanical, instrumentation, bypass	Task 1: As-needed Repair Services; Task 2: Emergency Services, 24/7; Task 3: UPCCAA
Toro Enterprises (Oxnard, CA)	Trent Royle – trent@toroenterprises.com 805-947-7660 Dann White – dann@toroenterprises.com 805-620-8966	1000002410	710580	A, C-31	Heavy Civil, underground utilities, wastewater treatment facilities, collection system, MH repair, creek bank resto, concrete/structural, bypass	Task 1: As-needed Repair Services; Task 2: Emergency Services, 24/7; Task 3: UPCCAA

Sam Hill & Sons (Ventura, CA)	Kevin Jaquez – kevin@samhillandsons.com 805-644-6278 805-432-5723 Caleb Litten – caleb@samhillandsons.com 805-644-6278 805-432-5739	1000008073	648594	A	Heavy Civil, underground utilities, wastewater treatment facilities, collection system, MH repair and rehab, creek bank resto, concrete/ structural	Task 1: As-needed Repair Services; Task 2: Emergency Services, 24/7; Task 3: UPCCAA
SCHOCK Contracting Corp (Buellton, CA)	John Schock – john@schockcontracting.com 805-896-2889 Nicole – nicole@schockcontracting.com 805-896-2889	1000012248	691211	A, B, C-16	Heavy Civil, pipeline, collection system, creek bank resto, concrete and structural repairs, mechanical, bypass	Task 1: As-needed Repair Services; Task 2: Emergency Services; Task 3: UPCCAA
CGS Engineering Corp (Buellton, CA)	Christopher G. Satterthwaite – office@CGSEngineeringCorp.com 805-686-4450 April M. Lavigne – office@CGSEngineeringCorp.com 805-686-4450	1000580031	1061630	A, B	Heavy Civil, underground utilities, wastewater treatment facilities, collection system	Task 1: As-needed Repair Services; Task 3: UPCCAA
ACET Solutions (Los Angeles, CA)	San Syed – san@acetsolutions.com 832-506-2797	2000021948	1159961	C-7 Low Voltage Systems	Electrical & instrumentation, PLC, VFD, RTU, SCADA/HMI, programming	Task 1: As-needed Repair Services; Task 2: Emergency Services, 24/7; Task 3: UPCCAA

Montecito Sanitary District
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MONTECITO SANITARY DISTRICT

STAFF REPORT – 6D

DATE: November 18, 2026

TO: Board of Directors

FROM: John Weigold, General Manager

SUBJECT: Wastewater Treatment Plant Improvements Project Ad Hoc Committee Report and Discussion

RECOMMENDATION

It is recommended that the Board receive a report from the Wastewater Treatment Plant Improvements Project Ad Hoc Committee and discuss the report content as necessary.

DISCUSSION

The Montecito Sanitary District Wastewater Treatment Plant Upgrade Project Ad Hoc Committee met on November 12, 2026 to further discuss planning, design and engineering for the District's wastewater treatment plant and the potential connection to the City of Santa Barbara's El Estero site. Staff recommends that the Board receive a report from the ad hoc committee and discuss the content of that report.

FISCAL IMPACT

None.

ATTACHMENTS

1. Montecito Sanitary District Wastewater Treatment Plant Upgrade Project Ad Hoc Committee report
2. Southland Industries Cost Opinion

WWTP Ad Hoc Committee Meeting Summary Report

Meeting Dates: 12 November 2026

Committee Members Present: Rock Rockenbach, Carter Ohlmann

Staff Present: General Manager Weigold, Stephen, Bryce and Marco

Contractors Present: none

Duration: Approximately 2.5 hours

Submitted by: Carter Ohlmann; 12 November 2026

History

Technical Memo Two (TM2) is the component of the Southland Investment Grade Audit (IGA) that begins to address the potential cost and feasibility of connecting the Montecito Sanitary District to the City of Santa Barbara's El Estero Water Resource Center. The Board approved the Southland contract to include TM2 at the 10 September 2025 meeting.

As the Southland work progressed through Technical Memo One (TM1) and analyses of headworks and solids removal technologies, Technical Memo Two (TM2) came into focus. During May 2026, discussions with Southland and District staff about the proposed scope of TM2 led the Committee to examine whether the work, as currently defined, would provide the Board with sufficient information to make an informed comparison of the two principal long-term alternatives: continuing to treat wastewater locally in Montecito or conveying it to Santa Barbara for treatment at El Estero.

At the 9 September 2026 Board meeting, the Board voted unanimously to direct staff to engage the City of Santa Barbara to determine the most appropriate approach, associated cost, and timeline for obtaining the City of Santa Barbara's flow acceptance parameters, and determine how this information should be incorporated into the analysis of a Santa Barbara connection option. The City's flow acceptance parameters ultimately bare on hydraulics and equalization, and are a necessary first step in understanding the required infrastructure for connection to Santa Barbara, and thus capital costs.

At the 23 September 2026 Board meeting staff reported on their meeting with the City of Santa Barbara regarding connection to El Estero. Staff discussed the triggering of City's $100k charge for City staff time in studying connection. It was reported by MSD Staff that the City wants to take influent from 11pm to 6 am. This requires flow equalization capacity. Santa Barbara staff considered equalizing flow at their plant and suggested 2 (two) 2,500,000 gallon equalization basins are necessary. The City would require two force mains, not a single pipe. It was reported

that Santa Barbara would lead all construction to connect that is not on MSD land – MSD would have to negotiate its role. Presently the City is utilizing roughly 62.5% of its wastewater recycling capacity. While MSD influent could be recycled, there is presently no demand for the recycled water. The City indicated environmental permitting (Coastal Commission would be involved) would be onerous and have a significant effect on timeline. Timeline of 7-8 years was mentioned. A JPA would be set up for services. City staff brought up a hybrid solution where wet weather flows were treated at MSD.

At the 23 September 2026 Board meeting the Board voted unanimously to direct staff to utilize the criteria laid out in the Wastewater Treatment Plant Improvement Project Ad Hoc committee report presented at the 9 September 2026 Regular Meeting as the revised scope of Technical Memorandum #2. The Board also voted unanimously to direct staff to reach out to Carollo Engineers to get an idea of timing, scope, and cost to provide the information requested in the scope of Technical Memorandum #2. It had previously been suggested by several Board members that having Carollo Engineering expand on their 2023 report made good sense for exploration of the Santa Barbara connection option. First, some of the questions have previously been addressed by Carollo. Second, Southland is involved in the existing plant design work and could be perceived as having a conflict when evaluating an alternative that might reduce the need for that work.

At the October 14, 2026 meeting, the Board received an Ad Hoc Committee report emphasizing the need to maintain existing plant reliability while evaluating the long-term treatment alternatives. No Board action was taken.

On November 4, 2026, the Board received Southland's preliminary capital cost estimates for two potential connections to El Estero. The presentation generated substantial discussion concerning the estimates, their underlying assumptions, and the additional information necessary to evaluate them.

Overview

The District is approaching an important stage in determining its long-term wastewater treatment strategy. The IGA is advancing toward a rehabilitation plan and associated cost estimates for continued local treatment. At the same time, preliminary work has produced substantially more information concerning the infrastructure and capital costs associated with a potential connection to Santa Barbara. Neither alternative is free of cost, uncertainty, or risk. The Committee's November 12 discussion focused on ensuring that the Board's future decisions are informed by appropriate technical and financial information without requiring the District to undertake unnecessary studies or commit substantial resources prematurely.

The Committee emphasizes two fundamental considerations. First, the District must continue to maintain and operate its existing treatment facility safely and reliably regardless of the long-term alternative ultimately selected. The potential for a future connection does not eliminate present

operational responsibilities. Second, the evaluation of a Santa Barbara connection should proceed through identifiable decision points, with the Board considering the cost, purpose, timing, and risk of additional work before authorizing significant expenditures. Pursuing an alternative that is ultimately rejected can impose costs not only through consultant fees and engineering expenditures, but also through the time lost and the continuing deterioration of existing infrastructure.

The Committee believes that recognizing these considerations will assist the Board in evaluating the alternatives responsibly, preserving flexibility, and ultimately selecting a long-term wastewater treatment strategy that serves the District and its ratepayers.

Board-Level Summary

1. Continued Operation and Stabilization of the Existing Treatment Plant

The Committee reaffirmed that maintaining the reliability, safety, and regulatory compliance of the existing WWTP remains an immediate and continuing District responsibility, regardless of which long-term treatment alternative is ultimately selected. The existing facility contains aging infrastructure with documented deficiencies, including concerns involving electrical equipment, blowers, treatment processes, and other critical systems. These conditions require ongoing attention and may necessitate significant repairs or replacements before a long-term treatment project can be completed.

The Committee discussed the importance of distinguishing between improvements necessary for continued safe operation and improvements intended principally to support the long-term rehabilitation of the existing facility. Some capital improvements may be necessary under either treatment alternative. Others may be required only if the District continues local treatment. The timing and scope of these investments must therefore be considered carefully. However, uncertainty regarding the ultimate treatment alternative must not prevent necessary maintenance, repairs, or replacement of critical equipment.

The Committee emphasized that staff should continue identifying and bringing forward necessary operational and capital improvements. The Board must be informed of the operational consequences and risks associated with delaying such work. Until an alternative treatment arrangement becomes operational, the District remains responsible for treating its wastewater at the existing facility.

<u>The Effect of Implementation Schedules</u>

The Committee also discussed the differing potential implementation schedules for the two alternatives. The IGA is presently anticipated to be completed in May 2027. Subject to future

Board decisions, project design, procurement, financing, and construction, rehabilitation of the existing facility could potentially be completed around 2030.

By comparison, preliminary discussions and estimates concerning a Santa Barbara connection indicate a substantially longer implementation period, potentially extending to approximately 2033. These schedules are preliminary and subject to considerable uncertainty. Nevertheless, the difference is significant.

A longer implementation period requires the District to operate its aging treatment facility for additional years, increasing its exposure to equipment failures, emergency repairs, regulatory concerns, and unanticipated expenditures. Consequently, the capital cost of constructing a connection to Santa Barbara is not the only financial consideration. The cost and risk of maintaining the existing facility throughout the transition must also be evaluated.

2. *Status of the Two Treatment Alternatives*

Continued Local Treatment

The District's IGA is intended to provide a coordinated rehabilitation approach, integrating the improvements identified in prior engineering studies and addressing equipment compatibility, construction sequencing, operational efficiency, and long-term infrastructure needs. The IGA is expected to provide the Board with project alternatives, preliminary capital cost information, and an implementation framework for rehabilitating the existing WWTP.

Connection to Santa Barbara

Southland's TM2 work has provided preliminary capital cost estimates for constructing infrastructure necessary to convey District wastewater to El Estero. These estimates represent an important development in understanding the connection alternative. However, the written engineering analysis and assumptions underlying the estimates have not yet been fully reviewed by the Board. The Committee discussed the importance of making that information available before determining whether further engineering or independent cost evaluation is warranted.

Although preliminary discussions with Santa Barbara staff have indicated a willingness to consider accepting District wastewater, a number of important matters remain unresolved, including:

- Confirmation of the City's ability and willingness to accept District wastewater under wet-weather conditions.
- The amount, location, and operational requirements of necessary flow equalization.
- Conveyance capacity, connection locations, and related infrastructure responsibilities.
- Potential connection charges, ongoing treatment charges, and future capital cost obligations.

- Regulatory, permitting, governance, and contractual requirements.

Some of these matters can be addressed through additional discussions with the City. Others may require targeted engineering analysis. The Committee recognized that not all of these questions must be fully resolved before the Board can determine whether to continue pursuing the connection alternative. The appropriate level of detail depends upon the particular decision being made.

3. Developing a Decision-Making Roadmap

A principal focus of the November 12 meeting was developing a framework for moving the evaluation forward while avoiding unnecessary expenditures, duplication of effort, and delays. The Committee examined the potential sequence of decisions required to pursue a Santa Barbara connection and identified several points at which the Board could evaluate whether continued expenditures are justified.

First Decision Point: Preliminary Capital Cost Comparison

The District is approaching a point at which it will have preliminary capital cost information for both alternatives. The Committee discussed the importance of evaluating the relative costs after receiving the updated IGA information and reviewing the engineering analysis supporting Southland's connection estimates. This comparison should consider the scope of work included in each estimate, the assumptions and contingencies applied, and whether material costs have been excluded or treated differently.

The purpose is not necessarily to make a final treatment decision at this stage, but to determine whether the Santa Barbara alternative remains sufficiently attractive to justify additional expenditures. If the Board considers the preliminary cost difference unacceptable, it could elect to discontinue further evaluation of the connection alternative. Alternatively, the Board may determine that additional investigation is justified.

Second Decision Point: City Acceptance and General Terms

If the Board determines that further consideration of the Santa Barbara alternative is warranted, the next stage would focus on establishing greater certainty concerning the City's willingness and ability to accept the District's wastewater. This includes understanding the City's technical requirements, particularly those involving wet-weather flows, equalization, and conveyance capacity. It also includes obtaining sufficient information concerning potential financial obligations, infrastructure responsibilities, and governance arrangements to determine whether a mutually acceptable agreement appears feasible.

The Committee discussed advancing these matters through direct engagement with City representatives, with additional technical support as necessary. The scope and cost of any

supporting studies should be determined by the specific questions that must be answered. Importantly, the Committee distinguished between establishing that a connection is reasonably feasible and negotiating every final contractual provision or completing all engineering necessary to construct it. The Board should be able to determine whether the alternative merits continued pursuit without first completing its entire design.

Third Decision Point: Additional Engineering and Detailed Implementation

If the capital costs and preliminary City requirements are acceptable, additional consultant services and engineering would likely be necessary to advance the connection alternative. This work could include further design development, refinement of cost estimates, permitting analysis, and coordination with the City concerning infrastructure and operational responsibilities. However, the Committee recognized that significant time and expenditures could be incurred during this stage, and that subsequent findings or negotiations might still result in the District electing not to proceed.

The Committee discussed the importance of structuring further work around identifiable deliverables and decision points, allowing the Board to reassess the alternative before authorizing successive expenditures. The Committee did not identify a need to authorize a specific additional engineering contract at this time.

4. Financial, Operational, and Schedule Risks

The Committee devoted considerable discussion to the risks associated with pursuing a long-term alternative that may ultimately prove impractical, financially unattractive, or otherwise unacceptable. The evaluation of a Santa Barbara connection involves more than its estimated construction cost. It also involves the time and expense necessary to establish feasibility, negotiate arrangements with another governmental agency, undertake engineering and permitting, and implement the project.

The Committee discussed a possible sequence in which the District could spend approximately 12 to 18 additional months and incur substantial consultant and related expenditures, potentially approaching $1.6 million under an illustrative scenario, only to conclude that the connection alternative should not proceed. These figures were discussed as a conceptual illustration of potential exposure, not as an approved scope, budget, or consultant estimate. In that circumstance, the District would return to the local treatment alternative having incurred both additional expenditures and the consequences of the time elapsed. That delay would be particularly consequential because the existing WWTP would have continued to age and require maintenance throughout the evaluation period.

The Committee therefore identified several interrelated risks:

1. Financial risk: Expenditures for engineering, negotiations, and other preliminary work may not result in an implementable project.

2. Schedule risk: Time devoted to evaluating an alternative ultimately rejected may delay implementation of the remaining alternative.

3. Operational risk: Existing equipment and infrastructure must continue functioning during the evaluation and implementation periods.

4. Implementation risk: Additional technical, regulatory, financial, or contractual requirements may emerge as a project advances, materially changing its cost or feasibility.

These risks do not establish that either treatment alternative should be preferred. They demonstrate the need for a deliberate process in which the Board understands the purpose, expected benefit, and potential consequences of each additional commitment. The Committee also recognized that some activities may appropriately proceed in parallel. Completing the IGA, continuing necessary plant maintenance, and obtaining preliminary information from Santa Barbara are not necessarily mutually exclusive. The objective is to distinguish work that preserves the District's options and advances informed decision-making from substantial expenditures that may be premature.

5. Next Steps and Board Considerations

The Committee's discussion identified several matters that will be important to future Board deliberations.

Completion and presentation of current engineering work. The District should receive and evaluate the forthcoming IGA cost information and the underlying analysis supporting Southland's preliminary connection estimates.

Continued attention to plant stabilization. Staff should continue identifying necessary repairs and capital improvements, including the operational and financial consequences of deferral, without assuming that the existing facility can remain reliable indefinitely.

Further clarification of City requirements. The District should continue seeking information from Santa Barbara concerning flow acceptance, equalization, financial obligations, and the conditions under which a connection could proceed.

Defined scope for future expenditures. Before authorizing substantial additional consulting or engineering work, the Board should understand which unresolved questions the work will answer, the estimated cost and schedule, and how its results will inform a subsequent decision.

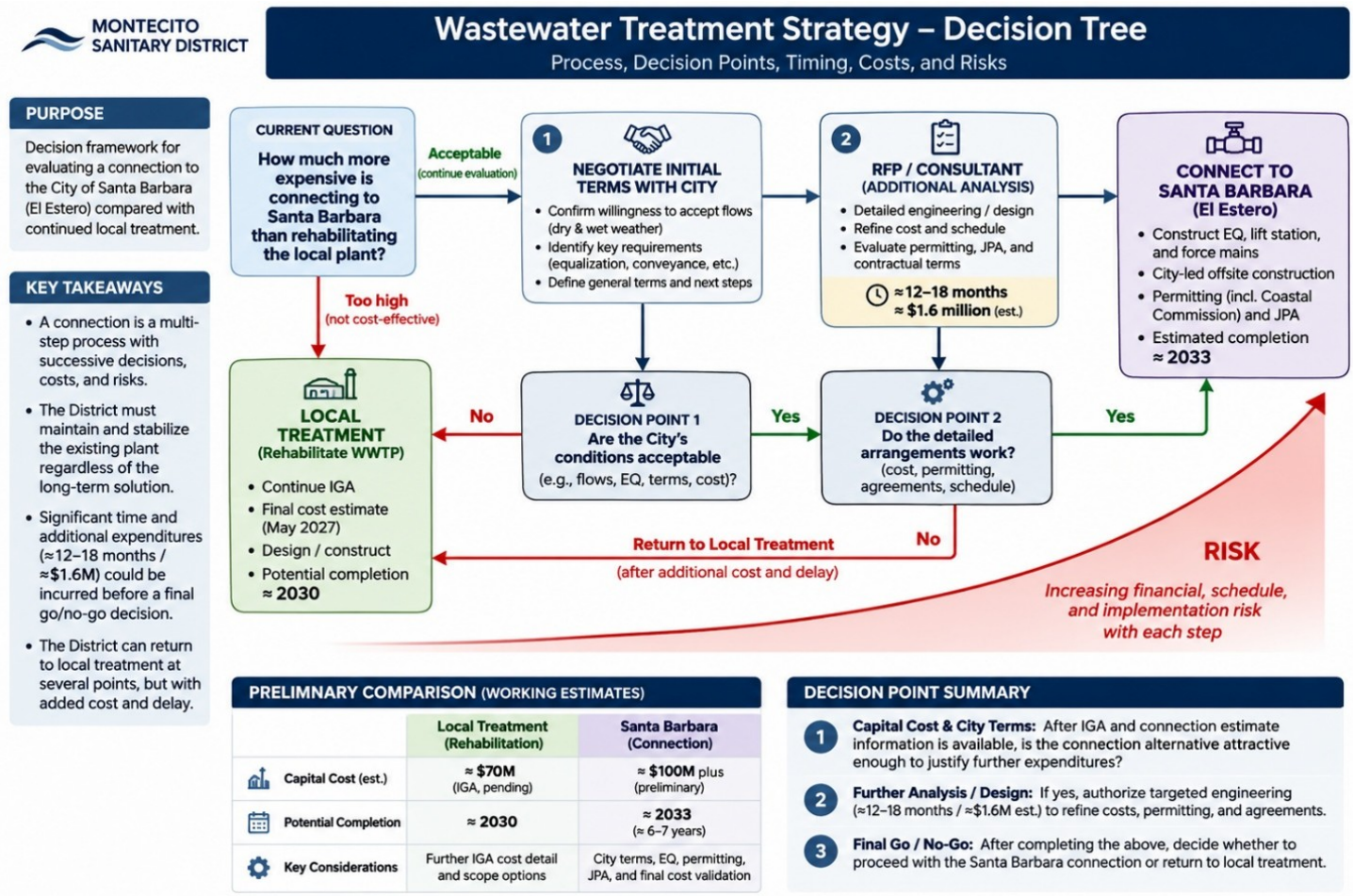
Consideration of implementation risk. Future comparisons should account not only for anticipated construction costs and operating expenses but also for project schedules, interim stabilization expenditures, and the consequences of pursuing an alternative that is ultimately rejected.

Action Items Taken

None.

Recommendations to Board

None



Montecito Sanitary District

El Estero Connection: Major Components and Preliminary Cost Opinion

October 23, 2026 (Revised November 13, 2026)

Sections 1, 3, 5, 6 and 10 are updated with City GIS sewer information received 24 October 2026, and Sections 3 and 5 with the 2024 plant-site geotechnical report received with the RFP. Cost figures are unchanged.

To: John Weigold, General Manager; Bryce Swetek, Engineering Manager

From: Southland Industries and Ardurra

1. Objective and Summary

The Montecito Sanitary District (District) asked for a preliminary view of what it would take to convey all District wastewater, including storm flows, to the City of Santa Barbara (City) El Estero Water Resource Center. This memo describes what a connection would involve, identifies what is known and not yet known, and provides perspective on how the unknowns could affect cost, schedule and the future impact to the District and its ratepayers. It includes a preliminary (AACE Class 5) cost opinion so the Board has an informed basis for discussion. It is not a design or a firm bid price; a defensible estimate would require a more detailed evaluation.

Connecting to the City is a substantial undertaking. Given the currently understood conditions for City receipt of the flow, the project would require the District to build and operate a significant facility on its own site. The connection requirements, conditions and preliminary system requirements were developed based on discussions with District staff. The key systems required at the plant would include a new influent lift station and screening, a 2.0 MG covered equalization tank to hold daytime and storm flows, odor control, a pump station and standby power. Conveyance and interconnection to the City's system would require two (2) 18-inch force mains, including horizontal directional drilling beside the Andree Clark Bird Refuge. The existing plant must keep running for approximately six (6) years until first flow. Existing District assets must then be decommissioned, including demolition at the plant and final disposition of the ocean outfall.

Two options for connection to the City sewer system were considered and priced (refer to Figure 1 below). Option 1 anticipates a point of connection (POC) to the City sewer at Por La Mar Drive and Niños Drive, approximately 1.3 miles from the District plant (refer to the inset on Figure 1). However, currently available data indicate that the City sewer at the POC is insufficient to accommodate District flows. City GIS information received on 24 October 2026 (not yet verified by the City) shows an 18-inch sewer at the POC, followed by approximately 400 LF of 21-inch, a further 500 to 700 LF of 18-inch, then 24-inch to the El Estero plant, all at approximately 0.07% slope. The full-pipe capacity of the 18-inch reach, approximately 1.8 MGD, is below the 3.9 MGD night transfer from the District, before considering capacity required for existing City flows (refer to Section 3). Option 1 therefore depends on City confirmation of capacity along the route, and a second option for connection was priced.

Option 2 extends the route to the El Estero plant, approximately 2.5 miles in total, as indicated by the dotted red line in the lower section of Figure 1. This route requires crossing the Union Pacific Railroad (UPRR), and the requirements for interconnection at the El Estero plant are currently unknown. Option 2 is the more realistic basis for comparison. In either option, more than 50% of the construction cost is in plant modifications on the District site rather than in conveyance (approximately 68% for Option 1 and 57% for Option 2).

DRAFT

LEGEND

- Option 1 - MSD WWTP to City of Santa Barbara sewer
- Option 2 - Remaining route to City of Santa Barbara El Estero WRF
- **POC** Possible point of connection to City of Santa Barbara sewer near Por La Mar Dr. and Niños Dr.
- **HDD** Horizontal directional drilling (trenchless construction)

POC
A
B
C
D
E
F
G
H
I
HDD - 225 LF
HDD - 2,000 LF
HDD - 250 LF
NIÑOS DR.
E CABRILLO BLVD.
CA-101
ANDREE CLARK BIRD REFUGE
CITY ZOO
MSD WWTP
CSB EL ESTERO WRF
UPRR CROSSING
S. MILPAS ST.
SCALE: 1" = 500'
0 250 500 1000
SCALE: 1" = 1000'
N
10/23/26

MONTECITO SANITARY DISTRICT

CONCEPTUAL PIPELINE CONNECTION TO CITY OF SANTA BARBARA SEWER COLLECTION SYSTEM

Figure 1

A summary of the preliminary capital cost opinion for the two connection options is provided below in Table 1. The connection costs include design, administration, construction management, permitting and construction. Decommissioning is anticipated whether the District pursues connection to the City or upgrades the existing plant, so it is shown separately.

The following components are not included: 1) improvements, receiving structures or connection work inside the El Estero plant, or improvements to the City collection system, needed to receive District flows; 2) City capacity buy-in, connection fees and/or annual treatment charges; 3) easements and/or land costs; 4) District staff, legal and financing costs; and 5) ongoing District operating costs.

The largest unknowns (conditions for City acceptance of the flow, including daytime storm flow; the terms and fees of a City agreement; permitting near the Bird Refuge; and the railroad crossing for Option 2) are outside the District's control and could materially add to cost and schedule (refer to Sections 5 and 6 for more detail).

Table 1: Summary of Preliminary Capital Cost Opinion

	Option 1 – City sewer Point estimate (Class 5 range)	Option 2 – El Estero plant Point estimate (Class 5 range)
Estimated El Estero connection costs including engineering, permitting, escalation and contingency: • *Plant modifications at the District site:* influent lift station and screening, 2.0 MG equalization tank, odor control, pump station, standby power and site work • *Conveyance:* twin force mains with HDD, to receiving manholes on the City sewer (Option 1) or extended to the El Estero plant (Option 2)	~$99M ($69M – $148M)	~$120M ($84M – $179M)
Existing plant stabilization until first flow reaches the City (electrical, dewatering press, one aeration blower and critical repairs)	~$6.8M ($4.8M – $10.2M)	~$6.8M ($4.8M – $10.2M)
Total (connection plus stabilization; excludes decommissioning)	**~$106M ($74M – $159M)**	**~$126M ($88M – $190M)**
Decommissioning of the existing plant: demolition and outfall abandonment (required under either path)	~$14.3M ($10M – $21M)	~$14.3M ($10M – $21M)

2. What the Connection Involves

Connecting to the City's sewer system is more than tying into an existing pipe. The District would still build and operate a significant facility on its site, and would take on new conveyance assets, agreements and permits as well as additional unknown future terms or obligations as a customer of the City sewer system:

- **Influent facilities:** new influent sewer and flow meter, influent lift station, screens and grit removal. Odor control covers the EQ tank, screens and influent lift station. A larger system than the anticipated for the new plant; sizing is preliminary.
- **Equalization:** a 2.0 MG covered, coated concrete tank with four cells, with air mixing and passive grit capture, so typical flows can be sent to the City at night and including some buffer for large storms and/or outages.
- **Pumping:** an EQ/transfer pump station with two pump sets to send flow to the City: one for nightly dry-weather transfer and one for storm flows; including provisions for redundancy.
- **Power and controls:** new electrical room and service, new 600 kW (Option 1) or 750 kW (Option 2) standby generator, instrumentation and SCADA.
- **Conveyance:** two 18-inch force mains, approximately 7,000 feet each to the City sewer near Por La Mar Drive and Niños Drive (Option 1), or a further 6,070 feet each to the El Estero plant with a railroad crossing (Option 2), including horizontal directional drilling (HDD) at three reaches per main: under the creek between Niños Drive and the Zoo parking lot (225 LF), beside the Andree Clark Bird Refuge (2,000 LF), and under the roundabout at East Cabrillo Blvd and Channel Drive (250 LF).
- **Receiving structure:** receiving manholes on the City sewer (Option 1), or a receiving vault at the El Estero plant (Option 2). How the flow is connected inside the plant is to be defined by the City and is not included.
- **Decommissioning:** demolition of the existing treatment structures, cleaning and capping of abandoned piping, and abandonment of the ocean outfall. Administrative and support buildings are retained, except the workshop bay over the influent pump building, pending District direction. Decommissioning is

required whether the District proceeds with a plant upgrade or connects to the City, so it is shown separately from the connection total (Tables 1 and 2).

- **Transition:** keeping the existing plant safe and permit-compliant for approximately six years (range five to seven) until first flow.
- **Agreements and permits:** an agreement with the City to receive, treat, and dispose of flows, termination of the District's NPDES permit/WDRs; CEQA compliance for the project; Coastal Development Permit; CDFW (Andree Clark Bird Refuge); USACE (possible); railroad and City encroachment permits; possible easements.

3. Basis and Key Assumptions

District staff discussed this baseline (Scenario B) with Southland and Ardurra on October 16, 2026. Ardurra provided conceptual engineering data on October 19–21. Working values are used where design data is not yet available.

- **Operation.** Diurnal flows are equalized during the day and pumped to the City between 10 p.m. and 6 a.m. Future dry-weather peak day flow is anticipated at approximately 1.31 MGD and future peak hour flow at approximately 9.6 MGD, both including Summerland. The night transfer rate is approximately 3.9 MGD. All wet-weather flow is assumed to go to the City as it occurs. City acceptance of daytime storm flow is not confirmed.
- **Redundancy.** Two pump sets and two force mains, per District direction, so operations can switch lines during maintenance or a failure. The mains alternate daily and run together at peak wet-weather flows. With one main out of service, the system can convey up to approximately 5,000 gpm, with the balance held in the EQ tank.
- **Equalization (EQ) tank.** 2.0 MG in four cast-in-place cells, approximately half buried, covered and coated for raw wastewater, with coarse-bubble air mixing and grit capture by sumps in each bay. Grit will need to be periodically removed by District staff for drying and disposal. The first 1 MG covers most of the daily equalization need; the second provides a storm and outage buffer (approximately 10 hours at the 9.6 MGD peak hour flow with one main out of service, if empty at the start).
- **Pump sets.** One shared EQ pump station: Set 1 (nightly transfer) 2 duty + 1 standby at approximately 1,420 gpm; Set 2 (storm) 2 duty + 1 standby at approximately 3,400 gpm, all on VFDs. Pumps are sized to the City sewer point of connection (Option 1: 25 / 75 HP). Option 2 uses the same flow rates with larger motors (40 / 125 HP) for the longer route to El Estero.
- **Force mains.** Two 18-inch HDPE mains, priced in two (2) options:
  - **Option 1:** approximately 7,000 LF per main (including an allowance for alignment refinement) on the alignment developed with District staff, from the District site to the City sewer near Por La Mar Drive and Niños Drive.
  - **Option 2:** if the City sewer lacks capacity, a further 6,070 LF per main to the El Estero plant (approximately 13,070 LF per main in total), including one jack-and-bore crossing of the railroad.

  Each main is priced in its own open-cut trench (approximately 7 ft deep) in City streets, with HDD at the three reaches listed in Section 2. Along the route, no geotechnical data are available; groundwater is assumed at approximately 5 feet (Geocon 2000), requiring shoring and dewatering, and ground is assumed drillable. At the plant site, a 2024 geotechnical report (received with the District's RFP on 22 October 2026) found groundwater at approximately 25 to 26.5 feet, with perched water at approximately 10 feet in one boring. The estimate keeps the conservative 5-foot basis for the EQ tank until seasonal groundwater is confirmed.
- **Receiving point.** Updated with City GIS information received on 24 October 2026 (not yet verified by the City). The City sewer at the Option 1 point of connection (Por La Mar Drive and Niños Drive) is an 18-inch pipe approximately 19 feet deep, followed by approximately 400 LF of 21-inch, a further 500 to 700 LF of 18-inch, then 24-inch to the El Estero plant, all at approximately 0.07% slope. Estimated full-pipe capacities are approximately 1.8 MGD (18-inch), 2.7 MGD (21-inch) and 3.9 MGD (24-inch), before accounting for existing flows from the upstream City sewershed. The 18-inch reach is below the 3.9 MGD night transfer (approximately 4.1 MGD at the pumping rate) and well below the 9.6 MGD storm rate. The District's dry-weather flow alone (approximately 1.3 MGD) would use approximately 70 percent of the 18-inch reach's estimated capacity. A uniform 0.07% slope is flatter than typical minimum design slopes for these sizes, so the City's model should confirm slope and capacity. Option 1 depends on the City confirming capacity along the route or upsizing its sewer (not included); otherwise, Option 2 applies.
- **Route.** See Figure 1. Within the City's existing easement near the Bird Refuge, with no acquisition cost; heavy traffic control near the US-101 off-ramp. No crossing of US-101 is assumed.

- **Influent facilities.** The influent sewer, lift station, flow meter, screens, grit removal and their odor control are needed whether the District upgrades the plant or connects to the City. The odor control system for the EQ tank is preliminary and requires further validation.
- **Decommissioning.** Existing treatment structures (headworks, aeration basins, clarifiers, chlorine contact tank, blowers, RAS/WAS, DAFT, belt press, chemical tanks, process MCCs, drying beds and aerobic digester) are demolished and the site restored; abandoned piping is cleaned and capped. The shop, offices, board room, lab, operations building and carport are retained, except the garage/workshop bay over the influent pump building, which is carried for demolition pending District direction. The ocean outfall is abandoned in place. Power to the retained buildings is maintained. Decommissioning is the same under either option and is also required for a plant upgrade, so it is shown separately in Tables 1 and 2.

4. Preliminary Cost Opinion

Table 2: Preliminary Cost Opinion by Component

Line	Component	Option 1 City sewer	Option 2 El Estero plant
	A. CONNECTION FACILITIES AT THE DISTRICT SITE		
1	Influent sewer, influent lift station, flow meter vault, screens and grit	$12.6M	$12.6M
2	Equalization tank, 2.0 MG (four cells), covered, with air mixing	$9.0M	$9.0M
3	Odor and H2S control: system for screens and influent lift station plus a separate, larger carbon system for the EQ basin headspace; chemical feed	$2.8M	$2.8M
4	EQ pump station, two pump sets (Option 1: 25 / 75 HP; Option 2: 40 / 125 HP), and washdown	$4.1M	$4.4M
5	Electrical room, standby power (Option 1: 600 kW; Option 2: 750 kW) with Tier 4 Final controls, instrumentation and SCADA	$6.1M	$6.3M
6	Site work	$2.2M	$2.2M
	B. CONVEYANCE TO A RECEIVING MANHOLE ON THE CITY SEWER (OPTION 1) OR THE EL ESTERO PLANT HEADWORKS (OPTION 2)		
7	Two 18 in. force mains, District site to the City sewer point of connection near Por La Mar Dr and Niños Dr (approximately 7,000 LF each), open cut, with pavement restoration and traffic control	$7.2M	$7.2M
8	Horizontal directional drilling, 3 drives per main (2,475 LF per main): under the creek between Niños Dr and the Zoo parking lot (225 LF), beside the Andree Clark Bird Refuge (2,000 LF), under the roundabout at East Cabrillo Blvd and Channel Dr (250 LF)	$9.1M	$9.1M
9	Option 1: receiving manholes on the City sewer near Por La Mar Dr and Niños Dr (three 60 in. manholes, vortex drop) Option 2: if the City sewer lacks capacity, a further 6,070 LF per main to the El Estero plant (railroad jack and bore) and headworks receiving vault	$0.7M	$11.6M
	Subtotal, direct construction, El Estero connection	**$53.9M**	**$65.3M**
10	Contractor general conditions, fee, bonds and insurance	$14.7M	$17.8M
11	Engineering, administration, construction management, permitting and environmental	$6.0M	$7.1M
12	Escalation to construction midpoint and contingency	$24.3M	$29.4M
	TOTAL, EL ESTERO CONNECTION	**$99M ($69M – $148M)**	**$120M ($84M – $179M)**
13	Existing plant stabilization until first flow (electrical, dewatering press, one aeration blower and critical repairs)	$6.8M	$6.8M
	TOTAL PROGRAM (connection plus stabilization)	**$106M ($74M – $159M)**	**$126M ($88M – $190M)**
	C. DECOMMISSIONING OF THE EXISTING PLANT (REQUIRED UNDER EITHER PATH; SHOWN SEPARATELY)		
14	Demolition of existing treatment structures and outfall abandonment	$7.6M	$7.6M
15	Markups, escalation to the 31 Dec 2032 decommissioning midpoint and contingency (no permitting allowance)	$6.6M	$6.6M
	TOTAL, DECOMMISSIONING OF THE EXISTING PLANT	**$14.3M ($10M – $21M)**	**$14.3M ($10M – $21M)**

- *Point values are the sum of the itemized estimate; Low and High apply -30% / +50%, a range selected within the AACE Class 5 band (-20% to -50% low, +30% to +100% high; AACE 18R-97).*
- ***Escalation:** 4.0% per year from a July 2026 cost base to a 31 December 2031 construction midpoint (24.1%); stabilization to a 1 July 2028 spend midpoint (8.2%); decommissioning to a 31 December 2032 midpoint (29.0%), since demolition follows first flow.*
- ***Contingency:** construction contingency of 10% and an owner reserve of 5%, applied to direct cost plus general conditions, engineering and permitting, are included in line 12 (and in the decommissioning markups, line 15). Design contingency is not carried as a separate line; it is covered within the Class 5 range.*
- *Rounded lines may not add exactly to the rounded totals.*
- *Option 2 carries the Option 1 scope plus a further 6,070 LF per main to the El Estero plant with a railroad jack and bore, a receiving vault in lieu of the City manholes, larger pumps (40 / 125 HP in lieu of 25 / 75 HP) and a 750 kW generator in lieu of 600 kW.*
- *Decommissioning is required whether the District upgrades the plant or connects to the City, so it is shown separately and is not in the connection or program totals. It is priced from national WWTP demolition benchmarks and the as-built quantities, with the connection markup rates and no permitting allowance.*
- *Line 11 includes design, administration, construction management, and a permitting and environmental allowance.*
- *Excluded: improvements, receiving structures or connection work inside the El Estero plant, or improvements to the City collection system, needed to receive District flows; emergency overflow protection at the existing plant; City capacity buy-in and connection fees, annual City treatment charges, easement and right-of-way acquisition, SCE line-extension charges, California sales and use tax (not covered by contingency or the range), District staff, legal and financing costs, and O&M.*

Alternates (not included in the totals):

Alternate	Approximate change to connection total
D – Both force mains in one common trench	−$0.8M (Option 1); −$1.8M (Option 2) (credit)
S – Interim dewatering in lieu of a new dewatering press (repair the existing press, rental unit, mobile service or hauling)	−$1.9M (credit to stabilization; interim operating costs not included)

***Limitations.** This is a preliminary opinion of probable cost prepared under AACE International Class 5 (concept screening) practice, near the upper end of the Class 5 band (0 to 2 percent project definition), with the influent facilities defined further than the rest. It is intended only to screen and compare options. It is not a bid, proposal, guaranteed price, recommendation of either option, or commitment to perform work, and it should not be used to set budgets, appropriate funds, or apply for grants or loans. It relies on preliminary information from the District and others that has not been independently verified, and on historical pricing escalated to an assumed construction midpoint. Actual costs may fall outside the stated range. Excluded items are not covered by contingency. This opinion was prepared at the District's request for its use only; no other party may rely on it. A defensible estimate would require a more detailed evaluation.*

5. What We Know and What We Don't

What we know	What we don't know yet
Baseline scenario: equalize, pump at night, two pump sets, two force mains	Whether the City will accept storm flow during the day, and at what rate
Route (approximately 1.3 miles to the City sewer point of connection for Option 1, approximately 2.5 miles to El Estero for Option 2) and three (3) HDD reaches per main: under the creek between Niños Drive and the Zoo parking lot, beside the Andree Clark Bird Refuge, and under the roundabout at East Cabrillo Blvd and Channel Drive.	For Option 2, how the City would receive the flow inside the El Estero plant, and the connection elevation. The required mitigations adjacent to the Andree Clark Bird Refuge are unknown, and HDD construction feasibility there will need to be confirmed.
City GIS (received 24 Oct 2026, not verified) shows 18-, 21- and 24-inch sewers at approximately 0.07% slope from the Option 1 point of connection to the El Estero plant.	Existing City flows in that sewer, and whether the City would or could upsize it and at what cost
Future design flows (current District projections), including Summerland	Ground conditions for HDD, the railroad bore and the EQ tank (no borings along the route; plant-site borings 2024)
Influent facilities are needed whether the District upgrades the plant or connects to the City	City capacity buy-in, connection fees, treatment rates and any share of future City projects
Shallow groundwater found in one area (approximately 5–13 ft) along the route	Permitting path: Coastal Commission, CDFW, USACE, State Lands, UPRR, CEQA compliance
Existing plant must operate safely for approximately six years (range five to seven) before first flow	Storm design flows, pending the collection system flow study starting November 2026

6. Key Risks

Both plant upgrade and connection to city sewer require significant facilities at the District site and anticipate a major capital project for either option. The connection option adds risks outside the District's control – City acceptance and terms, permitting and environmental approvals, ground conditions and railroad requirements – unknowns that could significantly affect both cost and schedule.

- **Wet-weather acceptance.** If the City will not take storm flow as it occurs, the project needs substantially more equalization or on-site wet-weather treatment with a retained, repaired outfall. This is the largest scope risk.
- **City sewer capacity (Option 1).** City GIS information received 24 October 2026 indicates the 18-inch reach (approximately 1.8 MGD flowing full) cannot take the 3.9 MGD night transfer, before accounting for existing City flows. Unless the City confirms capacity along the route or upsizes its sewer, Option 2 likely applies (approximately +$21M).
- **HDD ground conditions.** Cobbles and boulders could force microtunneling or cased bores (approximately +$7M); heavier pipe on the two short drives (approximately +$0.2M); and HDD pricing at recent California bid levels (approximately +$2M). Heavier pipe for the 2,000-foot drive beside the Bird Refuge is already included.
- **Permitting and environmental.** Work beside the Andree Clark Bird Refuge and in the coastal zone may require Coastal, CDFW, USACE and State Lands approvals, a railroad crossing agreement, a full CEQA document, unknown monitoring requirements, environmental mitigation and seasonal work windows, beyond the $1.0M allowance carried.
- **Contamination.** A 2000 Caltrans investigation reported aerially deposited lead near the Bird Refuge and benzene in groundwater near Milpas Street. Excavation in these areas could require hazardous waste handling and disposal.
- **Outfall abandonment.** Marine work could add up to approximately $1.3M above the $0.5M allowance.
- **EQ tank construction.** Excavation below groundwater, flotation and mixing air requirements could add approximately $1–3M.
- **El Estero plant (Option 2).** How and where the City would receive the flow inside the plant, and the receiving elevation, are unknown. Any plant-side improvements are excluded and could add cost to the District or pumping head.
- **Railroad crossing (Option 2).** A road passes under the railroad at the crossing; the crossing method and UPRR requirements are to be confirmed. Cost is carried.
- **City cost exposure.** Terms of an agreement with the City are unknown. Beyond fees and rates, the District could be charged a share of future City capital projects, including outfall-related work.

7. Not Included

- Improvements, receiving structures or connection work inside the El Estero plant, or improvements to the City collection system, needed to receive District flows (to be defined by the City).
- City of Santa Barbara capacity buy-in, connection fees and annual treatment charges.
- Easement and right-of-way acquisition and temporary construction easements; SCE line-extension charges.
- District staffing, legal and financing costs, operations, chemicals, energy and lifecycle costs.
- Geotechnical investigation, survey, potholing and environmental field studies (including a Phase I environmental site assessment); CEQA document preparation; hazardous materials beyond allowances.
- Emergency overflow protection at the existing plant during the transition.
- California sales and use tax.

8. Existing Plant Stabilization During the Transition

The existing plant must stay safe and in permit compliance for approximately six years (range five to seven) until first flow. The cost opinion carries approximately $6.8M (range $4.8M to $10.2M) for the electrical rehabilitation (switchboard, MCC and related work), a new dewatering system to replace the failed belt press, replacement of one of the three 75 HP aeration blowers, and items from the Carollo (2023) 10-year capital improvement list needed to keep the plant operating. Carollo items specific to recycled water, and items superseded by the electrical and dewatering projects, are excluded. Emergency overflow protection is not included. A stabilization generator is not yet priced, pending District input. The 2025 LEA rates the 2010 generator condition 2 with approximately 7 years of adjusted remaining life, and Carollo TM 05 budgets approximately $120,000 to replace it; this is not carried. Emergency repairs during the transition are

assumed to be funded from the District's emergency budget (approximately $600,000) and are not carried. Alternate S from above (interim dewatering in lieu of a new press) would reduce this line by approximately $1.9M. An alternative is to defer the stabilization program and fund repairs as failures occur; a more detailed evaluation would compare the two approaches and document the consequences of deferring investment.

9. Implementation Timeline

The working assumption is approximately six years (range five to seven) from a Board decision to first flow, covering City agreement and rate negotiations, design, CEQA and permitting, and construction and commissioning. Negotiations, design and permitting could conceivably overlap. The City agreement and Bird Refuge permitting are expected to control the schedule.

10. Next Steps

- District confirms with the City: available capacity in its sewer from the Option 1 point of connection to the El Estero plant (and verification of the GIS data), or how the City would receive the flow at the El Estero plant (Option 2); acceptance of daytime wet-weather flow; and the commercial framework (fees, rates, share of future projects).
- District direction on the ocean outfall (abandon in place or remove), decommissioning (demolish or clean, cap and fence) and stabilization priorities, including which items from the Carollo 10-year list have been completed.
- A more detailed evaluation would develop the El Estero option further: City coordination, sizing, alignment, preliminary design, permitting path, a Level 4 cost estimate and a lifecycle comparison with the plant upgrade option.

DRAFT

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MONTECITO SANITARY DISTRICT

STAFF REPORT – 6E

DATE: November 4, 2026

TO: Board of Directors
FROM: John Weigold, General Manager
Taylor Anderson, Assistant General Counsel

SUBJECT: Director Compensation - Ordinance 26

RECOMMENDATION

It is recommended that the Board hold a public hearing to consider adoption of Ordinance No.26, establishing Director compensation and repealing Ordinance No. 25.

SUMMARY

Health and Safety Code section 6489 limits sanitary district director compensation to $100 per day, but allows the Board to set a higher rate by adopting an ordinance following the procedures in Water Code sections 20200 et seq.

The Board last adjusted its compensation in January 2025 by adopting Ordinance No. 25, under which each Director receives $254.00 per day for attending regular and special Board meetings, standing and ad hoc committee meetings, and other service rendered at the Board's request, up to six days per calendar month.

At its October 14, 2026 meeting, the Board directed District General Counsel to draft an ordinance that maintains the $254.00 rate but limits compensation to attendance at one regular Board meeting each calendar month. The proposed ordinance expressly provides that no Director will be compensated for attending any additional regular meeting, any special meeting, or any standing or ad hoc committee meeting, or for any other day's service rendered as a Director. The proposed ordinance also repeals Ordinance No. 25 and will take effect sixty days after adoption.

PUBLIC NOTICING

Pursuant to Government Code section 6066 and Water Code section 20203, notice of the public hearing on proposed Ordinance No. 26 has been published once a week for two successive weeks in a newspaper of general circulation within the District. Proof of publication of said notice on October 29, 2026 and November 5, 2026, in the Montecito Journal is attached hereto as Attachment B.

FISCAL IMPACT

Under the proposed ordinance, maximum Board compensation would be $1,270 per month, or $15,240 per year. The fiscal impact of this ordinance would be a possible savings of up to $76,200 per year, assuming each Director would otherwise have been compensated for the maximum six days per month under Ordinance No. 25.

HEARING INSTRUCTIONS

ATTACHMENTS

A. Proposed Ordinance No. 26
B. Proof of Publication

ORDINANCE NO. 26

ORDINANCE OF THE GOVERNING BOARD OF THE MONTECITO SANITARY DISTRICT ESTABLISHING COMPENSATION OF DIRECTORS AND REPEALING ORDINANCE NO. 25

WHEREAS, Health and Safety Code section 6489 authorizes the Board of Directors to adopt an ordinance pursuant to Water Code sections 20200 et seq. in order to compensate each director above the amount established in Section 6489; and

WHEREAS, on January 13, 2025, the Board adopted Ordinance No. 25, which established compensation of $254.00 per day for attendance at regular and special meetings of the Board, meetings of standing and ad hoc committees of the Board, and other service rendered as a Director by request of the Board, not exceeding a total of six days in any calendar month; and

WHEREAS, the Board desires to retain the rate of $254.00 per day established by Ordinance No. 25, but to limit compensation to attendance at one regular meeting of the Board each calendar month; and

WHEREAS, the duties and responsibilities of the Board of Directors requires substantial time to carry out District business; and

WHEREAS, notice of a public hearing was published pursuant to Government Code section 6066 and Water Code section 20203; and

WHEREAS, the public hearing on the adoption of this ordinance was held on November 4, 2026, as required by Water Code section 20203.

NOW, THEREFORE, BE IT ORDAINED that:

1. Compensation. The standard and maximum compensation of each director of the Board shall be $254.00 for attendance at one regular meeting of the Board in each calendar month.

2. No Compensation for Other Service. Compensation under this ordinance shall be paid solely for attendance at one regular meeting of the Board each calendar month, as provided in Section 1. No director shall be compensated for attendance at additional regular meetings of the Board, any special meeting of the Board, any meeting of a standing or ad hoc committee, or for any other day's service rendered as a Director, whether or not the Board requested or approved such service.

3. Repeal. Ordinance No. 25 is repealed.

4. Publication. Immediately following adoption, the District Clerk shall cause this ordinance to be published one time in a newspaper of general circulation within the District.

5. Severability. If any section of this ordinance is held to be invalid or unconstitutional, the remaining sections shall remain valid. The Board hereby declares that it would have adopted this Ordinance regardless that particular sections may be declared invalid or unconstitutional.

PASSED AND ADOPTED by the Board of Directors of the Montecito Sanitary District on this 18th day of November, 2026, to become effective sixty days thereafter by the following vote:

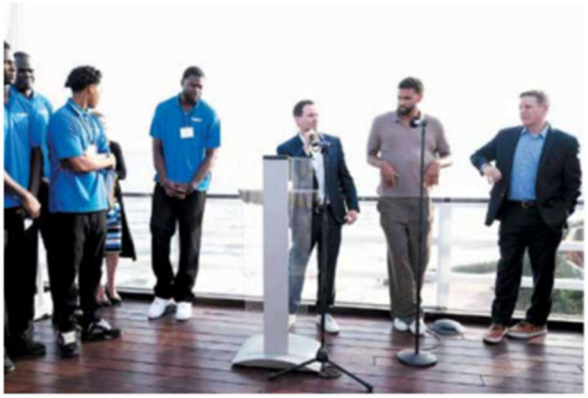
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NAYS:
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MONTECITO SANITARY DISTRICT

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Board President

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Clerk of the Board

◂ **Courtside** *(Continued from 22)*



Joe Pasternack giving some remarks and introducing the Gaucho's new Men's Basketball GM (photo by Jeffrey Liang)

It's a compelling pitch coming from someone whose career has followed that very trajectory. Mitchell can speak to the challenges of college basketball, the demands of professional competition, and the advantages of developing within a program that believes in its players.

His connection to Santa Barbara also extends beyond the court. Despite an NBA career that could take him practically anywhere, Mitchell has chosen Montecito as his offseason home.

"He wants to make Santa Barbara his home," Pasternack says.

For the coach, that relationship is another example of how UCSB basketball can connect the university with the surrounding community. And with the 2026-27 season underway, Pasternack is hoping to turn that hometown enthusiasm into a packed Thunderdome.

The Gauchos opened their season November 2 with an 85-55 victory over San Francisco State and return to the court Saturday, November 7, at 1 pm against Oakland University. The roster combines returning players with promising newcomers, giving Pasternack plenty of reasons for optimism as he enters his 10th season leading the program.

"We're really excited. We've never had this type of demand for season tickets, ever," he says. "It's getting close to capacity. We still have some season tickets left."

Part of that excitement comes from the players themselves, whom Pasternack praises for their character, academic commitment, and potential to compete at the professional level.

He is also making a concerted effort to introduce Gaucho basketball to Montecito's younger residents. Recently, the team visited the Montecito Club for a basketball clinic organized with Assistant GM **Justin Allen** from the club. UCSB players worked individually with local children on the outdoor court, demonstrating drills and sharing a few tricks of the trade.

The idea is to build a connection that extends beyond game day, introducing children to collegiate athletics while giving them an opportunity to interact with the players.

And for Montecito families looking for an afternoon of entertainment, Pasternack has a fairly persuasive argument. Why make the drive to Los Angeles to watch the Lakers or Clippers when high-level basketball is being played just up the road?

"We don't have an NBA team, an NFL team, or a Major League Baseball team in Santa Barbara," he says. "Make this your team."

It's a pitch that combines hometown pride, affordable entertainment, and the opportunity to expose younger fans to a major university campus. For Pasternack, the excitement of college basketball comes from the players' passion and the energy of a crowd that has a personal stake in the outcome.

And with Mitchell's continued involvement, the Gauchos have a particularly persuasive ambassador for what the program can accomplish.

The Coral Casino gathering may have assembled some of Montecito's most influential fans, but the next big event on Pasternack's calendar requires considerably less formal attire. Just find a seat in the Thunderdome, wear some blue and gold, and prepare to cheer.

For season tickets and the full schedule, visit ucsbgauchos.com



Gerd and Pete Jordano with Matt English showing some Gaucho pride (photo by Jeffrey Liang)

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MONTECITO SANITARY DISTRICT
PUBLIC NOTICE

Notice is hereby given that the Board of Directors of the Montecito Sanitary District shall conduct a public hearing at 2:00 p.m. on Wednesday, November 18, 2026 at its Board Room located at 1042 Monte Cristo Lane, Santa Barbara, CA 93108 as well as via Zoom Meetings; meeting ID 861 1897 5917, to consider the adoption of Ordinance No. 26 of the governing board of the Montecito Sanitary District increasing the compensation of Directors of the Board pursuant to Health and Safety Code section 6489.

The public may attend and comment by calling 1-669-900-6833 and entering Meeting ID 861 1897 5917 or by visiting https://us02web.zoom.us/j/86118975917

Copies of the text of the proposed ordinance are on file with the clerk of the District Board and are available for public inspection at the Districts' offices. See https://www.montsan.org/

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reaching skyward like an open, leaf-dappled hand, a sculpture a strong wind can pass through unabated.

"Now you see these trees. These are Canary Island Pines. Look at the height. Look at the weight at the top!" and "...Here of course is a tree that's dying back. This is going to have to be removed if it dies back any further..." and (with measured disgust) "They thin the bottom of the tree, which makes it look like they've been pruned, but they put the tree in more danger by having it grow heavier at the top! All those nutrients go into making the tree bigger!" Better targets for wind and rain, he means. Bigger isn't always better, apparently, as has been noted in a range of other contexts.

Peripatetic Thespian Leaps Into Trees

Which makes for a handy segue into Gene's acting epoch. What it may have lacked in statuette-attracting largesse, his career more than made up for in sheer variety and method-testing extremes. Gene has worked with the best. Tyburn went head-to-head with the great Hal Holbrook in the lauded '76 mini-series *Lincoln*, appeared with Sidney Poitier in *Brother John* ('71), worked with George Segal, Richard



Gene today at the Moreton Bay Fig, SB train station. Gesturing operatically.

Widmark, Henry Fonda, and Santa Barbara kingpin **Timothy Bottoms** in *Rollercoaster* ('77), paid his dues alongside Larry Hagman and Jane Wyman on *Dallas* and *Falcon Crest* resp., and sweated alongside Henry Silva and Dabney Coleman on a nerve-wracking episode of *The Outer Limits* ("The Mice," '64) whose featured star beast could have been sponsored by Rubbermaid. Throw in James Arness and Lorne Greene to rep the six-shooter contingent (*Gunsmoke* and *Bonanza*), and we see in Gene Tyburn (né Eugene J. Tyrnauer) the very definition of a working actor.

Yes, I've regaled Gene with my ill-received admiration. "You was on the TV, Mister!" This species of praise doesn't hold a lot of water for the guy. He is humble and a little dismissive about his acting past, and grandiloquent about trees. Gene is also a librettist of original opera, as evidenced on tyburnoperas.com. From Bard to Bark, Tyburn is the complete artist.

So how, exactly, did Gene morph from "unbathed frontier bad guy shooting at Matt Dillon" to "card-carrying dendrophile?" You may recall a gloomy Gene pulling his car over on a North Hollywood street and hollering at the tree guys working there.

"I didn't have an intelligent question to ask him, just... how do you learn this stuff?" Gene says. "The guy leans into my car, where I had a brand-new headset, *brand new!* He reaches in and takes the headset in the box and walks away, walks across the street!"

Gene is nothing if not exclamatory, but if anything deserves a shouted soliloquy, it's Gene Tyburn's triple somersault off the stage apron and into botany; a landing he absolutely sticks, to his everlasting happiness. "And he's over there across the street in his truck. I mean, what the hell? *What the hell is he doing?*"

Wild-eyed and gesticulating, Gene the actor is awakening from a long hibernation, hurriedly squeezing into his tights and entering stage left to gesture grandly at a packed house. It is a terrific thing to see. Gene Tyburn is, and clearly always has been, a contained shower of sparks. And here comes the play's climax.

"He walks back across the street and he leans into my car, and he throws something into my backseat. I turn around and he's put a rope from the city, a city saddle, and a hand saw in my back seat, all brand new. I said, 'What's that? I-I-I don't know anything about trees!'" Gene's wide grin is that of a young novice who just got the part. "He says, *'You're in the tree business now.'*"

Jeff Wing is a journalist, raconteur, autodidact, and polysyllable enthusiast. He has been writing about Montecito and environs since before some people were born. He can be reached at jeff@montecitojournal.net



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